

Positivity Bounds in Effective Field Theories

Shuang-Yong Zhou (USTC)

Workshop on String, Field Theory and Cosmology, Huzhou, 25 Nov 2018

de Rham, Melville, Tolley & **SYZ**, arXiv:1702.06134 [hep-th]
de Rham, Melville, Tolley & **SYZ**, arXiv:1702.08577 [hep-th]
de Rham, Melville, Tolley & **SYZ**, arXiv:1706.02712 [hep-th]
de Rham, Melville, Tolley & **SYZ**, arXiv:1804.10624 [hep-th]
Cen Zhang & **SYZ**, arXiv:1808.00010 [hep-ph]

Effective field theories (EFTs)

- EFTs are widely used in modern physics
GR, inflation, dark energy, BSM physics,...
- Separation of physics at different scales
write down all local operators consistent
with symmetries suppressed by cut-off scale

$$\mathcal{L} = \sum_i \Lambda^4 f_i \mathcal{O}_i \left(\frac{\text{boson}}{\Lambda}, \frac{\text{fermion}}{\Lambda^{3/2}}, \frac{\partial}{\Lambda} \right)$$

f_i : Wilson coefficients

Are all EFTs allowed?

Answer: No!

Not every effective field theory can be UV completed!
(Not every set of Wilson coefficients are allowed!)

$$e^{\frac{i}{\hbar}S_W[\text{light}]} = \int D[\text{heavy}] e^{\frac{i}{\hbar}S_{\text{UV}}[\text{light,heavy}]}$$

UV completion satisfies: Lorentz invariance, unitarity, locality,
causality, analyticity, crossing symmetry,...



Positivity bounds on Wilson coefficients

Simplest example: P(X)

$$\mathcal{L} = -\frac{1}{2}\partial_\mu\phi\partial^\mu\phi + \frac{\lambda}{\Lambda^4}(\partial_\mu\phi\partial^\mu\phi)^2 + \dots$$

Positivity bound: $\lambda > 0$

Theories with $\lambda < 0$ do not have a local and
Lorentz invariant UV completion

Outline

- Positivity Bounds for Spin 0: Main idea
- Positivity Bounds for Spin >0 : Subtleties
- Applications in Some low energy EFTs:
 - Galileon (spin 0), SMEFT (spin 1), Massive gravity (spin 2)
- Summary

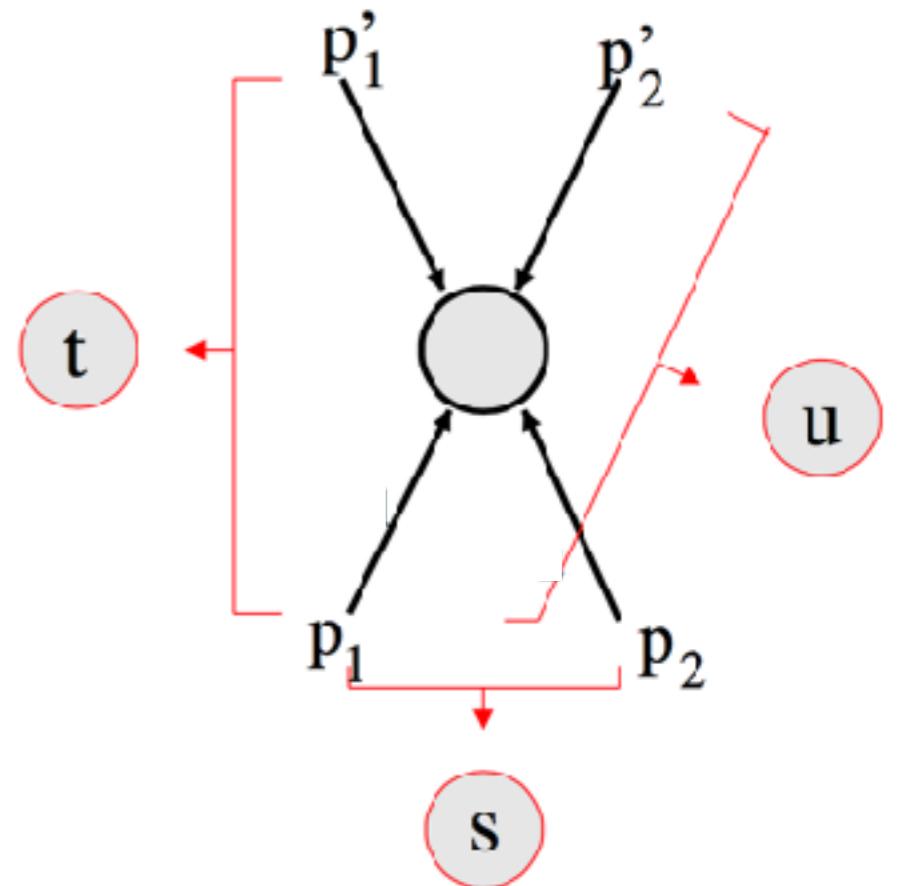
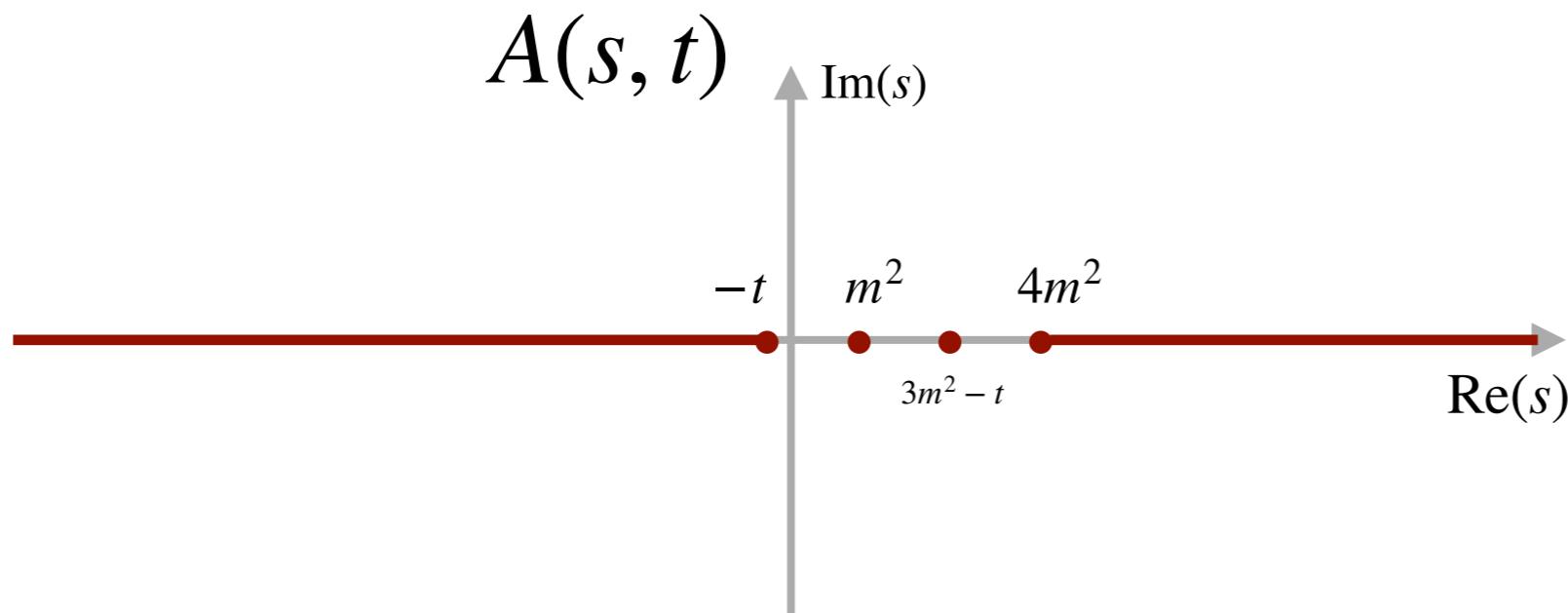
2 to 2 scattering

Mandelstam variables

$$s = -(p_1 + p'_2)^2 = E_{\text{cm}}^2$$

$$t = -(p_1 + p'_1)^2 = -\frac{s - 4m^2}{2}(1 - \cos \theta)$$

$$u = -(p_1 + p'_2)^2 = 4m^2 - s - t$$



Crossing symmetry

$$A(s, t) = A(u, t)$$

Optical theorem

Unitarity:

$$S^\dagger S = 1, \quad S = 1 + iT \quad \rightarrow \quad (T - T^\dagger) = iT^\dagger T$$

