

# Modular symmetry at level 6 and a new route towards finite modular groups

**黎才昌**

In collaboration with

**Xiang-Gang Liu, Gui-Jun Ding**

Based on *JHEP* 10 (2021) 238 [arXiv:2108.02181]

**彭桓武高能基础理论研究中心**

**March 3<sup>rd</sup>, 2022**

# Outline

1

**Motivation**

2

**Modular symmetry**

3

**Modular forms and gCP**

4

**A new route towards**

5

**Model building and predictions**

6

**Conclusions**

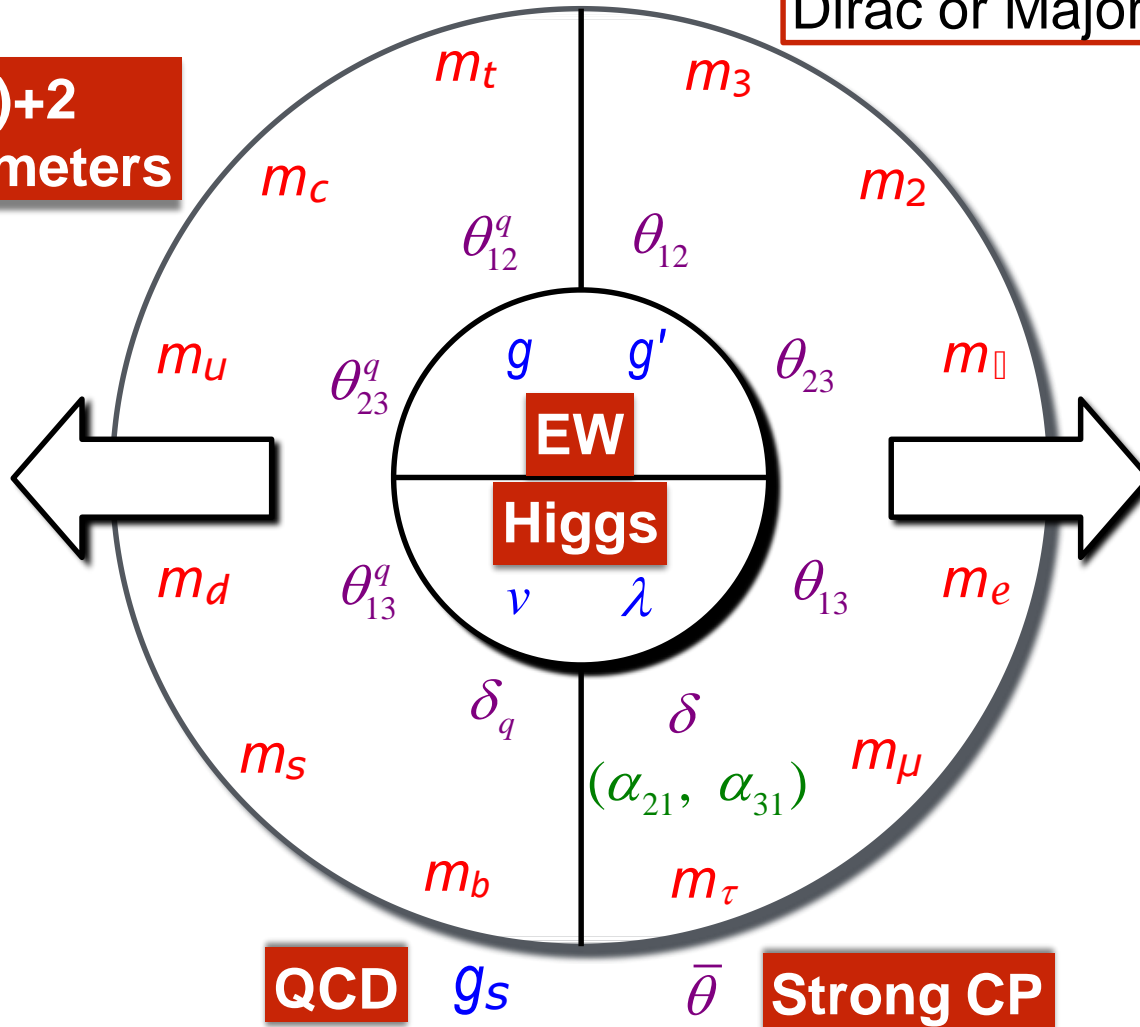
# 1. Motivation

SM + massive neutrinos

Neutrino may take Dirac or Majorana masses

24(26)+2  
free parameters

Quark  
masses  
and  
mixing



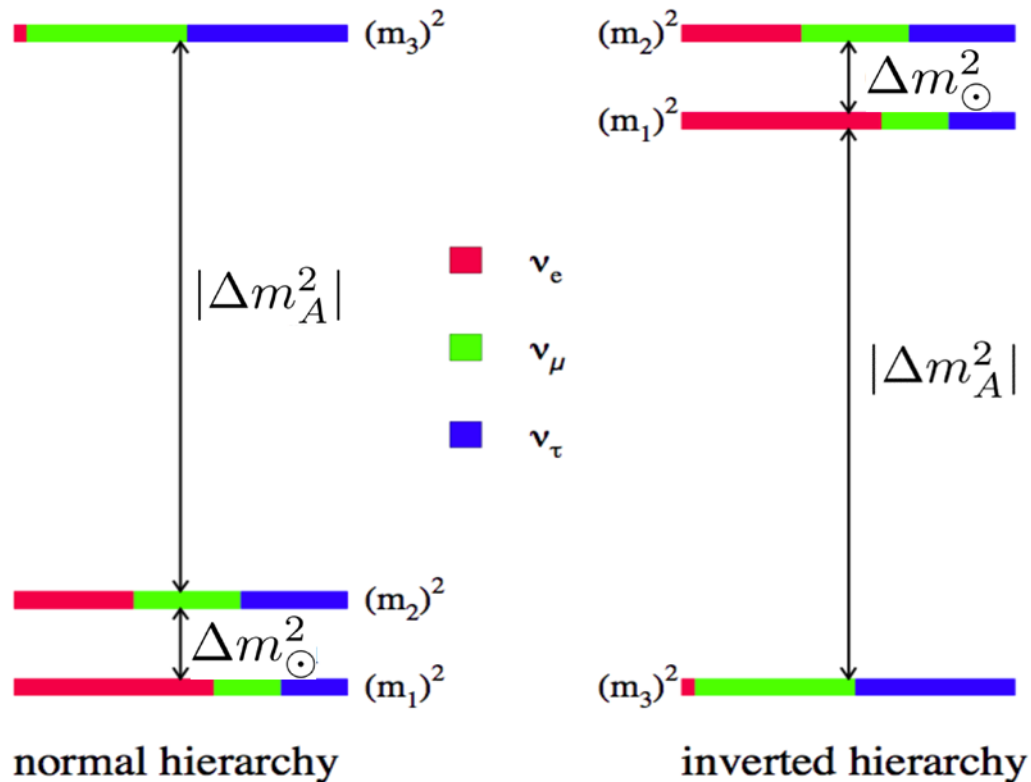
Lepton  
masses  
and  
mixing

QCD  $g_s$   $\bar{\theta}$  Strong CP

# 3ν flavour paradigm

## Masses: ordering

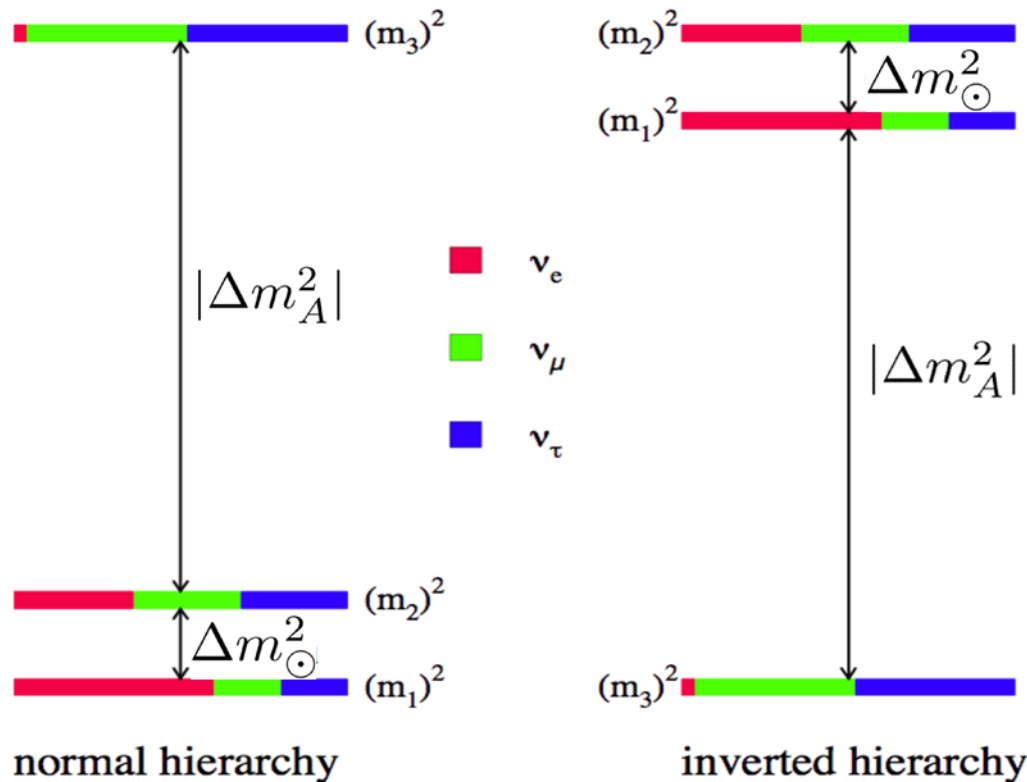
$$\frac{\Delta m_{\odot}^2}{|\Delta m_A^2|} \sim \frac{1}{30}$$



# 3ν flavour paradigm

## Masses: ordering

$$\frac{\Delta m_{\odot}^2}{|\Delta m_A^2|} \sim \frac{1}{30}$$



## Mixing: parameterisation

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_{21}/2} & 0 \\ 0 & 0 & e^{i\alpha_{31}/2} \end{pmatrix}$$

Atmospheric mixing

Reactor mixing &  
Dirac CP phase

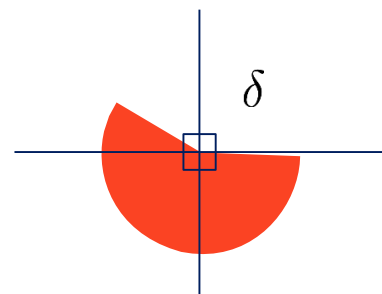
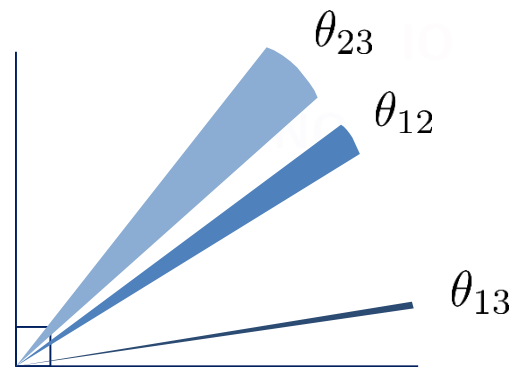
Solar mixing