Acting initial and final states:

$$\langle F|T|I\rangle - \langle I|T|F\rangle^* = \sum_f \int d\Pi_f \langle f|T|F\rangle^* \langle f|T|I\rangle$$

$$\text{Optical theorem: } 2\text{Im} \quad \begin{array}{c} k_2 \\ \nearrow \\ \text{Scattered wave} \\ \searrow \\ k_1 \end{array} = \sum_f \int d\Pi_f \left(\begin{array}{c} k_2 \\ \nearrow \\ f \\ \searrow \\ k_1 \end{array} \right) \left(\begin{array}{c} f \\ \nearrow \\ k_1 \end{array} \right)$$

$$\text{Im}[A(s, 0)] = \sqrt{s(s - 4m^2)}\sigma(s) > 0$$

Partial wave unitarity

Partial wave expansion:

$$A(s, t) = 16\pi \sqrt{\frac{s}{s - 4m^2}} \sum_{l=0}^{\infty} (2l + 1) P_l(\cos \theta) a_l(s)$$

Partial wave unitary bounds:

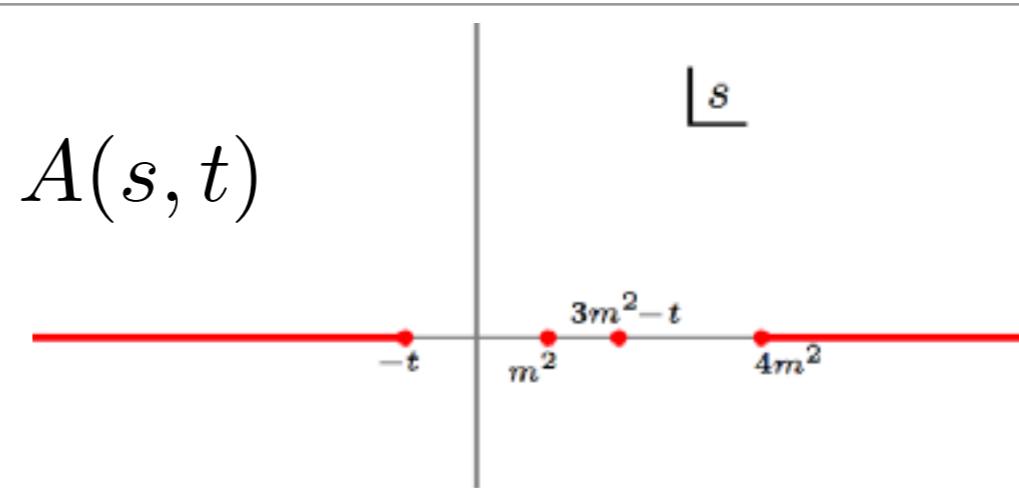
$$0 \leq |a_l(s)|^2 \leq \operatorname{Im} a_l(s) \leq 1$$

Also $\partial_t^n P_l(1+t)|_{t=0} \geq 0$, we get

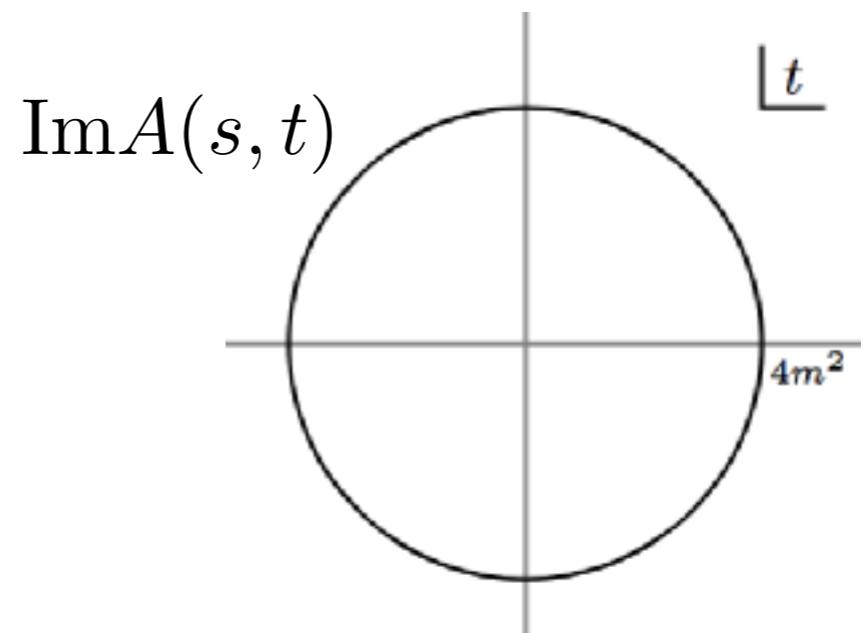
$$\left. \frac{\partial^n}{\partial t^n} \operatorname{Im}[A(s, t)] \right|_{t=0} > 0 \quad \forall n \geq 0 \quad \text{and} \quad s \geq 4m^2$$

Analyticity

Fixed t :



Fixed s :



Martin, 1965

Key ingredient 1:

$$\frac{\partial^n}{\partial t^n} \text{Im}[A(s, t)] > 0 \quad \forall \quad s \geq 4m^2, 0 \leq t < 4m^2.$$

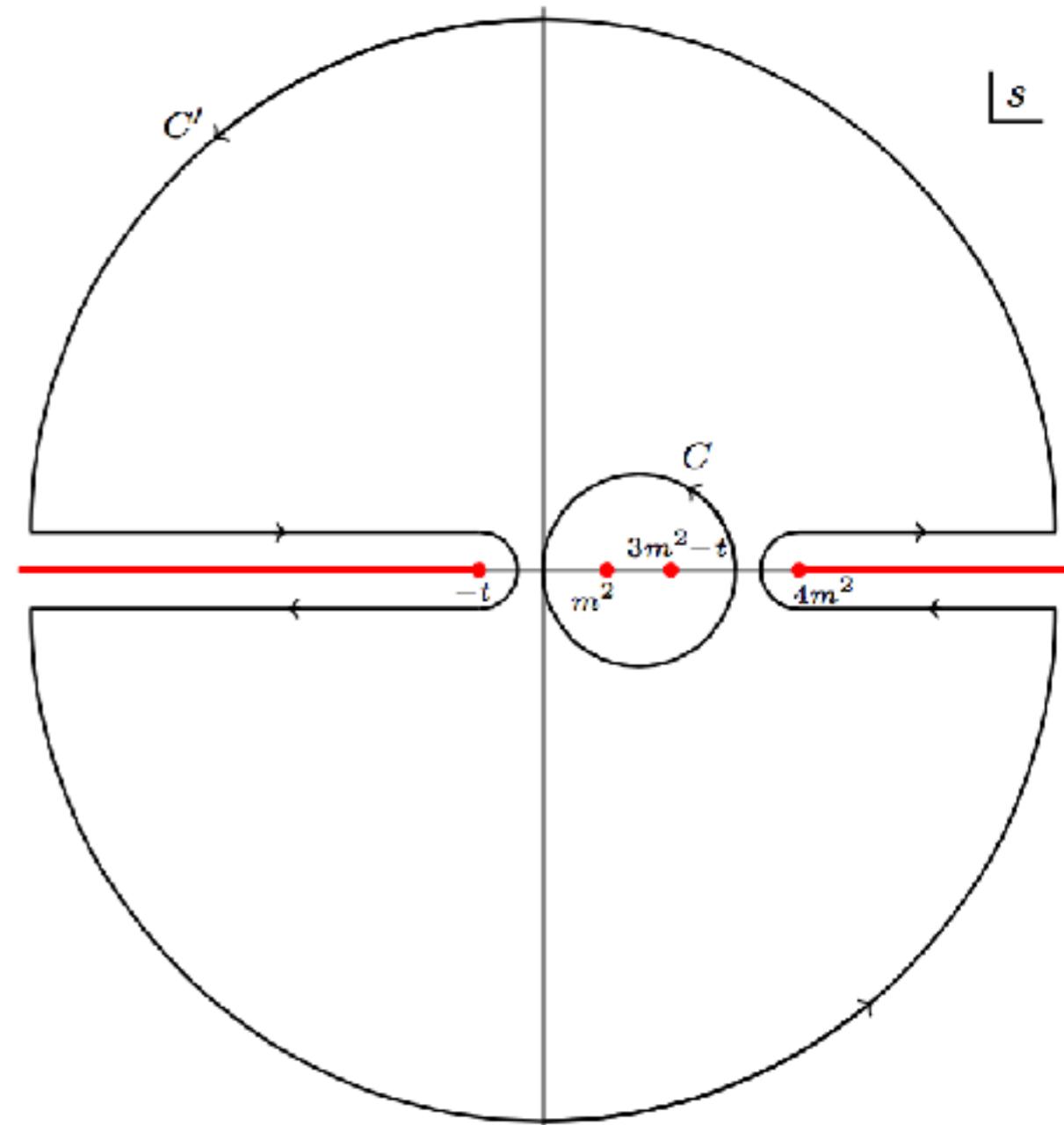
Dispersion relation (1)

$$\begin{aligned} A(s, t) &= \frac{1}{2\pi i} \oint_C ds' \frac{A(s', t)}{s' - s}, \\ &= \frac{\lambda}{m^2 - s} + \frac{\lambda}{m^2 - u} + \int_{C_\infty^\pm} ds' \frac{A(s', t)}{s' - s} \\ &\quad + \int_{4m^2}^\infty \frac{d\mu}{\pi} \left(\frac{\text{Im} A(\mu, t)}{\mu - s} + \frac{\text{Im} A(\mu, t)}{\mu - u} \right) \end{aligned}$$

$$A(s, t) = A(u, t)$$

Froissart-Martin bound:

$$\lim_{s \rightarrow \infty} |A(s, t)| < C s^{1+\epsilon(t)}, \quad 0 \leq t < 4m^2, \quad \epsilon(t) < 1$$



Froissart, 1961
Martin, 1962

Dispersion relation (2)

Identity: $\frac{1}{\mu - s} = \frac{(s - \mu_p)^2}{(\mu - \mu_p)^2} \frac{1}{\mu - s} + 2 \frac{(s - \mu_p)}{(\mu - \mu_p)^2} + \frac{(\mu - s)}{(\mu - \mu_p)^2}$

Twice subtracted dispersion relation:

$$A(s, t) = a(t) + \frac{\lambda}{m^2 - s} + \frac{\lambda}{m^2 - u} \\ + \int_{4m^2}^{\infty} \frac{d\mu}{\pi} \left(\frac{(s - \mu_p)^2 \text{Im}A(\mu, t)}{(\mu - \mu_p)^2 (\mu - s)} + \frac{(u - \mu_p)^2 \text{Im}A(\mu, t)}{(\mu - \mu_p)^2 (\mu - u)} \right)$$

Define: $\tilde{B}(v, t) = A(s, t) - \frac{\lambda}{m^2 - s} - \frac{\lambda}{m^2 - u}$, $v = s + \frac{t}{2} - 2m^2$
 $\bar{s} = s - \frac{4m^2}{3}$

Key ingredient 2:

$$\tilde{B}(v, t) = a(t) + \int_{4m^2}^{\infty} \frac{d\mu}{\pi(\bar{\mu} + \bar{t}/2)} \frac{2v^2 \text{Im}A(\mu, t)}{(\bar{\mu} + \bar{t}/2)^2 - v^2}$$

Key ingredients: Recap

Key ingredient 1: $\frac{\partial^n}{\partial t^n} \text{Im}[A(s, t)] > 0 \quad \forall \quad s \geq 4m^2, 0 \leq t < 4m^2$.

Key ingredient 2:

$$\tilde{B}(v, t) = a(t) + \int_{4m^2}^{\infty} \frac{d\mu}{\pi(\bar{\mu} + \bar{t}/2)} \frac{2v^2 \text{Im} A(\mu, t)}{(\bar{\mu} + \bar{t}/2)^2 - v^2}$$

Define: $B^{(2N, M)}(t) = \frac{1}{M!} \partial_v^{2N} \partial_t^M \tilde{B}(v, t) \Big|_{v=0} = \sum_{k=0}^M \frac{(-1)^k}{k! 2^k} I^{(2N+k, M-k)}$

$$I^{(q, p)}(t) = \frac{q!}{p!} \frac{2}{\pi} \int_{4m^2}^{\infty} \frac{d\mu}{(\bar{\mu} + \bar{t}/2)^{q+1}} \frac{\partial_t^p \text{Im} A(\mu, t)}{} > 0$$

$$I^{(q, p)} < \frac{q}{\mathcal{M}^2} I^{(q-1, p)} \quad \mathcal{M}^2 = (t + 4m^2)/2$$

An infinite tower of positivity bounds

Recurrence relation:

de Rham, Melville, Tolley & **SYZ**, arXiv:1702.06134

$$Y^{(2N,M)} = \sum_{r=0}^{M/2} c_r B^{(2N+2r, M-2r)}$$
$$+ \frac{1}{\mathcal{M}^2} \sum_{k \text{ even}}^{(M-1)/2} (2(N+k)+1) \beta_k Y^{(2(N+k), M-2k-1)} > 0$$

$$\operatorname{sech}(x/2) = \sum_{k=0}^{\infty} c_k x^{2k} \quad \text{and} \quad \tan(x/2) = \sum_{k=0}^{\infty} \beta_k x^{2k+1}$$

Forward limit positivity bound

Adams, Arkani-Hamed, Dubovsky, Nicolis, Rattazzi, 2006

Forward limit positivity bound

$$\begin{aligned} f(s_p) &= \frac{1}{2\pi i} \oint_{C'} ds' \frac{A(s', 0)}{(s' - s_p)^3} & 0 \leq s_p < 4m^2 \\ &= \sum_{N=1}^{\infty} \frac{s_p^{2N-2}}{2(2N-2)!} Y^{(2N,0)}(0) > 0 \end{aligned}$$

$$\text{Im}[A(s, 0)] = \sqrt{s(s - 4m^2)}\sigma(s) > 0$$

Our generalizations:

1. an infinite number of derivative bounds
2. away from the forward limit $0 \leq t < 4m^2$
3. applicable to general spins

Positivity Bounds for Spin >0: Subtleties

Subtleties with nonzero spins (1)

$$m_1 = m_2 = m_3 = m_4 = m, \quad S_3 = S_1, \quad S_4 = S_2$$

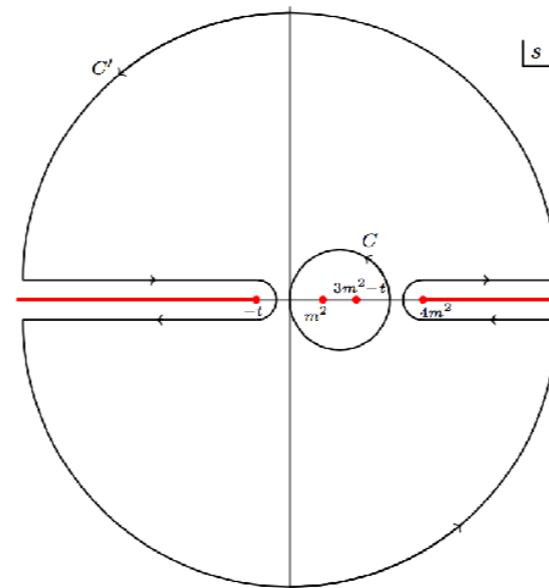
$$A(s, t) = A(u, t)$$

Crossing is nontrivial in helicity formalism:

$$\begin{aligned} \mathcal{H}_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}^s(s, t, u) &= (-1)^{2S_2} \sum_{\lambda'_i} e^{i\pi(\lambda'_1 - \lambda'_3)} d_{\lambda'_1 \lambda_1}^{S_1}(\chi_u) d_{\lambda'_2 \lambda_2}^{S_2}(-\pi + \chi_u) \\ &\quad \cdot d_{\lambda'_3 \lambda_3}^{S_1}(-\chi_u) d_{\lambda'_4 \lambda_4}^{S_2}(\pi - \chi_u) \mathcal{H}_{\lambda'_1 \lambda'_4 \lambda'_3 \lambda'_2}^u(u, t, s), \end{aligned}$$

$$d_{ab}^J(\beta) = \langle J_a | e^{-i\beta J_y} | J_b \rangle \quad e^{\pm i\chi_u} = \frac{-su \mp 2im\sqrt{stu}}{\sqrt{su}}$$

Positivity of LH cut can
not be established!



$$\frac{\partial^n}{\partial t^n} \text{Im} \mathcal{H}_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}^s > 0, \quad s > 4m^2$$

Subtleties with nonzero spins (2)

Extra kinematical singularities:

Pole at threshold $s = 4m^2$

Branch point at $stu = 0$

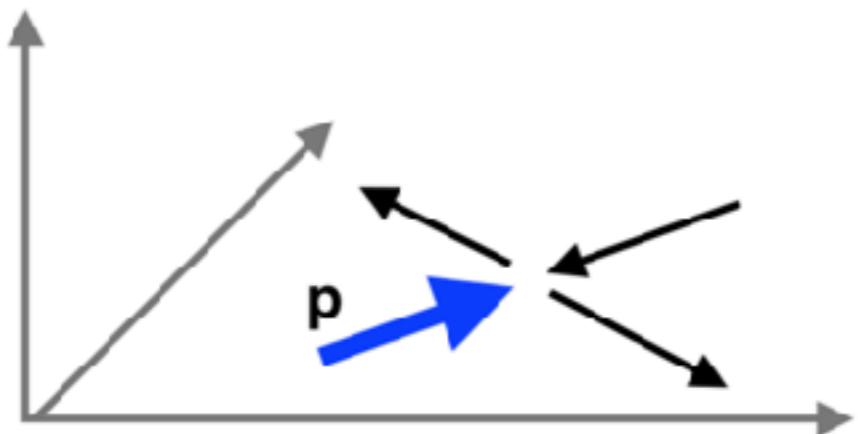
Branch point at $u = 0$ for boson-fermion scattering

$$\cos \theta = 1 + \frac{2t}{s - 4m^2}, \quad \sin \theta = \frac{2\sqrt{tu}}{s - 4m^2}$$

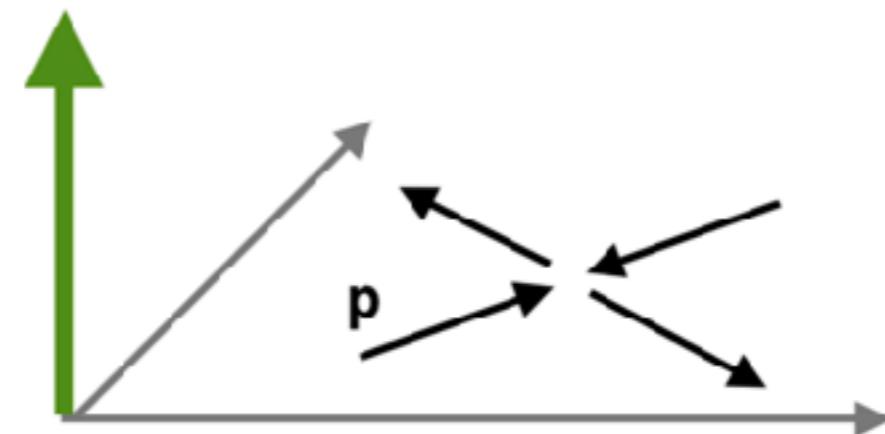
$$\cos \frac{\theta}{2} = \sqrt{\frac{-u}{s - 4m^2}}$$

Helicity vs **Transversity**

Helicity



Transversity



Regularized transversity amplitude

For singularities: $u = 0 \quad s = 4m^2$

$$(\sqrt{-su})^\xi (s(s - 4m^2))^{S_1 + S_2} \mathcal{T}_{\tau_1 \tau_2 \tau_3 \tau_4}$$

$\xi = 1$ for BF scattering, $\xi = 0$ otherwise

For singularities: $\sqrt{stu} = 0 \quad \sqrt{stu} \leftrightarrow -\sqrt{stu} \longleftrightarrow \theta \leftrightarrow -\theta$

$$\mathcal{T}_{\tau_1 \tau_2 \tau_3 \tau_4}(\theta) + \mathcal{T}_{\tau_1 \tau_2 \tau_3 \tau_4}(-\theta)$$

Regularized transversely amplitude:

$$\mathcal{T}_{\tau_1 \tau_2 \tau_3 \tau_4}^+(s, \theta) = (\sqrt{-su})^\xi \mathcal{S}^{S_1 + S_2} (\mathcal{T}_{\tau_1 \tau_2 \tau_3 \tau_4}(s, \theta) + \mathcal{T}_{\tau_1 \tau_2 \tau_3 \tau_4}(s, -\theta)),$$

Positivity bounds

Then it reduces to formally the same as the spin 0 case.

$$\begin{aligned} Y_{\tau_1 \tau_2}^{(2N, M)}(t) &= \sum_{r=0}^{M/2} c_r B_{\tau_1 \tau_2}^{(2N+2r, M-2r)}(t) \\ &\quad + \frac{1}{\mathcal{M}^2} \sum_{\text{even } k=0}^{(M-1)/2} (2N + 2k + 1) \beta_k Y_{\tau_1 \tau_2}^{(2N+2k, M-2k-1)}(t) > 0 \end{aligned}$$

Applications in some low energy EFTs

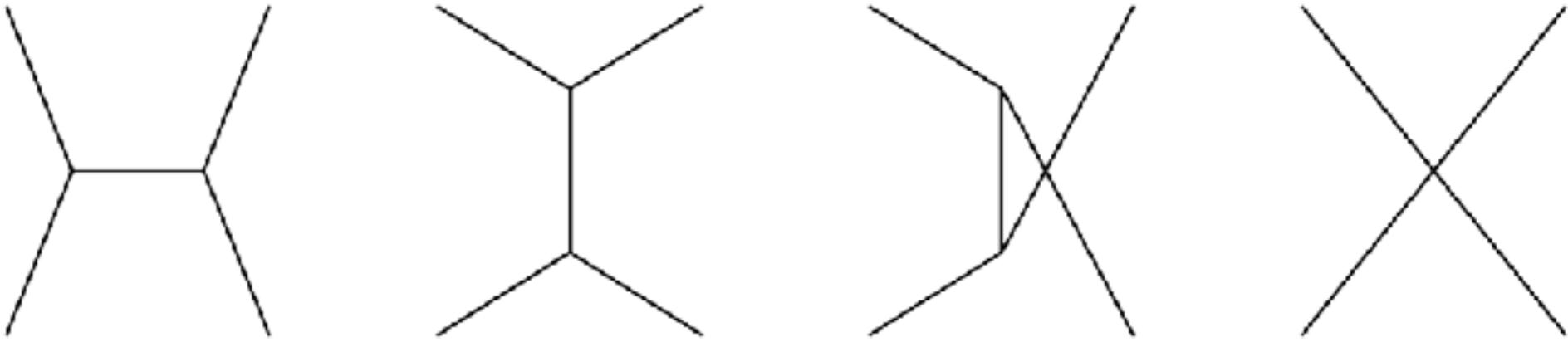
Application 1: Massive Galileon

$$\begin{aligned}\mathcal{L}_{\text{mGal}} = & -\frac{1}{2}\partial^\mu\pi\partial_\mu\pi - \frac{1}{2}m^2\pi^2 \\ & + \sum_{n=3}^5 \frac{g_n}{n!\Lambda^{3(n-2)}} \pi\partial_{\mu_1}\partial^{[\mu_1}\pi\ldots\partial_{\mu_{n-1}}\partial^{\mu_{n-1}]}\pi\end{aligned}$$

Arises from DGP braneworlds and dRGT massive gravity

Non-renormalization theorem

Leading positivity bounds (1)



Pole subtracted amplitude:

$$B(s, t) = a_{00} - a_{10} (\bar{s}\bar{t} + \bar{t}\bar{u} + \bar{u}\bar{s}) - a_{01} \bar{s}\bar{t}\bar{u}$$

$$a_{00} = \frac{m^6}{\Lambda^6} \left[\frac{16g_4}{27} - \frac{295g_3^2}{144} \right], \quad a_{10} = \frac{m^2}{\Lambda^6} \left[-\frac{g_4}{3} + \frac{3g_3^2}{8} \right], \quad a_{01} = \frac{1}{\Lambda^6} \left[-\frac{g_4}{4} + \frac{3g_3^2}{16} \right]$$

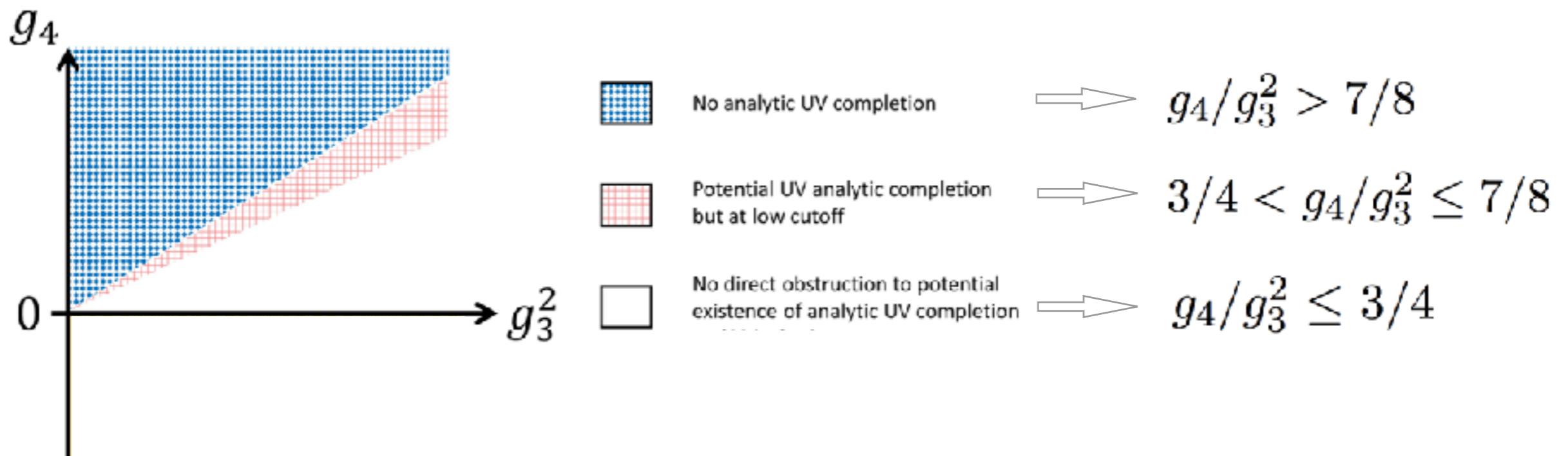
Positivity bounds:

$$Y^{(2,0)} : \quad a_{10} + a_{01}\bar{t} > 0$$

$$Y^{(2,1)} : \quad a_{01} + \frac{3}{2\Lambda_{\text{th}}^2} (a_{10} + a_{01}\bar{t}) > 0 \qquad \qquad 0 \leq t < 4m^2$$

Leading positivity bounds (2)

strongest bound: $t = 4m^2$



■ $3/4 < g_4/g_3^2 \leq 7/8$

$$\Lambda_{\text{th}}^2 < \frac{1}{2}m^2 g_3^2 \Rightarrow \Lambda_{\text{th}} \sim m$$

Application 2: Standard Model Effective Field Theory

- Write down all possible operators with
 - SM particle contents
 - SM gauge group structure $SU(3)_c \times SU(2)_L \times U(1)$

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_j \frac{f_j^{(6)} O_j^{(6)}}{\Lambda^2} + \sum_i \frac{f_i^{(8)} O_i^{(8)}}{\Lambda^4} + \dots$$

Vector boson scattering (VBS)

VBS: a sensitive probe to new physics

$$V_1 + V_2 \rightarrow V_3 + V_4, \quad V_i \in \{Z, W^+, W^-, \gamma\}$$

$$O_{S,0} = [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\mu \Phi)^\dagger D^\nu \Phi]$$

$$O_{S,1} = [(D_\mu \Phi)^\dagger D^\mu \Phi] \times [(D_\nu \Phi)^\dagger D^\nu \Phi]$$

$$O_{S,2} = [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\nu \Phi)^\dagger D^\mu \Phi]$$

$$O_{M,0} = \text{Tr} \left[\hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \right] \times [(D_\beta \Phi)^\dagger D^\beta \Phi]$$

$$O_{M,1} = \text{Tr} \left[\hat{W}_{\mu\nu} \hat{W}^{\nu\beta} \right] \times [(D_\beta \Phi)^\dagger D^\mu \Phi]$$

$$O_{M,2} = \left[\hat{B}_{\mu\nu} \hat{B}^{\mu\nu} \right] \times [(D_\beta \Phi)^\dagger D^\beta \Phi]$$

$$O_{M,3} = \left[\hat{B}_{\mu\nu} \hat{B}^{\nu\beta} \right] \times [(D_\beta \Phi)^\dagger D^\mu \Phi]$$

$$O_{M,4} = \left[(D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} D^\mu \Phi \right] \times \hat{B}^{\beta\nu}$$

$$O_{M,5} = \left[(D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} D^\nu \Phi \right] \times \hat{B}^{\beta\mu}$$

$$O_{M,7} = \left[(D_\mu \Phi)^\dagger \hat{W}_{\beta\nu} \hat{W}^{\beta\mu} D^\nu \Phi \right]$$

$$O_{T,0} = \text{Tr} \left[\hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \right] \times \text{Tr} \left[\hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta} \right]$$

$$O_{T,1} = \text{Tr} \left[\hat{W}_{\alpha\nu} \hat{W}^{\mu\beta} \right] \times \text{Tr} \left[\hat{W}_{\mu\beta} \hat{W}^{\alpha\nu} \right]$$

$$O_{T,2} = \text{Tr} \left[\hat{W}_{\alpha\mu} \hat{W}^{\mu\beta} \right] \times \text{Tr} \left[\hat{W}_{\beta\nu} \hat{W}^{\nu\alpha} \right]$$

$$O_{T,5} = \text{Tr} \left[\hat{W}_{\mu\nu} \hat{W}^{\mu\nu} \right] \times \hat{B}_{\alpha\beta} \hat{B}^{\alpha\beta}$$

$$O_{T,6} = \text{Tr} \left[\hat{W}_{\alpha\nu} \hat{W}^{\mu\beta} \right] \times \hat{B}_{\mu\beta} \hat{B}^{\alpha\nu}$$

$$O_{T,7} = \text{Tr} \left[\hat{W}_{\alpha\mu} \hat{W}^{\mu\beta} \right] \times \hat{B}_{\beta\nu} \hat{B}^{\nu\alpha}$$

$$O_{T,8} = \hat{B}_{\mu\nu} \hat{B}^{\mu\nu} \times \hat{B}_{\alpha\beta} \hat{B}^{\alpha\beta}$$

$$O_{T,9} = \hat{B}_{\alpha\mu} \hat{B}^{\mu\beta} \times \hat{B}_{\beta\nu} \hat{B}^{\nu\alpha},$$

Leading order bounds

If dim-6 ops alone, all positivity bounds violated

$$\mathcal{O}(\Lambda^{-4}) : \quad \sum_i (-C_i) \left(\sum_j D_j f_j^{(6)} \right)^2 \geq 0, \quad C_i > 0$$

Positivity bounds require the existence of higher-D ops!

$$(\text{dim-8 part}) - (\text{dim-6 operators}) > 0$$



weak but simpler bounds

$$(\text{dim-8 part}) > 0 \quad \rightarrow \quad \sum_i E_i f_i^{(8)} \geq 0$$

Leading order bounds (2)

Dim-6:

$$\text{WZ scattering: } a_3^2 b_3^2 \left[e^2 C_{DW} - s_W^2 c_W^2 C_{\varphi D} - 4 s_W^3 c_W C_{\varphi WB} \right]^2 + 36(a_1 b_1 + a_2 b_2)^2 e^2 s_W^2 c_W^2 C_W^2$$

$$\text{WW scattering: } a_3^2 b_3^2 s_W^2 \left(e^2 C_{DB} + c_W^2 C_{\varphi D} \right)^2 + e^2 c_W^2 [6(a_1 b_1 + a_2 b_2) s_W C_W + a_3 b_3 e C_{DW}]^2$$

Dim-8:

$$\epsilon_1^\mu = (a_3 p_1/m_1, a_1, a_2, a_3 E_1/m_1)$$

$$\epsilon_2^\mu = (b_3 p_2/m_2, b_1, b_2, b_3 E_2/m_2)$$

ZZ :

$$8At_W^4(F_{S,0} + F_{S,1} + F_{S,2}) + Dt_W^2(-t_W^4 F_{M,3} + t_W^2 F_{M,5} - 2F_{M,1} + F_{M,7}) \\ + (B + C)(2t_W^8 F_{T,9} + 4t_W^4 F_{T,7} + 8F_{T,2}) + 8B[t_W^4(t_W^4 F_{T,8} + 2F_{T,5} + 2F_{T,6}) + 4F_{T,0} + 4F_{T,1}] \geq 0$$

$W^\pm W^\pm$:

$$4As_W^4(2F_{S,0} + F_{S,1} + F_{S,2}) - 8Es_W^2 F_{M,0} - 2(E + F)s_W^2 F_{M,1} + Fs_W^2 F_{M,7} \\ + (4B + 6C)F_{T,2} + 16BF_{T,0} + 24BF_{T,1} \geq 0$$

Leading order bounds (3)

$W^\pm W^\mp$:

$$\begin{aligned} & 4As_W^4 (2F_{S,0} + F_{S,1} + F_{S,2}) - 2(G - E)s_W^2 F_{M,1} + 8Es_W^2 F_{M,0} + Gs_W^2 F_{M,7} \\ & + (4B + 6C)F_{T,2} + 16BF_{T,0} + 24BF_{T,1} \geq 0 \end{aligned}$$

$W^\pm Z$:

$$\begin{aligned} & 4Ac_W^2 t_W^4 (F_{S,0} + F_{S,2}) + t_W^2 (D - Hs_W^2) (F_{M,7} - 2F_{M,1}) - Hc_W^2 t_W^4 (t_W^2 F_{M,3} + F_{M,5}) \\ & + 4B (t_W^4 F_{T,6} + 4F_{T,1}) + C (t_W^4 F_{T,7} + 4F_{T,2}) \geq 0 \end{aligned}$$