Majorana CP  
phases

# NuFit 5.1

For a spectrum with NO:

Parameter	Best-fit value
$\Delta m_{\odot}^2$	$7.42 \times 10^{-5} \text{ eV}^2$
$ \Delta m_{\text{A}}^2 $	$2.510 \times 10^{-3} \text{ eV}^2$
$\sin^2 \theta_{12}$	0.304
$\sin^2 \theta_{13}$	0.2246
$\sin^2 \theta_{23}$	0.450
$\delta$	$1.278 \pi$

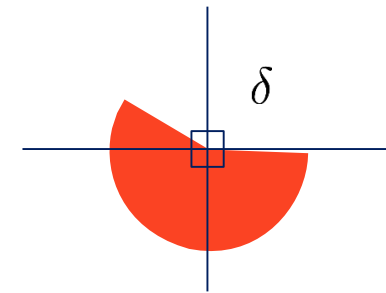
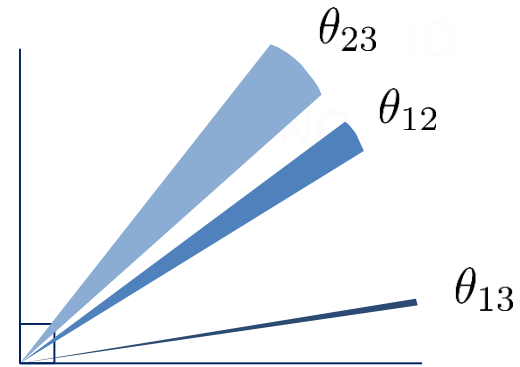


$\sim 3\sigma$

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$\sim 3\sigma$

**Is there an organizing principle behind this?**

# Flavour symmetries

For the lepton sector, at low energy and in some flavour basis:

$$\mathcal{L} = -\bar{l}_L m_l l_R - \frac{1}{2} \nu_L^T C m_\nu \nu_L + \frac{g}{\sqrt{2}} \bar{l}_L \gamma^\mu \nu_L W_\mu^- + h.c.$$



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Flavour symmetry

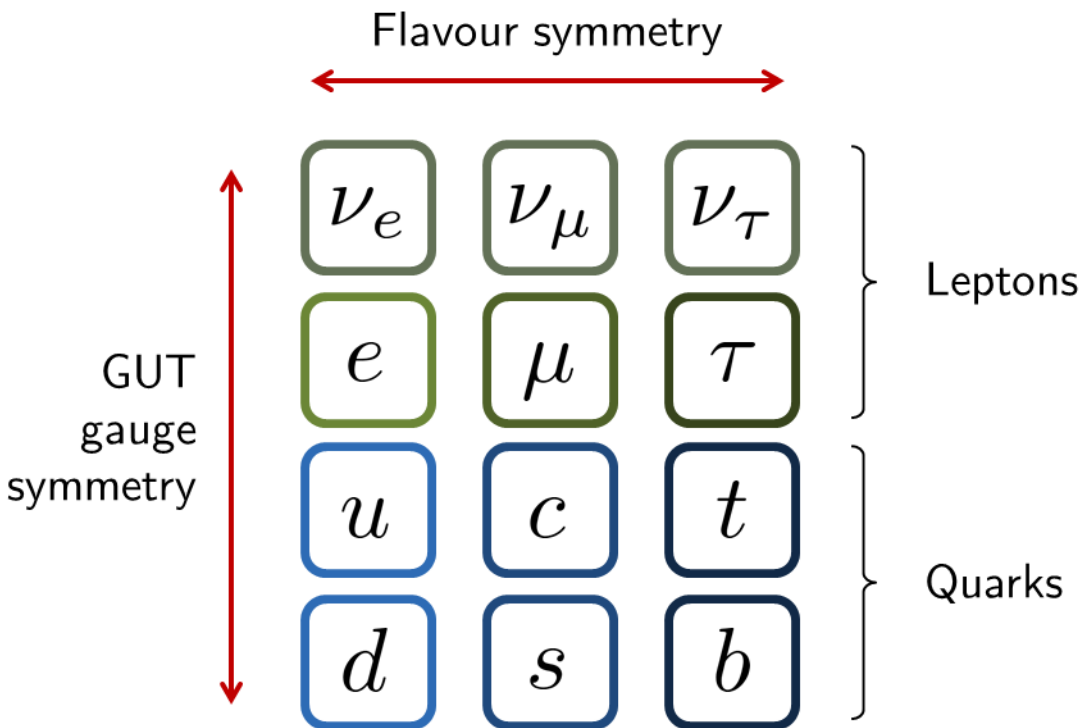


$\nu_e$	$\nu_\mu$	$\nu_\tau$	Leptons
$e$	$\mu$	$\tau$	
$u$	$c$	$t$	Quarks
$d$	$s$	$b$	

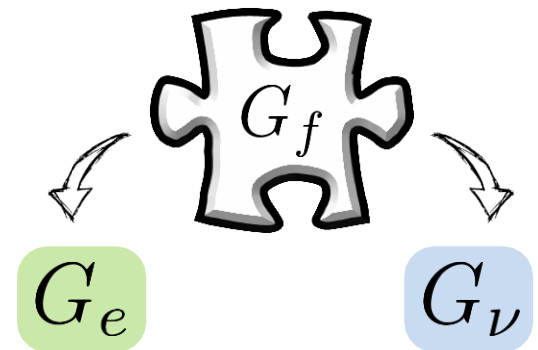
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**Non-Abelian discrete flavour symmetries**



constrain mixing and Dirac phase

For reviews, see: Altarelli and Feruglio (2010), Ishimori et al. (2010),

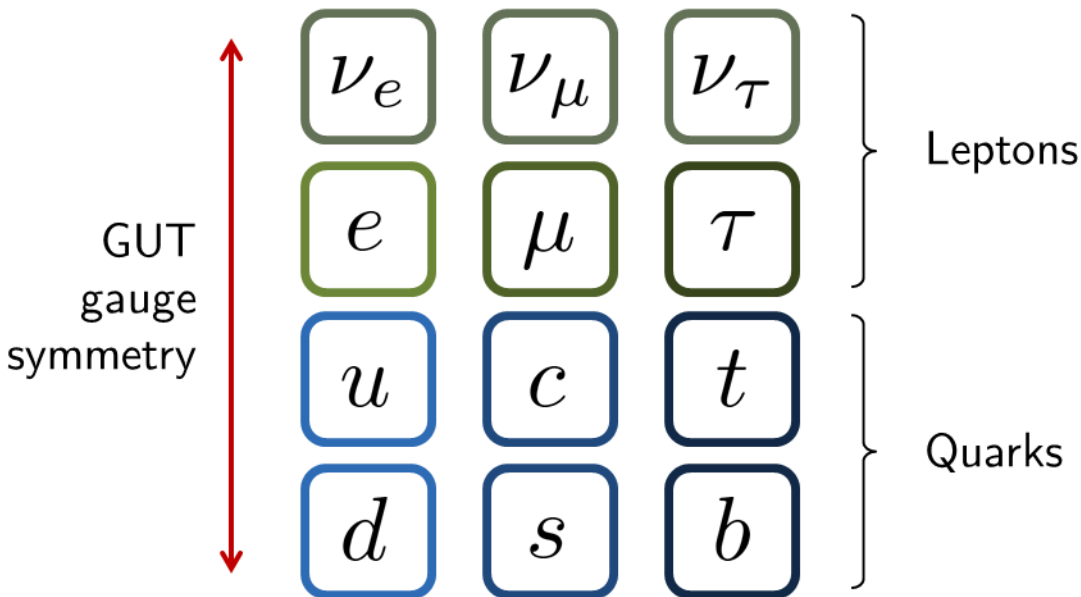
# Flavour symmetries

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Flavour symmetry

Tri-bimaximal:

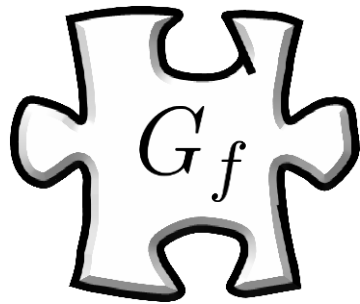


$$U_{TB} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

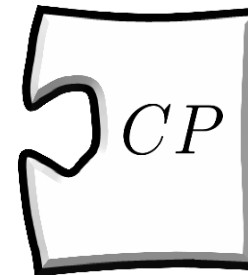
$$\theta_{12}^{TB} = 35.26^\circ, \theta_{23}^{TB} = 45^\circ, \theta_{13}^{TB} = 0^\circ$$

For reviews, see: Altarelli and Feruglio (2010), Ishimori et al. (2010),

# Flavour symmetries + gCP



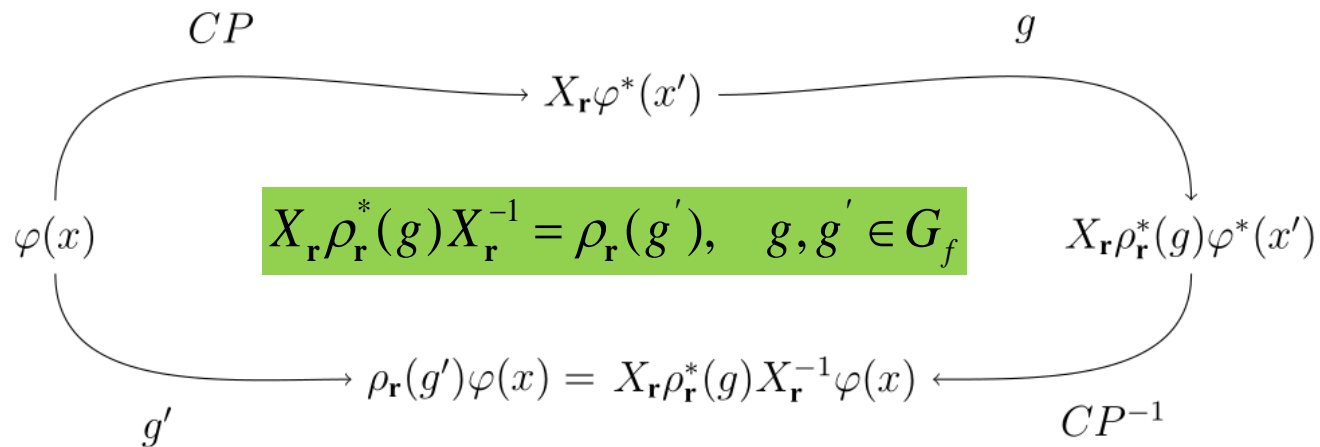
$$\psi(x) \rightarrow \rho_{\mathbf{r}}(g) \psi(x)$$



$$\psi(x) \rightarrow X_{\mathbf{r}}^{\text{CP}} \overline{\psi}(x_{\text{P}})$$

Branco, Lavoura, Rebelo (1986), Harrison, Scott (2002),  
Grimus, Lavoura (2003), Farzan, Smirnov (2006),  
Ferreira, Grimus, Lavoura, Ludl (2012) , ...

# Flavour symmetries + gCP



**Consistency condition** [Feruglio, et al., Holthausen et al. (2012)]

**Class-inverting outer automorphism** [Chen et al. (2014)]

# Flavour symmetries + gCP



constrain mixing, Dirac and Majorana phases

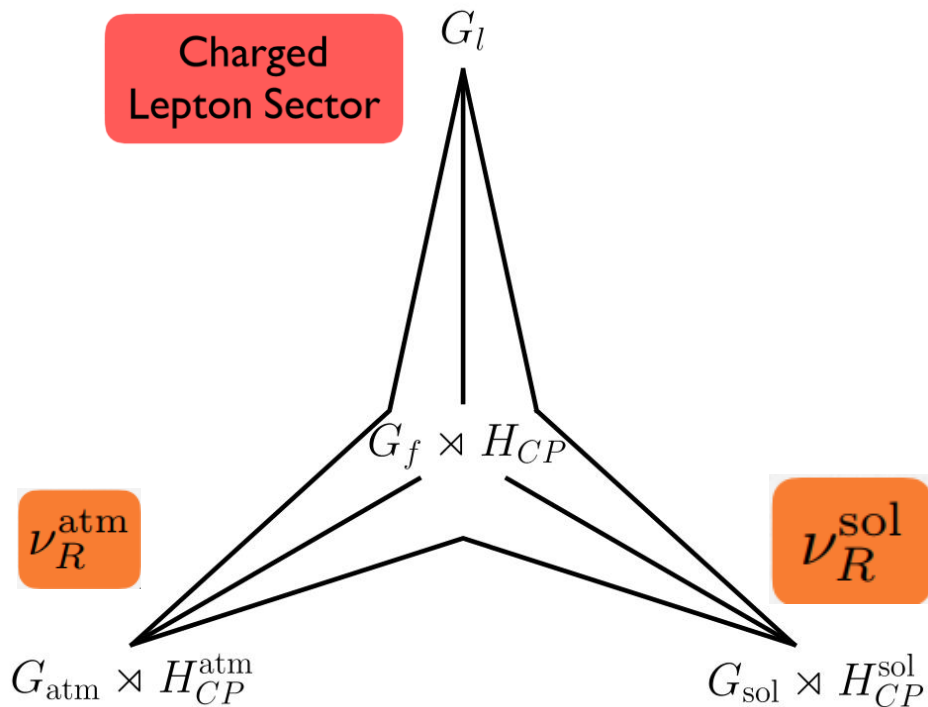
Feruglio, Hagedorn, Ziegler (2012),

Holthausen, Lindner, Schmidt (2013),

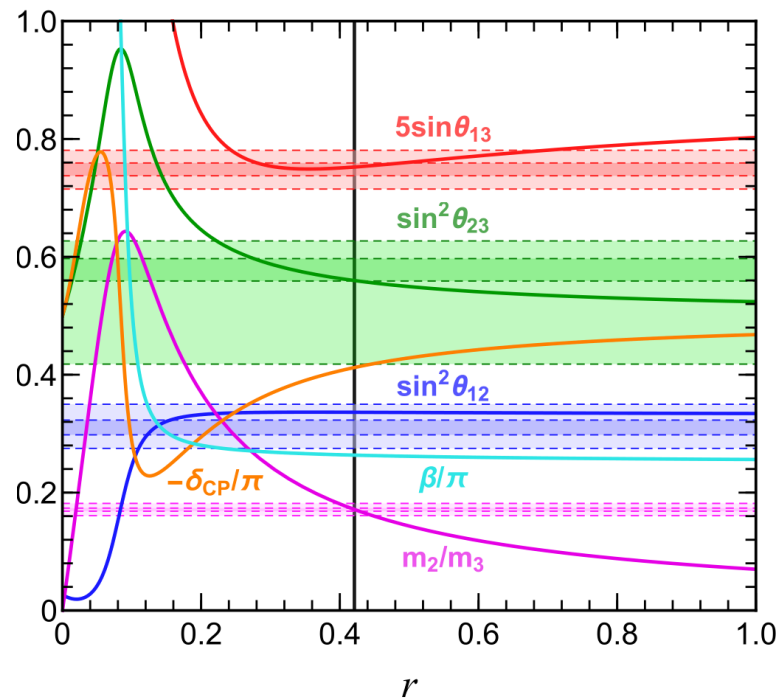
Ding, King, Luhn, Stuart (2013)

Chen, Fallbacher, Mahanthappa, Ratz, Trautner (2014), ...

# Tri-Direct CP approach

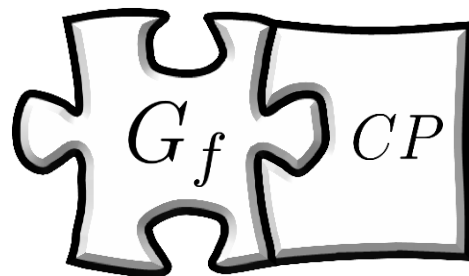


Constrain mixing angles, Dirac phase, Majorana phase and **neutrino masses**



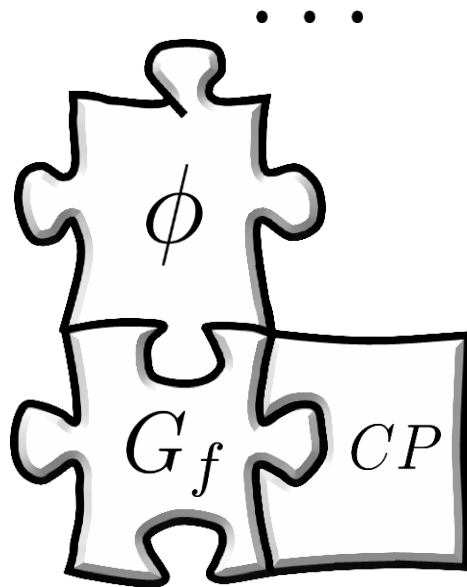
G.J.Ding, S.F.King and C.C.Li,  
JHEP 12 (2018) 003