$Z\gamma$:

$$\begin{aligned} & B [32c_W^4 (F_{T,0} + F_{T,1}) - 16c_W^2 s_W^2 F_{T,5} + 4(c_W^2 - s_W^2)^2 F_{T,6} - F_{T,7} + 8s_W^4 F_{T,8}] \\ & + (B + C) [(c_W^2 - s_W^2)^2 F_{T,7} + 8c_W^4 F_{T,2} + 2s_W^4 F_{T,9}] - Hc_W^2 s_W^2 (2F_{M,1} + F_{M,3} + F_{M,5} - F_{M,7}) \geq 0 \end{aligned}$$

$W^\pm \gamma$:

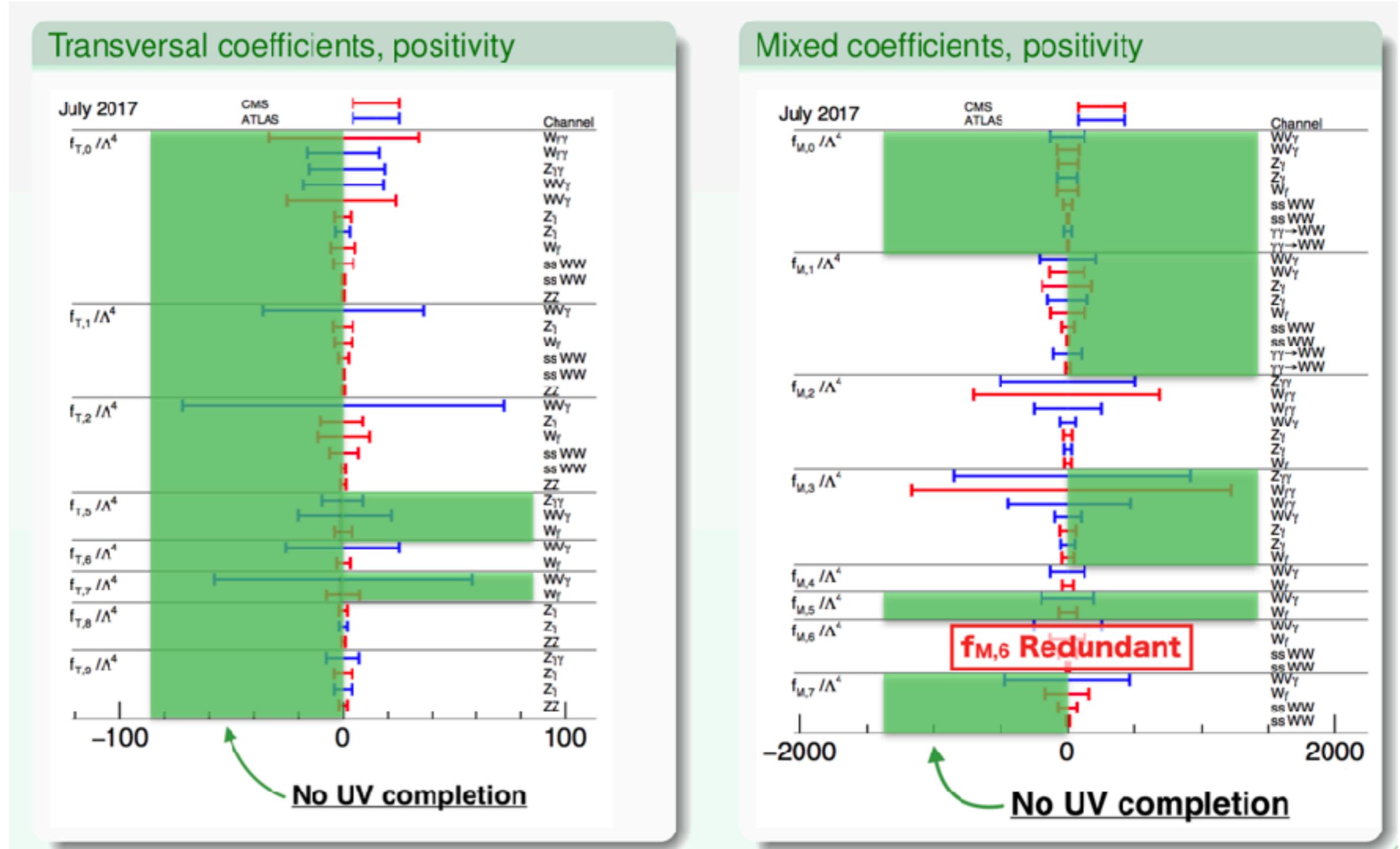
$$4B (4F_{T,1} + F_{T,6}) + C (4F_{T,2} + F_{T,7}) - Hs_W^2 (2F_{M,1} + F_{M,3} - F_{M,5} - F_{M,7}) \geq 0$$

$\gamma\gamma$:

$$(B + C) (4F_{T,2} + 2F_{T,7} + F_{T,9}) + 4B (4F_{T,0} + 4F_{T,1} + 2F_{T,5} + 2F_{T,6} + F_{T,8}) \geq 0,$$

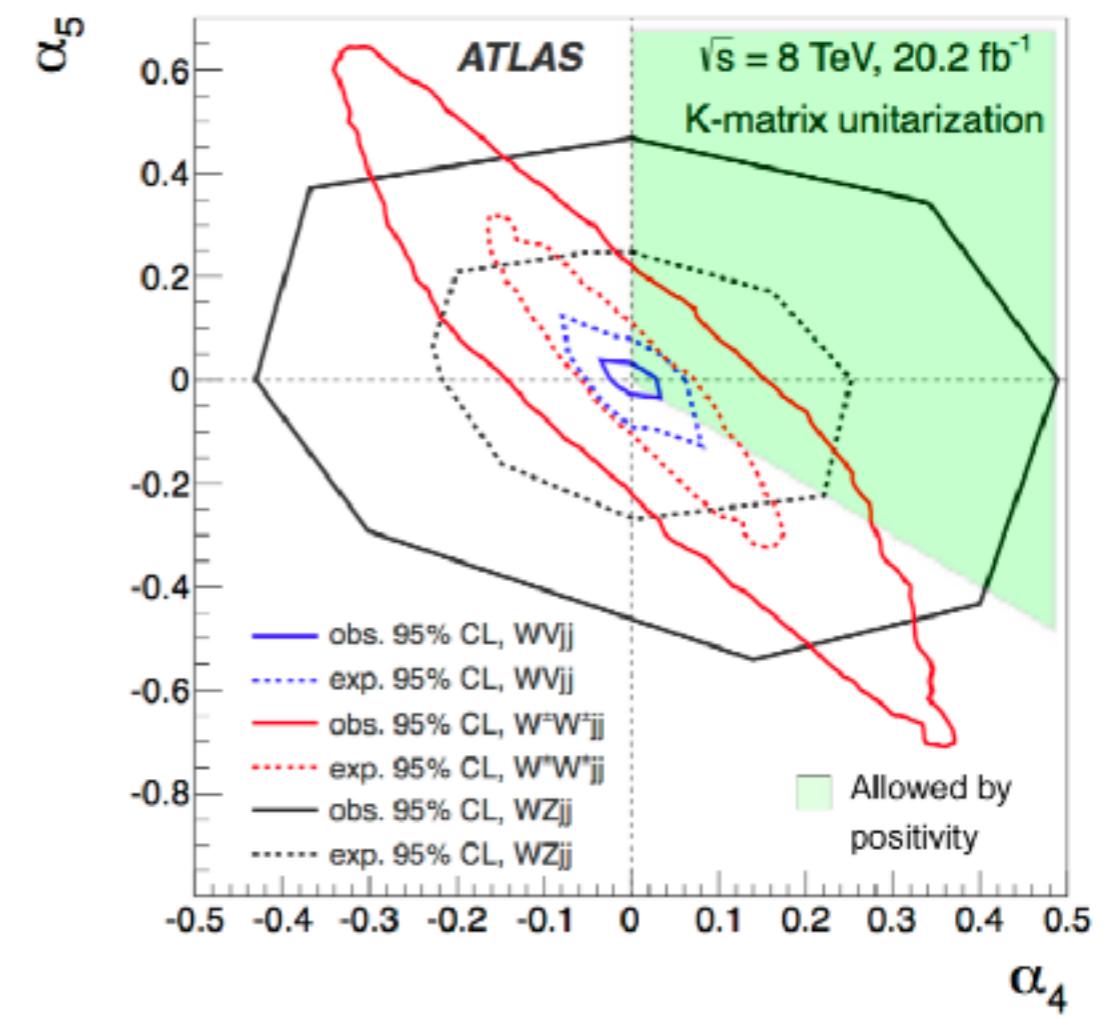
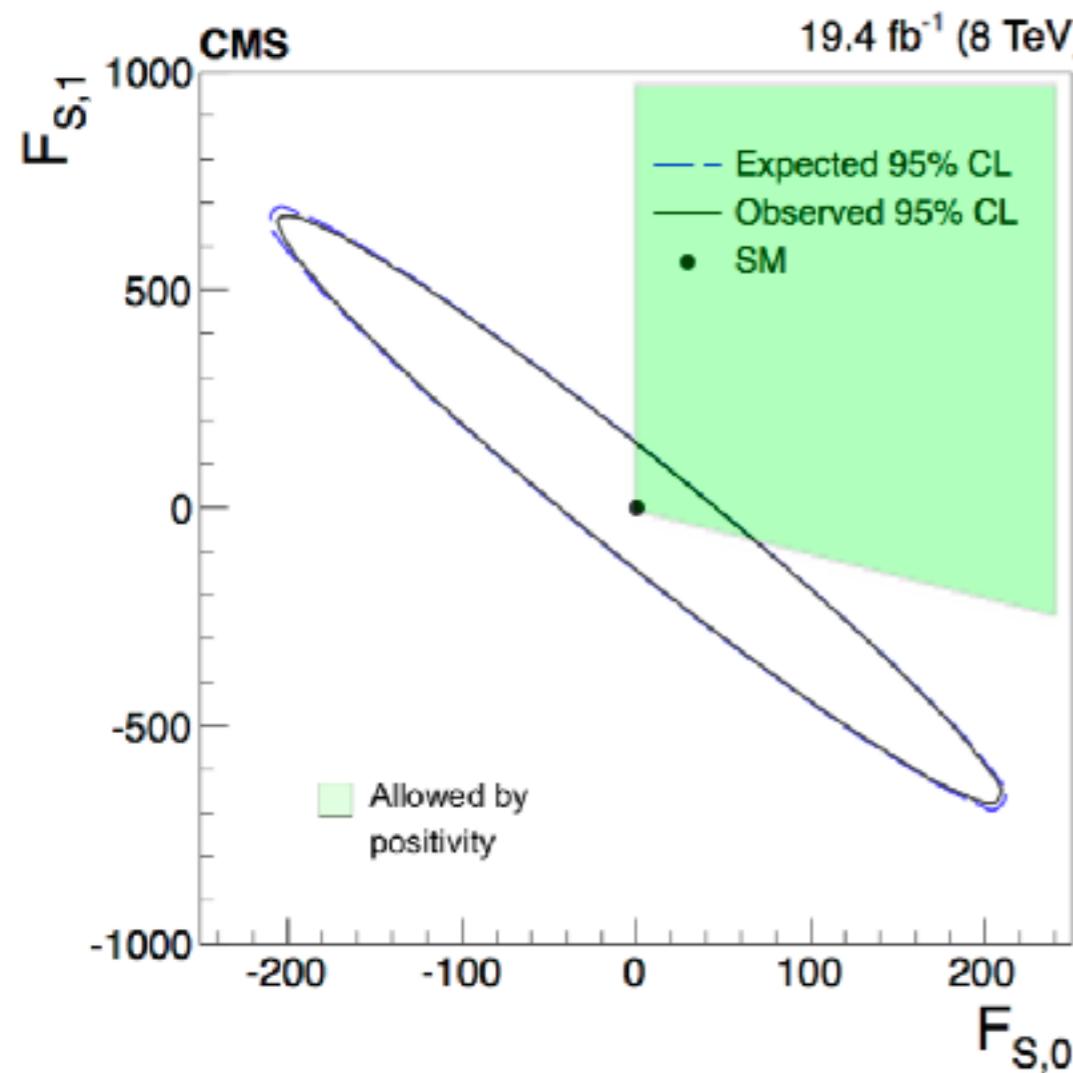
1D bounds

Cen Zhang & SYZ, arXiv:1808.00010



2D bounds: an example

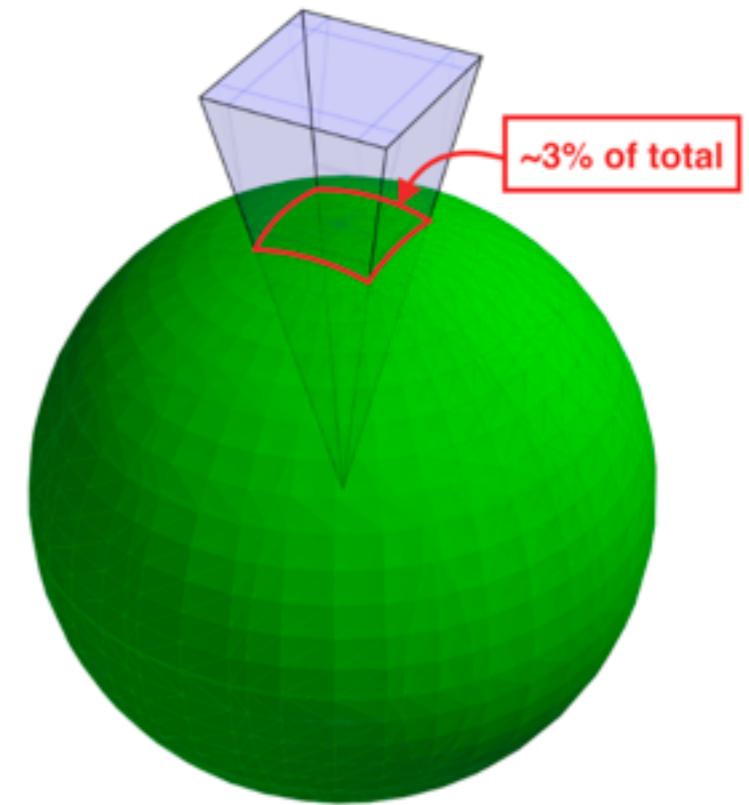
The case of O_{S0} and O_{S1}



General bounds

All 18 parameters:

Randomly take points on the 18D sphere, uniformly distributed, and count how many of them satisfy all positivity constraints for all polarizations.



Only ~3% of the total parameter space admit a local/Lorentz invariant UV completion!

Application 3: Massive gravity

Generic massive gravity $S = \frac{m_{\text{Pl}}^2}{2} \int d^4x \sqrt{-g} \left[R - \frac{m^2}{4} V(g, h) \right]$

$$V(g, h) = V_2(g, h) + V_3(g, h) + V_4(g, h) + \dots$$

$$V_2(g, h) = + b_1 \langle h^2 \rangle + b_2 \langle h \rangle^2 \quad b_1 = -b_2 = 1 \quad \text{Fierz-Pauli tuning}$$

$$V_3(g, h) = + c_1 \langle h^3 \rangle + c_2 \langle h \rangle^2 \langle h \rangle + c_3 \langle h \rangle^3 \quad \text{Fierz & Pauli, 1930s}$$

$$V_4(g, h) = + d_1 \langle h^4 \rangle + d_2 \langle h^3 \rangle \langle h \rangle + d_3 \langle h^2 \rangle^2 + d_4 \langle h^2 \rangle \langle h \rangle^2 + d_5 \langle h \rangle^4$$

Boulware-Deser ghost

There is a nonlinear ghost/6th mode!