# Problems with the usual approach

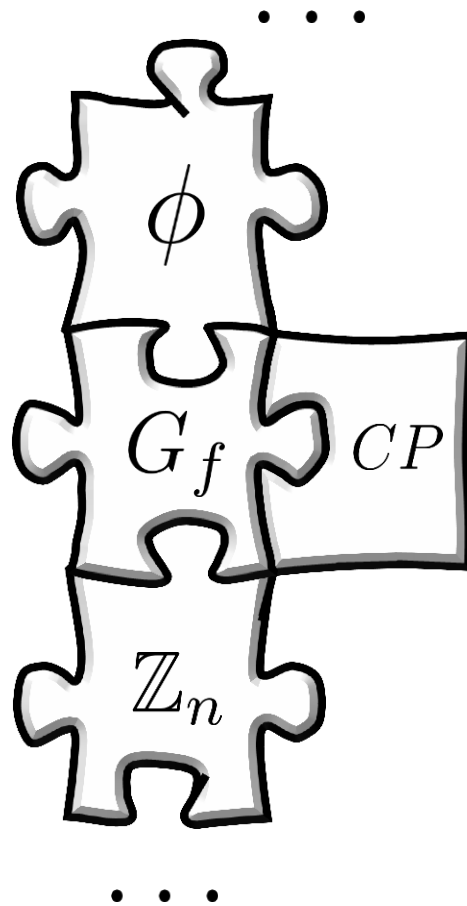




# Problems with the usual approach

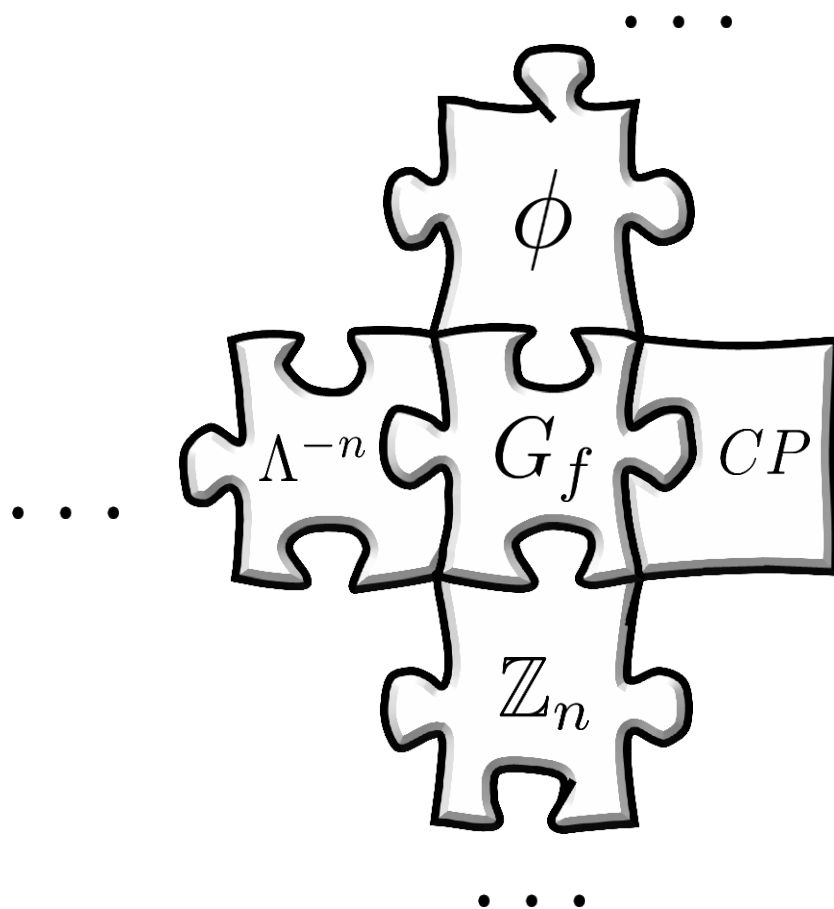


# Problems with the usual approach



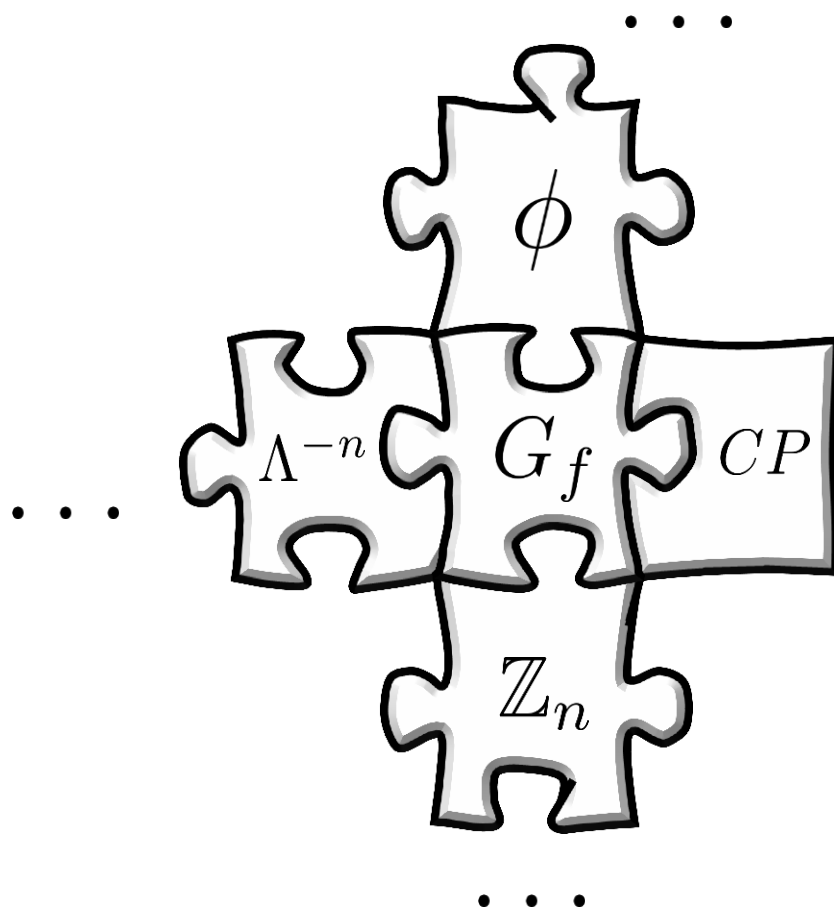
# Problems with the usual approach

J.T. Penedo  
FLASY 2019



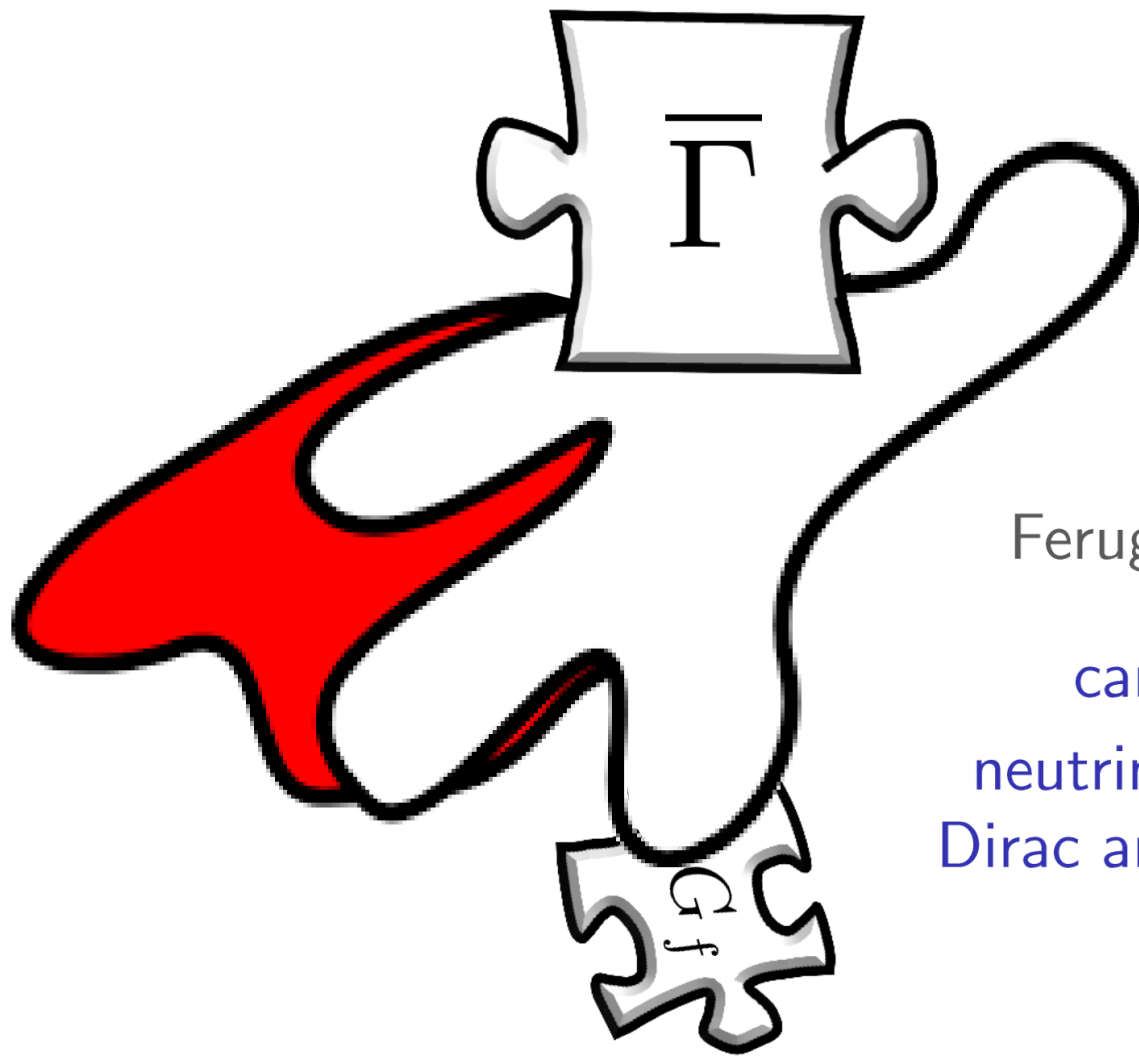
# Problems with the usual approach

J.T. Penedo  
FLASY 2019



*What is the origin of finite groups ?*

J.T. Penedo  
FLASY 2019



Feruglio, 1706.08749

can constrain all:  
neutrino masses, mixing,  
Dirac and Majorana phases

## 2. Modular symmetry

---

The homogeneous modular group  $\Gamma \cong SL(2, \mathbb{Z})$

$$SL(2, \mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid ad - bc = 1, \quad a, b, c, d \in \mathbb{Z} \right\}.$$

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Generators  $S$  and  $T$

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad S^2 = -1, \quad (ST)^3 = 1, \quad S^2T = TS^2.$$

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The modular group  $\bar{\Gamma} \cong PSL(2, \mathbb{Z}) \cong SL(2, \mathbb{Z}) / \{I, -I\}$

$$S^2 = (ST)^3 = 1, \quad \mathcal{H} = \{\tau \in \mathbb{C} \mid \text{Im} \tau > 0\},$$



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$$\tau \mapsto \gamma\tau \equiv \frac{a\tau + b}{c\tau + d}, \quad \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \bar{\Gamma}, \quad S : \tau \mapsto -\frac{1}{\tau}, \quad T : \tau \mapsto \tau + 1$$

Principal congruence subgroups of  $SL(2, \mathbb{Z})$ ,  $N = 2, 3, 4, \dots$

$$\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z}), \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

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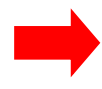
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Projective principal congruence subgroups

$$\bar{\Gamma}(2) \equiv \Gamma(2)/\{I, -I\} \quad \bar{\Gamma}(N) \equiv \Gamma(N), \quad N > 2$$

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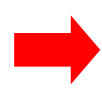
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Finite modular groups:  $\Gamma_N \equiv \bar{\Gamma} / \bar{\Gamma}(N)$  F. Feruglio, 1706.08749

$$S^2 = (ST)^3 = T^N = 1, \quad \text{for } N \leq 5$$

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$\Gamma_2 \cong S_3$

Kobayashi et al., 1803.10391 (+A<sub>4</sub>)  
 Kobayashi et al., 1812.11072 (+A<sub>4</sub>)  
 Kobayashi et al., 1906.10341  
 Okada, Orikasa, 1907.04716

$\Gamma_3 \cong A_4$

Ding, Feruglio 2003.13448  
 Okada, Tanimoto 2005.00775  
 Asaka, Heo 2009.12120  
 Yao, Lu, 2012.13390  
 Okada, Shimizu 2105.14292  
 Novichkov, Penedo 2102.07488  
 Feruglio, Gherardi 2101.08718

$\Gamma_4 \cong S_4$


JP, Petrov, 1806.11040  
 Novichkov et al., 1811.04933  
 Kobayashi et al., 1907.09141  
 King, Zhou 1908.02770  
 Wang, Zhou 1910.09473  
 Wang 2007.05913  
 Ding, King 2103.16311  
 Qu, Liu 2106.11659

$\Gamma_5 \cong A_5$

Novichkov et al., 1812.02158  
 Ding et al., 1903.12588  
 Wang, Yu 2010.10159  
 Yao, Liu 2011.03501  
 Wang, Zhou 2102.04358

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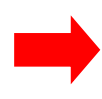
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X.G.Liu and G.J.Ding,  
JHEP 08 (2019) 134



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X.G.Liu and G.J.Ding,  
JHEP 08 (2019) 134

$$\Gamma'_2 \cong S_3, \quad \Gamma'_3 \cong T', \quad \Gamma'_4 \cong S'_4, \quad \Gamma'_5 \cong A'_5$$

Principal congruence subgroups of  $SL(2, \mathbb{Z})$ ,  $N = 2, 3, 4, \dots$

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X.G.Liu and G.J.Ding,  
JHEP (2019)

Jun-Nan Liu, Xiang-Gan Liu,  
Gui-Jun Ding, Phys.Rev.D  
(2020)

X.G.Liu, C.Y. Yao and G.J.Ding,  
Phys.Rev.D (2021)

B.Y. Qu, X.G.Liu, P.T.Chen and  
G.J.Ding, Phys.Rev.D (2021) 7  
P. P. Novichkov, J. T. Penedo, and  
S. T. Petcov, Nucl Phys B (2021)

X. Wang, B. Yu, and S. Zhou,  
Phys.Rev.D (2021)  
C.Y. Yao, X.G.Liu and G.J.Ding,  
Phys.Rev.D (2021)

Multiplication rules of  $\Gamma_7 \cong \Sigma(168)$

G.J.Ding, S.F.King, C.C.Li and  
Y.L.Zhou, JHEP 08 (2020) 164

$$S^2 = (ST)^3 = T^7 = (ST^3)^4 = 1$$

# Multiplication rules of $\Gamma_7 \cong \Sigma(168)$

G.J.Ding, S.F.King, C.C.Li and  
Y.L.Zhou, JHEP 08 (2020) 164

$$S^2 = (ST)^3 = T^7 = (ST^3)^4 = 1$$

	Conjugacy Classes					
	$1C_1$	$21C_2$	$56C_3$	$42C_4$	$24C_7$	$24C'_7$
<b>1</b>	1	1	1	1	1	1
<b>3</b>	3	-1	0	1	$b_7$	$\bar{b}_7$
$\bar{\mathbf{3}}$	3	-1	0	1	$\bar{b}_7$	$b_7$
<b>6</b>	6	2	0	0	-1	-1
<b>7</b>	7	-1	1	-1	0	0
<b>8</b>	8	0	-1	0	1	1

The character table of the  $\Gamma_7$  group with  $b_7 = (-1 + i\sqrt{7})/2$  and  $\bar{b}_7 = b_7^* = -(1 + i\sqrt{7})/2$ .

# 3. Modular forms and gCP

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Holomorphic functions transforming under

$$f(\gamma\tau) = (c\tau + d)^k f(\tau), \quad \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma(N)$$

# 3. Modular forms and gCP

Holomorphic functions transforming under

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weight (non-negative, integer)

level (natural)

X.G.Liu and  
G.J.Ding, JHEP 08  
(2019) 134

# 3. Modular forms and gCP

Holomorphic functions transforming under

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weight (non-negative, fractional)

level (natural)

C.Y Yao, X.G.Liu  
and G.J.Ding,  
Phys.Rev.D 103  
(2021) 9, 095013

### 3. Modular forms and gCP

Holomorphic functions transforming under

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level (natural)

Dimension of modular forms of level  $N$  and weight  $k$

$$\dim \mathcal{M}_k(\Gamma(N)) = \frac{(k-1)N + 6}{24} N^2 \prod_{p|N} \left(1 - \frac{1}{p^2}\right), \quad N > 2, k \geq 1$$

F. Diamond and J.M. Shurman, Springer (2005)



# 3. Modular forms and gCP

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$N \setminus k$	$k$	0	1	2	3	4
2	$k/2+1$	1	-	2	-	3
3	$k+1$	1	2	3	4	5
4	$2k+1$	1	3	5	7	9
5	$5k+1$	1	6	11	16	21
6	$6k$	1	6	12	18	24
7	$14k-2$	1	12	26	40	54

# 3. Modular forms and gCP

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There exists a basis in this space

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representation matrix of  $\Gamma_N$

$N \setminus k$	$k$	0	1	2	3	4
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## Jacobi theta functions

$$\theta_3(u, \tau) = \prod_{n=1}^{\infty} (1 - q^n)(1 + q^{n-1/2} e^{2\pi i u})(1 + q^{n-1/2} e^{-2\pi i u}),$$

$$q = e^{2\pi i \tau}$$

## “seed” functions

$$\alpha_{1,-1}(\tau) = \theta_3\left(\frac{\tau+1}{2}, 7\tau\right), \quad \alpha_{2,-1}(\tau) = e^{\frac{2\pi i \tau}{7}} \theta_3\left(\frac{3\tau+1}{2}, 7\tau\right), \quad \alpha_{3,-1}(\tau) = e^{\frac{6\pi i \tau}{7}} \theta_3\left(\frac{5\tau+1}{2}, 7\tau\right),$$

$$\alpha_{1,0}(\tau) = \theta_3\left(\frac{\tau+1}{14}, \frac{\tau}{7}\right), \quad \alpha_{2,0}(\tau) = \theta_3\left(\frac{\tau+3}{14}, \frac{\tau}{7}\right), \quad \alpha_{3,0}(\tau) = \theta_3\left(\frac{\tau+5}{14}, \frac{\tau}{7}\right),$$

$$\alpha_{1,1}(\tau) = \theta_3\left(\frac{\tau+2}{14}, \frac{\tau+1}{7}\right), \quad \alpha_{2,1}(\tau) = \theta_3\left(\frac{\tau+4}{14}, \frac{\tau+1}{7}\right), \quad \alpha_{3,1}(\tau) = \theta_3\left(\frac{\tau+6}{14}, \frac{\tau+1}{7}\right),$$

$$\alpha_{1,2}(\tau) = \theta_3\left(\frac{\tau+3}{14}, \frac{\tau+2}{7}\right), \quad \alpha_{2,2}(\tau) = \theta_3\left(\frac{\tau+5}{14}, \frac{\tau+2}{7}\right), \quad \alpha_{3,2}(\tau) = \theta_3\left(\frac{\tau+7}{14}, \frac{\tau+2}{7}\right),$$

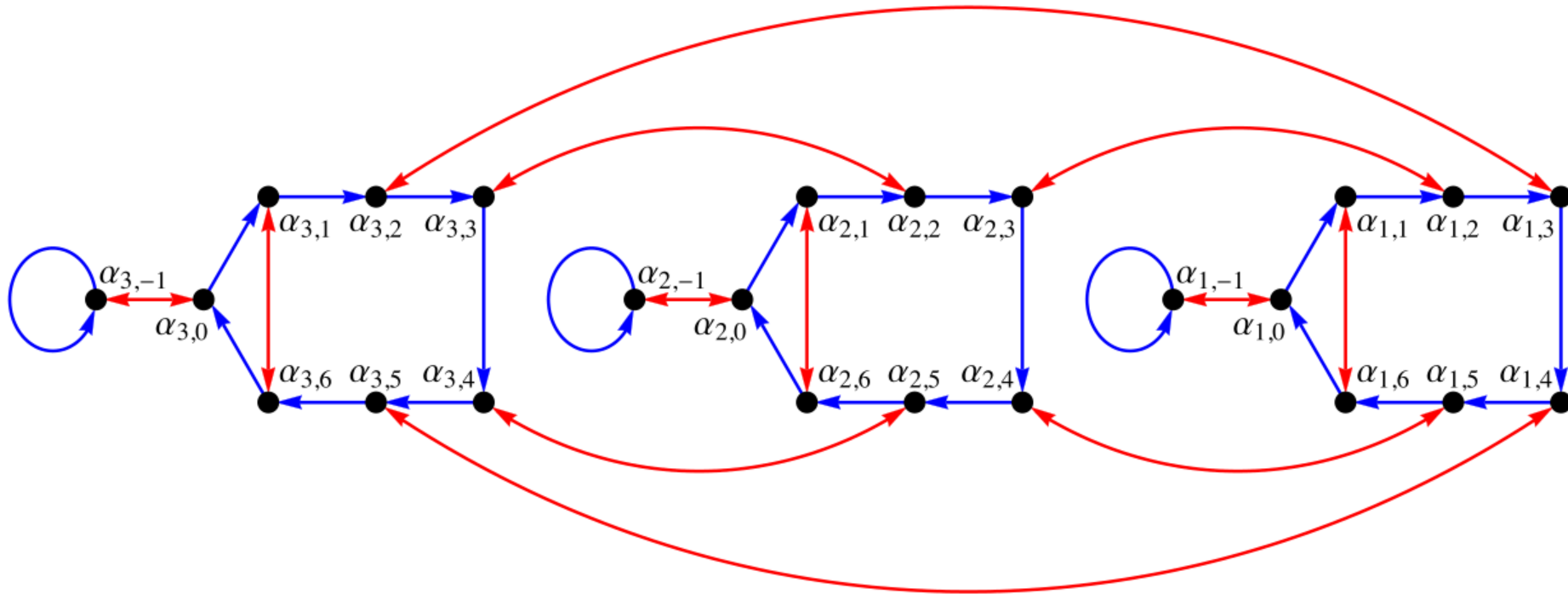
$$\alpha_{1,3}(\tau) = \theta_3\left(\frac{\tau+4}{14}, \frac{\tau+3}{7}\right), \quad \alpha_{2,3}(\tau) = \theta_3\left(\frac{\tau+6}{14}, \frac{\tau+3}{7}\right), \quad \alpha_{3,3}(\tau) = \theta_3\left(\frac{\tau+8}{14}, \frac{\tau+3}{7}\right),$$

$$\alpha_{1,4}(\tau) = \theta_3\left(\frac{\tau+5}{14}, \frac{\tau+4}{7}\right), \quad \alpha_{2,4}(\tau) = \theta_3\left(\frac{\tau+7}{14}, \frac{\tau+4}{7}\right), \quad \alpha_{3,4}(\tau) = \theta_3\left(\frac{\tau+9}{14}, \frac{\tau+4}{7}\right),$$

$$\alpha_{1,5}(\tau) = \theta_3\left(\frac{\tau+6}{14}, \frac{\tau+5}{7}\right), \quad \alpha_{2,5}(\tau) = \theta_3\left(\frac{\tau+8}{14}, \frac{\tau+5}{7}\right), \quad \alpha_{3,5}(\tau) = \theta_3\left(\frac{\tau+10}{14}, \frac{\tau+5}{7}\right),$$

$$\alpha_{1,6}(\tau) = \theta_3\left(\frac{\tau+7}{14}, \frac{\tau+6}{7}\right), \quad \alpha_{2,6}(\tau) = \theta_3\left(\frac{\tau+9}{14}, \frac{\tau+6}{7}\right), \quad \alpha_{3,6}(\tau) = \theta_3\left(\frac{\tau+11}{14}, \frac{\tau+6}{7}\right).$$

## The transformations of the set of seed functions



## The modular functions

$$Y(x_{1,-1}, \dots, x_{1,6}; x_{2,-1}, \dots, x_{2,6}; x_{3,-1}, \dots, x_{3,6} | \tau) \equiv \sum_{i,j} x_{i,j} \frac{d}{d\tau} \log \alpha_{i,j}(\tau), \quad \text{with } \sum_{i,j} x_{i,j} = 0$$