Boulware & Deser, 1970s

dRGT Massive Gravity

de Rham, Gabadze & Tolley, 2010

$$\mathcal{L} = M_P^2 \sqrt{-g} \left(\frac{R}{2} + m^2 \left(\mathcal{K}_{[\mu}^\mu \mathcal{K}_{\nu]}^\nu + \alpha_3 \mathcal{K}_{[\mu}^\mu \mathcal{K}_{\nu]}^\nu \mathcal{K}_{\rho]}^\rho + \alpha_4 \mathcal{K}_{[\mu}^\mu \mathcal{K}_{\nu]}^\nu \mathcal{K}_{\rho]}^\rho \mathcal{K}_{\sigma]}^\sigma \right) + \mathcal{L}_m \right)$$

where $\mathcal{K}_\nu^\mu = \delta_\nu^\mu - \mathcal{X}_\nu^\mu$ $\mathcal{X} = \sqrt{g^{-1}\eta}$, $g^{-1} = (g^{\mu\nu})$, $\eta = (\eta_{\mu\nu})$

The **unique** graviton potential to eliminate the BD ghost!

de Rham & Gabadze, 2010
de Rham, Gabadze & Tolley, 2010

Hassan & Rosen, 2011

dRGT in Vierbein form

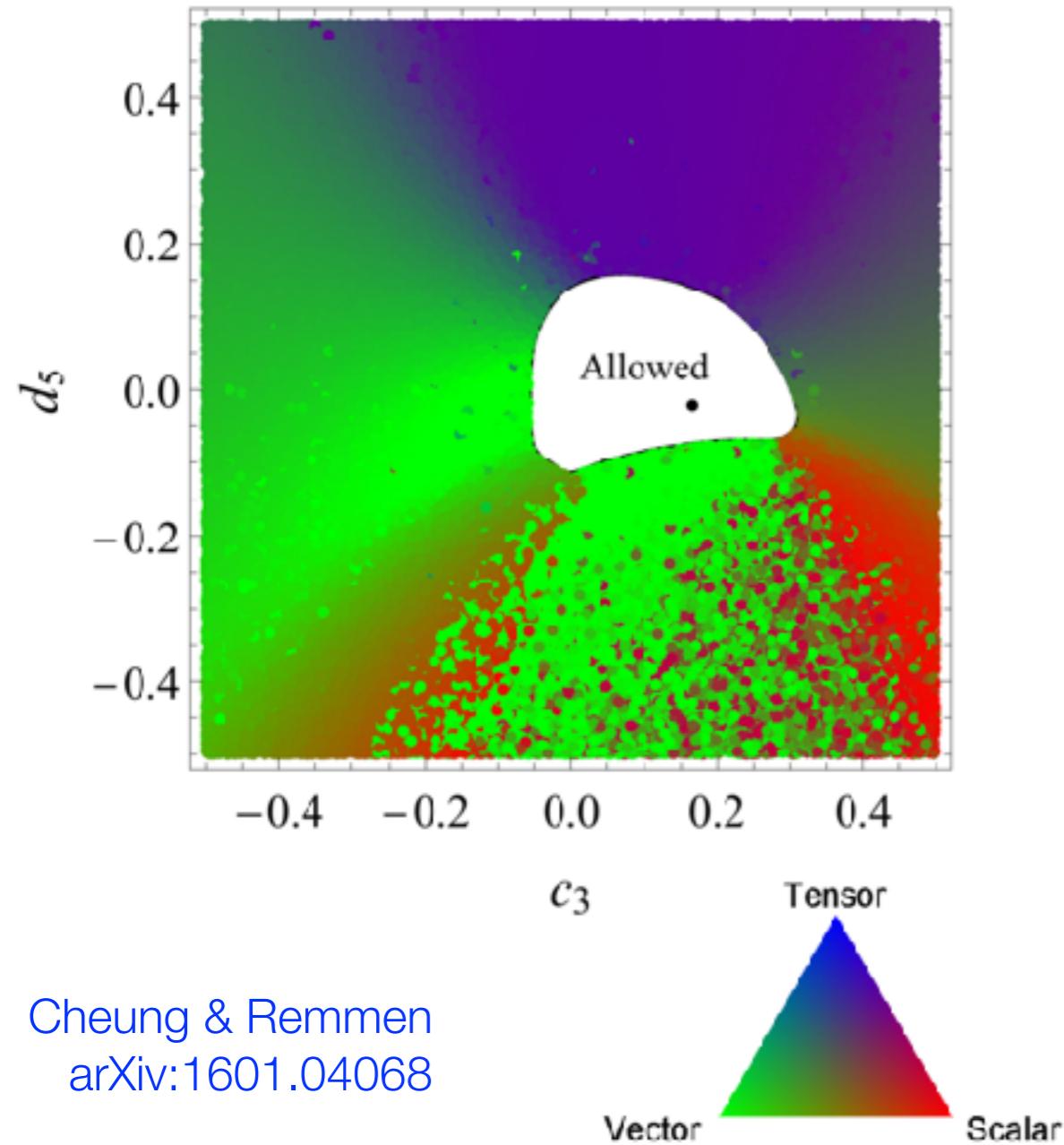
Hinterbichler & Rosen, 2012

$$S_{\text{dRGT}} = \frac{M_P^2}{4} \int \epsilon_{abcd} R^{ab} \wedge e^a \wedge e^b + m^2 V(e, I)$$

$$\begin{aligned} V(e, I) = & \epsilon_{abcd} (c_0 e^a \wedge e^b \wedge e^c \wedge e^d + c_1 e^a \wedge e^b \wedge e^c \wedge I^d \\ & + c_2 e^a \wedge e^b \wedge I^c \wedge I^d + c_3 e^a \wedge I^b \wedge I^c \wedge I^d \\ & + c_4 I^a \wedge I^b \wedge I^c \wedge I^d) \end{aligned}$$

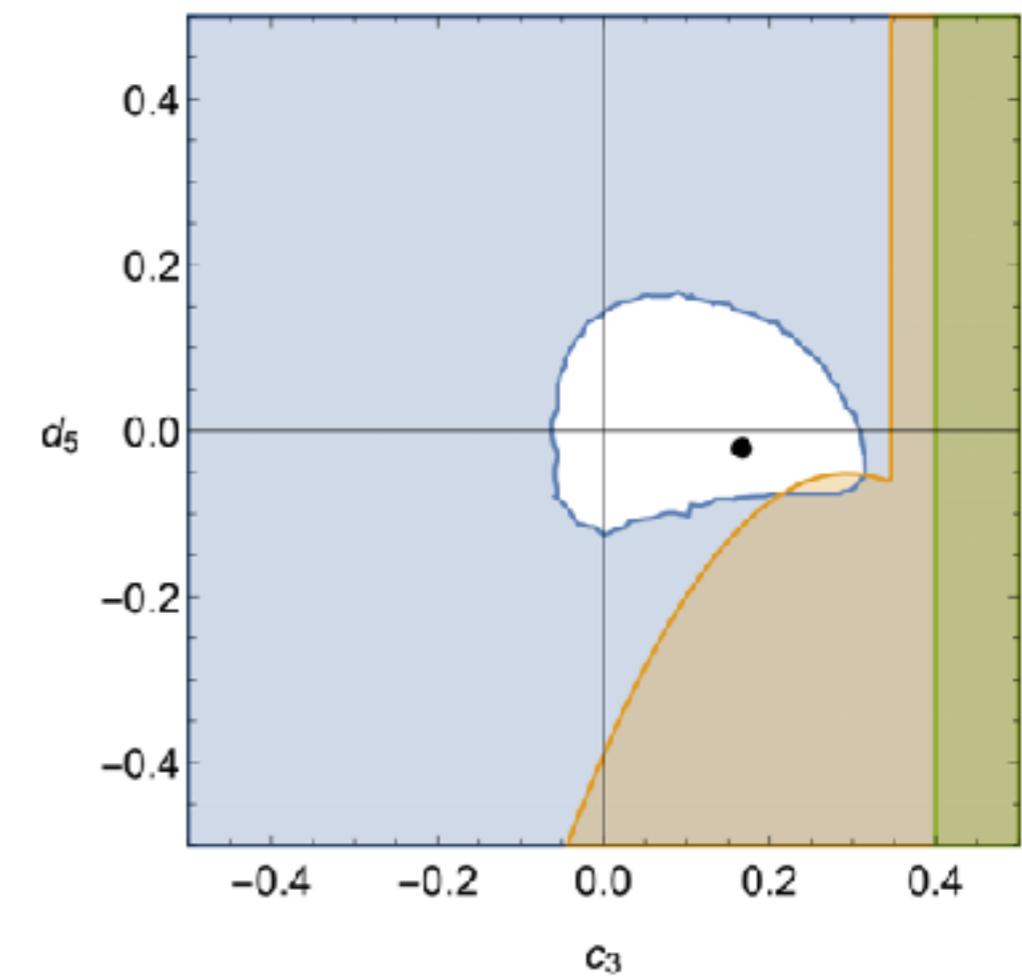
Positivity bounds on dRGT

“Old” bound



Cheung & Remmen
arXiv:1601.04068

Generalized bounds



de Rham, Melville, Tolley & **SYZ**
arXiv:1804.10624 [hep-th]

Generalized bounds on generic massive gravity

Generic massive gravity

relevant parameters $\{c_1, d_1, \Delta c, \Delta d\}$

dRGT massive gravity: $\Delta c = \Delta d = 0$

generalized bounds  $\Delta c = \Delta d = 0$



dRGT massive gravity

Take-home messages

Not all low energy EFTs have a UV completion!

Positivity bounds: constraints on Wilson coefficients

Generalized positivity bounds can often improve the bounds!

Massive gravity may be UV completed in the standard way.

Generalized bounds → dRGT model

Most of the parameter space of the
SMEFT do not admit a UV completion.

Low energy? Think positive!

(pun intended)