## Modular forms of weight 2 and level 7

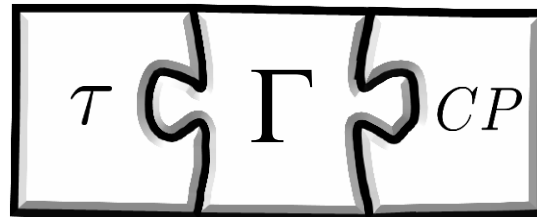
$$Y_3^{(2)}(\tau) = \begin{pmatrix} q^{1/7}(1-3q+4q^3+2q^4)+\dots \\ q^{2/7}(1-3q-q^2+8q^3)+\dots \\ -q^{4/7}(1-4q+3q^2+5q^3-5q^4)+\dots \end{pmatrix},$$

$$Y_7^{(2)}(\tau) = \begin{pmatrix} 1+4q+12q^2+16q^3+28q^4+24q^5+\dots \\ -\sqrt{2}q^{1/7}(1+15q+24q^2+36q^3+30q^4)+\dots \\ -\sqrt{2}q^{2/7}(3+13q+31q^2+24q^3+72q^4)+\dots \\ -2\sqrt{2}q^{3/7}(2+9q+9q^2+30q^3+16q^4)+\dots \\ -\sqrt{2}q^{4/7}(7+12q+39q^2+31q^3+63q^4)+\dots \\ -2\sqrt{2}q^{5/7}(3+14q+10q^2+21q^3+24q^4)+\dots \\ -2\sqrt{2}q^{6/7}(6+7q+21q^2+20q^3+27q^4)+\dots \end{pmatrix},$$

$$Y_{8a}^{(2)}(\tau) = \begin{pmatrix} 2+2q-24q^2+56q^3-q^4+\dots \\ -\sqrt{3}q(22+30q+56q^2+59q^3+84q^4)+\dots \\ -\sqrt{2}q^{1/7}(4+30q+42q^2+93q^3+120q^4)+\dots \\ \sqrt{2}q^{2/7}(3-16q+11q^2-18q^3+21q^4)+\dots \\ 7\sqrt{2}q^{3/7}(2+3q+12q^2+12q^3+22q^4)+\dots \\ -\sqrt{2}q^{4/7}(11+54q+54q^2+104q^3+99q^4)+\dots \\ -7\sqrt{2}q^{12/7}(-1-2q+6q^2-12q^3+6q^4)+\dots \\ 7\sqrt{2}q^{6/7}(3+8q+9q^2+10q^3+21q^4)+\dots \end{pmatrix},$$

$$Y_{8b}^{(2)}(\tau) = \begin{pmatrix} 3q(20+40q+42q^2+53q^3+112q^4)+\dots \\ \sqrt{3}(-2+12q-18q^2+42q^3-27q^4)+\dots \\ 3\sqrt{2}q^{1/7}(2+8q+28q^2+15q^3+60q^4)+\dots \\ -3\sqrt{2}q^{2/7}(5+20q+37q^2+68q^3+63q^4)+\dots \\ 21\sqrt{2}q^{10/7}(3+2q+2q^2+4q^3)+\dots \\ -3\sqrt{2}q^{4/7}(5+8q+22q^2+32q^3+45q^4)+\dots \\ 21\sqrt{2}q^{5/7}(2+3q+8q^2+10q^3+10q^4)+\dots \\ -21\sqrt{2}q^{6/7}(1+4q+q^2+8q^3+3q^4)+\dots \end{pmatrix}.$$

# Modular symmetry + gCP

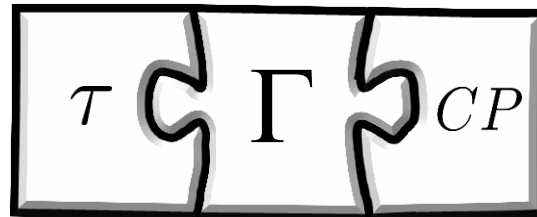


$$\tau \xrightarrow{\text{CP}} ?$$

$$\psi \xrightarrow{\text{CP}} ?$$

$$Y(\tau) \xrightarrow{\text{CP}} ?$$

# Modular symmetry + gCP



$$\tau \xrightarrow{\text{CP}} ?$$

$$\psi(x) \xrightarrow{\text{CP}} X_{\mathbf{r}}^{\text{CP}} \bar{\psi}(x_{\text{P}})$$

$$Y(\tau) \xrightarrow{\text{CP}} ?$$



# Modular symmetry + gCP: the modulus

P. P. Novichkov, J. T. Penedo, S. T. Petcov  
and A. V. Titov, JHEP 07 (2019) 165

$$\underbrace{CP \rightarrow \gamma \in \Gamma \rightarrow CP^{-1}}_{\gamma' \in \Gamma}$$



$$\tau_{CP} = -\tau^*$$

$$(\tau_{CP^2} = \tau)$$

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## Extended modular group

$$\tau \xrightarrow{CP} -\tau^* \xrightarrow{\gamma} -\frac{a\tau^* + b}{c\tau^* + d} \xrightarrow{CP^{-1}} \frac{a\tau - b}{-c\tau + d}$$

# Modular symmetry + gCP: the modulus

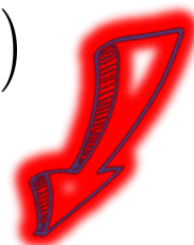
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## Extended modular group

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$$u(\gamma) \equiv CP \gamma CP^{-1} = \begin{pmatrix} a & -b \\ -c & d \end{pmatrix}$$

$$CP = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

# Modular symmetry + gCP: the modulus

P. P. Novichkov, J. T. Penedo, S. T. Petcov  
and A. V. Titov, JHEP 07 (2019) 165

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## Extended modular group

$$\Gamma^* = \left\{ \tau \xrightarrow{S} -1/\tau, \tau \xrightarrow{T} \tau + 1, \tau \xrightarrow{CP} -\tau^* \right\} \cong GL(2, \mathbb{Z})$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma^* : \begin{cases} \tau \rightarrow \frac{a\tau + b}{c\tau + d} & \text{for } ad - bc = 1, \\ \tau \rightarrow \frac{a\tau^* + b}{c\tau^* + d} & \text{for } ad - bc = -1. \end{cases}$$

## Modular symmetry + gCP: consistency

$$X_{\mathbf{r}}^{\text{CP}} \rho_{\mathbf{r}}^*(\gamma) (X_{\mathbf{r}}^{\text{CP}})^{-1} = \rho_{\mathbf{r}}(u(\gamma))$$

but now there is a **unique automorphism**

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P. P. Novichkov, J. T. Penedo,  
S. T. Petcov and A. V. Titov,  
JHEP 07 (2019) 165

In a symmetric basis:

$$\rho_{\mathbf{r}}^*(\gamma) = \rho_{\mathbf{r}}(u(\gamma))$$

$$X_{\mathbf{r}}^{\text{CP}} = \mathbb{1}_{\mathbf{r}}$$

# Modular symmetry + gCP: the modular forms

$$Y(\tau) \xrightarrow{\text{CP}} ?$$

$$Y(\tau) \xrightarrow{\text{CP}} Y(\tau_{\text{CP}}) = Y(-\tau^*)$$

$$Y(\tau) \sim \psi$$

under the modular group



# Modular symmetry + gCP: the modular forms

$$Y(\tau) \xrightarrow{\text{CP}} ?$$

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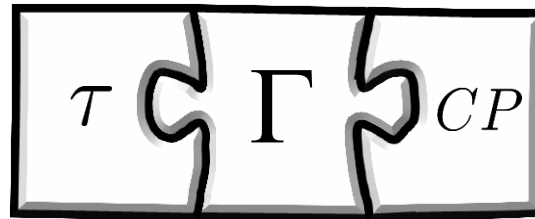
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$$Y(-\tau^*) = X_{\mathbf{r}}^{\text{CP}} Y^*(\tau)$$

P. P. Novichkov, J. T. Penedo, S. T. Petcov  
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# Modular symmetry + gCP



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P. P. Novichkov, J. T. Penedo, S. T. Petcov and A. V. Titov, JHEP 07 (2019) 165;  
G.J. Ding, F. Feruglio and X.G. Liu, SciPost Phys. 10 (2021) 6, 133 ...

## 4. A new route towards

---

Chinese remainder theorem gives the isomorphism of rings

$$\mathbb{Z} / N\mathbb{Z} \cong (\mathbb{Z} / p_1^{n_1}\mathbb{Z}) \times \dots \times (\mathbb{Z} / p_k^{n_k}\mathbb{Z}), \quad N = p_1^{n_1} \dots p_k^{n_k}$$

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Then this gives isomorphism

$$SL(2, \mathbb{Z} / N\mathbb{Z}) \cong SL(2, \mathbb{Z} / p_1^{n_1}\mathbb{Z}) \times \dots \times SL(2, \mathbb{Z} / p_k^{n_k}\mathbb{Z}).$$

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Using isomorphism  $SL(2, \mathbb{Z} / N\mathbb{Z}) \cong \Gamma(1) / \Gamma(N)$

$$\Gamma(1) / \Gamma(N) \cong (\Gamma(1) / \Gamma(p_1^{n_1})) \times \dots \times (\Gamma(1) / \Gamma(p_k^{n_k})),$$

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$$\rightarrow \Gamma(p_i^{n_i}) / \Gamma(N) \cong \prod_{j \neq i} \Gamma(1) / \Gamma(p_j^{n_j}) = \prod_{j \neq i} \Gamma'_{p_j^{n_j}}.$$

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$N$	1	2	3	4	5	6	7	8	9	10
	1	2	3	$2^2$	5	$2 \cdot 3$	7	$2^3$	$3^2$	$2 \cdot 5$
$N$	11	12	13	14	15	16	17	18	19	20
	11	$2^2 \cdot 3$	13	$2 \cdot 7$	$3 \cdot 5$	$2^4$	17	$2 \cdot 3^2$	19	$2^2 \cdot 5$

Table 1: The prime factorization of positive integer  $0 < N < 21$ .

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$$\Gamma'_2 = \Gamma(1) / \Gamma(2) \cong \Gamma(3) / \Gamma(6) \cong \Gamma(5) / \Gamma(10),$$

$$\Gamma'_3 = \Gamma(1) / \Gamma(3) \cong \Gamma(2) / \Gamma(6) \cong \Gamma(4) / \Gamma(12),$$

$$\Gamma'_4 = \Gamma(1) / \Gamma(4) \cong \Gamma(3) / \Gamma(12) \cong \Gamma(5) / \Gamma(20),$$

$$\Gamma'_5 = \Gamma(1) / \Gamma(5) \cong \Gamma(2) / \Gamma(10) \cong \Gamma(3) / \Gamma(15).$$



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$$SL(2, \mathbb{Z} / N\mathbb{Z}) \cong SL(2, \mathbb{Z} / p_1^{n_1} \mathbb{Z}) \times \dots \times SL(2, \mathbb{Z} / p_k^{n_k} \mathbb{Z}).$$

Using isomorphism  $SL(2, \mathbb{Z} / N\mathbb{Z}) \cong \Gamma(1) / \Gamma(N)$

$$\Gamma(1) / \Gamma(N) \cong (\Gamma(1) / \Gamma(p_1^{n_1})) \times \dots \times (\Gamma(1) / \Gamma(p_k^{n_k})),$$

$$\rightarrow \Gamma(p_i^{n_i}) / \Gamma(N) \cong \prod_{j \neq i} \Gamma(1) / \Gamma(p_j^{n_j}) = \prod_{j \neq i} \Gamma'_{p_j^{n_j}}.$$

$$\Gamma'_N = \Gamma(1) / \Gamma(N) \Rightarrow \Gamma'_N = \Gamma(N') / \Gamma(N'')$$

## Weight 1 modular forms of level 6

The Dedekind eta function

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n), \quad q = e^{2\pi i \tau}.$$

$N \setminus k$	$k$	0	1	2	3	4
6	6k	1	6	12	18	24

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The modular forms space of level  $N=3$  and weight  $k=1$

$$\mathcal{M}_1(\Gamma(3)) = \left\{ \frac{\eta^3(3\tau)}{\eta(\tau)}, \frac{\eta^3(\tau/3)}{\eta(\tau)} \right\}, \quad \text{X.G.Liu and G.J.Ding, JHEP 08 (2019) 134}$$

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If  $f(\tau)$  is the modular form of  $\Gamma(3)$

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F. Diamond and J.M. Shurman, Springer (2005)

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Four weight 1 modular forms of  $\Gamma(6)$  are obtained

$$\frac{\eta^3(3\tau)}{\eta(\tau)}, \frac{\eta^3(\tau/3)}{\eta(\tau)}, \frac{\eta^3(6\tau)}{\eta(2\tau)}, \frac{\eta^3(2\tau/3)}{\eta(2\tau)}.$$

## Weight 1 modular forms of level 6

$N \setminus k$	$k$	0	1	2	3	4
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The modular form space  $M_k(\Gamma(6))$  must be closed up

$$\frac{\eta^3(6\tau)}{\eta(2\tau)} \xrightarrow{s} \frac{\eta^3(-6/\tau)}{\eta(-2/\tau)} = -\tau \frac{i}{6\sqrt{3}} \frac{\eta^3(\tau/6)}{\eta(\tau/2)},$$

$$\frac{\eta^3(2\tau/3)}{\eta(2\tau)} \xrightarrow{s} \frac{\eta^3(-2/(3\tau))}{\eta(-2/\tau)} = -\tau \frac{3\sqrt{3}i}{2} \frac{\eta^3(3\tau/2)}{\eta(\tau/2)}.$$

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The six independent basis vectors of the linear space  $M_1(\Gamma(6))$

$$\begin{aligned} e_1(\tau) &= \frac{\eta^3(3\tau)}{\eta(\tau)}, & e_2(\tau) &= \frac{\eta^3(\tau/3)}{\eta(\tau)}, & e_3(\tau) &= \frac{\eta^3(6\tau)}{\eta(2\tau)}, \\ e_4(\tau) &= \frac{\eta^3(\tau/6)}{\eta(\tau/2)}, & e_5(\tau) &= \frac{\eta^3(2\tau/3)}{\eta(2\tau)}, & e_6(\tau) &= \frac{\eta^3(3\tau/2)}{\eta(\tau/2)}. \end{aligned}$$



## Modular forms of level 6 arrange into: $N''=6$ and $N'=1$

Multiplication rules of  $\Gamma'_6 = \Gamma(1)/\Gamma(6) \cong \Gamma(1)/\Gamma(2) \times \Gamma(1)/\Gamma(3) \cong S_3 \times T'$

$$S^4 = T^6 = (ST)^3 = ST^2ST^3ST^4ST^3 = 1, \quad S^2T = TS^2.$$

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The two bases are fulfilled

$$\tilde{a} = TST^4S^3T, \quad \tilde{b} = T^4, \quad \tilde{c} = S^3T^3S, \quad \tilde{d} = T^3,$$

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$$S = \tilde{a}\tilde{c}\tilde{d}\tilde{c}, \quad T = \tilde{b}\tilde{d}.$$

$T'$			$S_3$		
	$\tilde{a}$	$\tilde{b}$		$\tilde{c}$	$\tilde{d}$
$\mathbf{1}_k^0$	1	$\omega^k$	$\mathbf{1}_0^r$	$(-1)^r$	$(-1)^r$
$\mathbf{2}_k^0$	$\mathbf{a}_2$	$\omega^{k+1}\mathbf{b}_2$	$\mathbf{2}_0$	$-\frac{1}{2}\begin{pmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
$\mathbf{3}^0$	$\mathbf{a}_3$	$\mathbf{b}_3$	–	–	–
$S_3 \times T'$					
	$\tilde{a}$	$\tilde{b}$		$\tilde{c}$	$\tilde{d}$
$\mathbf{1}_k^r = \mathbf{1}_0^r \times \mathbf{1}_k^0$	1	$\omega^k$		$(-1)^r$	$(-1)^r$
$\mathbf{2}_k = \mathbf{2}_0 \times \mathbf{1}_k^0$	$\mathbb{1}_2$	$\omega^k\mathbb{1}_2$		$-\frac{1}{2}\begin{pmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
$\mathbf{2}_k^r = \mathbf{1}_0^r \times \mathbf{2}_k^0$	$\mathbf{a}_2$	$\omega^{k+1}\mathbf{b}_2$		$(-1)^r\mathbb{1}_2$	$(-1)^r\mathbb{1}_2$
$\mathbf{3}^r = \mathbf{1}_0^r \times \mathbf{3}^0$	$\mathbf{a}_3$	$\mathbf{b}_3$		$(-1)^r\mathbb{1}_3$	$(-1)^r\mathbb{1}_3$
$\mathbf{4}_k = \mathbf{2}_0 \times \mathbf{2}_k^0$	$\begin{pmatrix} \mathbf{a}_2 & \mathbb{0}_2 \\ \mathbb{0}_2 & \mathbf{a}_2 \end{pmatrix}$	$\omega^{k+1}\begin{pmatrix} \mathbf{b}_2 & \mathbb{0}_2 \\ \mathbb{0}_2 & \mathbf{b}_2 \end{pmatrix}$		$-\frac{1}{2}\begin{pmatrix} \mathbb{1}_2 & \sqrt{3}\mathbb{1}_2 \\ \sqrt{3}\mathbb{1}_2 & -\mathbb{1}_2 \end{pmatrix}$	$\begin{pmatrix} \mathbb{1}_2 & \mathbb{0}_2 \\ \mathbb{0}_2 & -\mathbb{1}_2 \end{pmatrix}$
$\mathbf{6} = \mathbf{2}_0 \times \mathbf{3}^0$	$\begin{pmatrix} \mathbf{a}_3 & \mathbb{0}_3 \\ \mathbb{0}_3 & \mathbf{a}_3 \end{pmatrix}$	$\begin{pmatrix} \mathbf{b}_3 & \mathbb{0}_3 \\ \mathbb{0}_3 & \mathbf{b}_3 \end{pmatrix}$		$-\frac{1}{2}\begin{pmatrix} \mathbb{1}_3 & \sqrt{3}\mathbb{1}_3 \\ \sqrt{3}\mathbb{1}_3 & -\mathbb{1}_3 \end{pmatrix}$	$\begin{pmatrix} \mathbb{1}_3 & \mathbb{0}_3 \\ \mathbb{0}_3 & -\mathbb{1}_3 \end{pmatrix}$

$T'$			$S_3$		
	$\tilde{a}$	$\tilde{b}$		$\tilde{c}$	$\tilde{d}$
$\mathbf{1}_k^0$	1	$\omega^k$	$\mathbf{1}_0^r$	$(-1)^r$	$(-1)^r$
$\mathbf{2}_k^0$	$\mathbf{a}_2$	$\omega^{k+1}\mathbf{b}_2$	$\mathbf{2}_0$	$-\frac{1}{2}\begin{pmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
$\mathbf{3}^0$	$\mathbf{a}_3$	$\mathbf{b}_3$	–	–	–
$S_3 \times T'$					
	$\tilde{a}$	$\tilde{b}$		$\tilde{c}$	$\tilde{d}$
$\mathbf{1}_k^r = \mathbf{1}_0^r \times \mathbf{1}_k^0$	1	$\omega^k$		$(-1)^r$	$(-1)^r$
$\mathbf{2}_k = \mathbf{2}_0 \times \mathbf{1}_k^0$	$\mathbb{1}_2$	$\omega^k\mathbb{1}_2$		$-\frac{1}{2}\begin{pmatrix} 1 & \sqrt{3} \\ \sqrt{3} & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
$\mathbf{2}_k^r = \mathbf{1}_0^r \times \mathbf{2}_k^0$	$\mathbf{a}_2$	$\omega^{k+1}\mathbf{b}_2$		$(-1)^r\mathbb{1}_2$	$(-1)^r\mathbb{1}_2$
$\mathbf{3}^r = \mathbf{1}_0^r \times \mathbf{3}^0$	$\mathbf{a}_3$	$\mathbf{b}_3$		$(-1)^r\mathbb{1}_3$	$(-1)^r\mathbb{1}_3$
$\mathbf{4}_k = \mathbf{2}_0 \times \mathbf{2}_k^0$	$\begin{pmatrix} \mathbf{a}_2 & \mathbb{0}_2 \\ \mathbb{0}_2 & \mathbf{a}_2 \end{pmatrix}$	$\omega^{k+1}\begin{pmatrix} \mathbf{b}_2 & \mathbb{0}_2 \\ \mathbb{0}_2 & \mathbf{b}_2 \end{pmatrix}$		$-\frac{1}{2}\begin{pmatrix} \mathbb{1}_2 & \sqrt{3}\mathbb{1}_2 \\ \sqrt{3}\mathbb{1}_2 & -\mathbb{1}_2 \end{pmatrix}$	$\begin{pmatrix} \mathbb{1}_2 & \mathbb{0}_2 \\ \mathbb{0}_2 & -\mathbb{1}_2 \end{pmatrix}$
$\mathbf{6} = \mathbf{2}_0 \times \mathbf{3}^0$	$\begin{pmatrix} \mathbf{a}_3 & \mathbb{0}_3 \\ \mathbb{0}_3 & \mathbf{a}_3 \end{pmatrix}$	$\begin{pmatrix} \mathbf{b}_3 & \mathbb{0}_3 \\ \mathbb{0}_3 & \mathbf{b}_3 \end{pmatrix}$		$-\frac{1}{2}\begin{pmatrix} \mathbb{1}_3 & \sqrt{3}\mathbb{1}_3 \\ \sqrt{3}\mathbb{1}_3 & -\mathbb{1}_3 \end{pmatrix}$	$\begin{pmatrix} \mathbb{1}_3 & \mathbb{0}_3 \\ \mathbb{0}_3 & -\mathbb{1}_3 \end{pmatrix}$

$$a_2 = \frac{i}{\sqrt{3}} \begin{pmatrix} 1 & \sqrt{2} \\ \sqrt{2} & -1 \end{pmatrix}, \quad b_2 = \begin{pmatrix} 1 & 0 \\ 0 & \omega \end{pmatrix}, \quad a_3 = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}, \quad b_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}.$$

These six modular forms  $e_i(\tau)$  can be arranged into:

$$Y_{2_2^{(1)}}(\tau) \equiv \begin{pmatrix} Y_1 \\ Y_2 \end{pmatrix} = \begin{pmatrix} 3e_1(\tau) + e_2(\tau) \\ 3\sqrt{2}e_1(\tau) \end{pmatrix},$$

$$Y_{4_1^{(1)}}(\tau) \equiv \begin{pmatrix} Y_3 \\ Y_4 \\ Y_5 \\ Y_6 \end{pmatrix} = \begin{pmatrix} 3\sqrt{2}e_3(\tau) \\ -3e_3(\tau) - e_5(\tau) \\ \sqrt{6}(e_3(\tau) - e_6(\tau)) \\ -\sqrt{3}e_3(\tau) + \frac{1}{\sqrt{3}}e_4(\tau) - \frac{1}{\sqrt{3}}e_5(\tau) + \sqrt{3}e_6(\tau) \end{pmatrix}.$$

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The product of two modular forms of level  $N$  and weights  $k$ ,  $k'$  is a modular form of level  $N$  and weight  $k+k'$



	Modular form $Y_r^{(k)}$
$k = 1$	$Y_{2_2^0}^{(1)}, Y_{4_1}^{(1)}$
$k = 2$	$Y_{1_2^1}^{(2)}, Y_{2_0}^{(2)}, Y_{3^0}^{(2)}, Y_6^{(2)}$
$k = 3$	$Y_{2_1^0}^{(3)}, Y_{2_2^0}^{(3)}, Y_{2_1^1}^{(3)}, Y_{4_0}^{(3)}, Y_{4_1}^{(3)}, Y_{4_2}^{(3)}$
$k = 4$	$Y_{1_0^0}^{(4)}, Y_{1_1^0}^{(4)}, Y_{2_0}^{(4)}, Y_{2_2}^{(4)}, Y_{3^0}^{(4)}, Y_{3^1}^{(4)}, Y_{6i}^{(4)}, Y_{6ii}^{(4)}$
$k = 5$	$Y_{2_0^0}^{(5)}, Y_{2_1^0}^{(5)}, Y_{2_2^0}^{(5)}, Y_{2_0^1}^{(5)}, Y_{2_1^1}^{(5)}, Y_{4_0}^{(5)}, Y_{4_1i}^{(5)}, Y_{4_1ii}^{(5)}, Y_{4_2i}^{(5)}, Y_{4_2ii}^{(5)}$
$k = 6$	$Y_{1_0^0}^{(6)}, Y_{1_1^0}^{(6)}, Y_{1_2^1}^{(6)}, Y_{2_0}^{(6)}, Y_{2_1}^{(6)}, Y_{2_2}^{(6)}, Y_{3^0i}^{(6)}, Y_{3^0ii}^{(6)}, Y_{3^1}^{(6)}, Y_{6i}^{(6)}, Y_{6ii}^{(6)}, Y_{6iii}^{(6)}$

Integral weight modular multiplets of level 6 up to weight 6

## Decomposing modular forms of level $N=6:N''=6, N'=2$ and 3

The  $\Gamma(6)$  is a normal subgroup of  $\Gamma(2)$

$$\Gamma(2) / \Gamma(6) = \Gamma'_3 \cong T' = \langle \tilde{a}, \tilde{b} \mid \tilde{a}^4 = (\tilde{a}\tilde{b})^3 = \tilde{b}^3 = 1, \tilde{a}^2\tilde{b} = \tilde{b}\tilde{a}^2 \rangle$$

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Arrange into three doublets of  $T'$

$$Y'_{2_2^0}{}^{(1)}(\tau) = \begin{pmatrix} Y_1 \\ Y_2 \end{pmatrix}, \quad Y'_{2_1^0 i}{}^{(1)}(\tau) = \begin{pmatrix} Y_3 \\ Y_4 \end{pmatrix}, \quad Y'_{2_1^0 ii}{}^{(1)}(\tau) = \begin{pmatrix} Y_5 \\ Y_6 \end{pmatrix},$$

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The  $\Gamma(6)$  is a normal subgroup of  $\Gamma(3)$

$$\Gamma(3) / \Gamma(6) \cong S_3 = \langle \tilde{c}, \tilde{d} \mid \tilde{c}^2 = (\tilde{c}\tilde{d})^3 = \tilde{d}^2 = 1 \rangle,$$

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Arrange into three doublets of  $T'$

$$Y_{2_2^0}{}^{(1)}(\tau) = \begin{pmatrix} Y_1 \\ Y_2 \end{pmatrix}, \quad Y_{2_1^0 i}{}^{(1)}(\tau) = \begin{pmatrix} Y_3 \\ Y_4 \end{pmatrix}, \quad Y_{2_1^0 ii}{}^{(1)}(\tau) = \begin{pmatrix} Y_5 \\ Y_6 \end{pmatrix},$$

The  $\Gamma(6)$  is a normal subgroup of  $\Gamma(3)$

$$\Gamma(3) / \Gamma(6) \cong S_3 = \langle \tilde{c}, \tilde{d} \mid \tilde{c}^2 = (\tilde{c}\tilde{d})^3 = \tilde{d}^2 = 1 \rangle,$$

Arranged into two singlets and two doublets of  $S_3$

$$Y_{1_0^0 i}{}^{(1)}(\tau) = Y_1, \quad Y_{1_0^0 ii}{}^{(1)}(\tau) = Y_2, \quad Y_{2_0^0 i}{}^{(1)}(\tau) = \begin{pmatrix} Y_3 \\ Y_5 \end{pmatrix}, \quad Y_{2_0^0 ii}{}^{(1)}(\tau) = \begin{pmatrix} Y_4 \\ Y_6 \end{pmatrix}.$$

# Summary of modular forms of level $N = 6$ up to weight 6 in the irreducible multiplets of finite group $T'$

	Modular form $Y_r^{(k)}$
$k = 1$	$Y_{2_2^0}{}^{(1)}(\tau), Y_{2_1^0 i}{}^{(1)}(\tau), Y_{2_1^0 ii}{}^{(1)}(\tau)$
$k = 2$	$Y_{1_0^0 i}{}^{(2)}, Y_{1_0^0 ii}{}^{(2)}, Y_{1_2^0}{}^{(2)}, Y_{3^0 i}{}^{(2)}, Y_{3^0 ii}{}^{(2)}, Y_{3^0 iii}{}^{(2)}$
$k = 3$	$Y_{2_0^0 i}{}^{(3)}, Y_{2_0^0 ii}{}^{(3)}, Y_{2_1^0 i}{}^{(3)}, Y_{2_1^0 ii}{}^{(3)}, Y_{2_1^0 iii}{}^{(3)}, Y_{2_1^0 iv}{}^{(3)}, Y_{2_2^0 i}{}^{(3)}, Y_{2_2^0 ii}{}^{(3)}, Y_{2_2^0 iii}{}^{(3)}$
$k = 4$	$Y_{1_0^0 i}{}^{(4)}, Y_{1_0^0 ii}{}^{(4)}, Y_{1_0^0 iii}{}^{(4)}, Y_{1_1^0}{}^{(4)}, Y_{1_2^0 i}{}^{(4)}, Y_{1_2^0 ii}{}^{(4)}, Y_{3^0 i}{}^{(4)}, Y_{3^0 ii}{}^{(4)}, Y_{3^0 iii}{}^{(4)}, Y_{3^0 iv}{}^{(4)}, Y_{3^0 v}{}^{(4)}, Y_{3^0 vi}{}^{(4)}$
$k = 5$	$Y_{2_0^0 i}{}^{(5)}, Y_{2_0^0 ii}{}^{(5)}, Y_{2_0^0 iii}{}^{(5)}, Y_{2_0^0 iv}{}^{(5)}, Y_{2_1^0 i}{}^{(5)}, Y_{2_1^0 ii}{}^{(5)}, Y_{2_1^0 iii}{}^{(5)}, Y_{2_1^0 iv}{}^{(5)}, Y_{2_1^0 v}{}^{(5)}, Y_{2_1^0 vi}{}^{(5)}, Y_{2_2^0 i}{}^{(5)}, Y_{2_2^0 ii}{}^{(5)}, Y_{2_2^0 iii}{}^{(5)}, Y_{2_2^0 iv}{}^{(5)}, Y_{2_2^0 v}{}^{(5)}$
$k = 6$	$Y_{1_0^0 i}{}^{(6)}, Y_{1_0^0 ii}{}^{(6)}, Y_{1_0^0 iii}{}^{(6)}, Y_{1_0^0 iv}{}^{(6)}, Y_{1_1^0 i}{}^{(6)}, Y_{1_1^0 ii}{}^{(6)}, Y_{1_2^0 i}{}^{(6)}, Y_{1_2^0 ii}{}^{(6)}, Y_{1_2^0 iii}{}^{(6)}, Y_{3^0 i}{}^{(6)}, Y_{3^0 ii}{}^{(6)}, Y_{3^0 iii}{}^{(6)}, Y_{3^0 iv}{}^{(6)}, Y_{3^0 v}{}^{(6)}, Y_{3^0 vi}{}^{(6)},$ $Y_{3^0 vii}{}^{(6)}, Y_{3^0 viii}{}^{(6)}, Y_{3^0 ix}{}^{(6)}$

# 5. Model building and predictions

## Modular-invariant SUSY actions

$$W(\psi; \tau) = \sum_n \sum_{\{i_1, \dots, i_n\}} \sum_s g_{i_1 \dots i_n, s} (Y_{i_1 \dots i_n, s}(\tau) \psi_{i_1} \dots \psi_{i_n})_{\mathbf{1}, s}$$

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$$\left\{ \begin{array}{l} \tau \rightarrow \gamma\tau = \frac{a\tau + b}{c\tau + d} \\ \psi_i \rightarrow (c\tau + d)^{-k_i} \rho_i(\gamma) \psi_i \\ Y(\tau) \rightarrow Y(\gamma\tau) = (c\tau + d)^{k_Y} \rho_Y(\gamma) Y(\tau) \end{array} \right. \quad \text{with } \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$



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weights

Feruglio, 1706.08749

$Y(\tau)$  are **modular forms** obeying  $\begin{cases} k_Y = k_{i_1} + \dots + k_{i_n} \\ \rho_Y \otimes \rho_{i_1} \otimes \dots \otimes \rho_{i_n} \supset \mathbf{1} \end{cases}$

# Unbroken gCP: couplings

$$W \xleftrightarrow{\text{CP}} \overline{W}$$

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In a symmetric basis:

$$\left| \begin{array}{l} \psi(x) \xrightarrow{\text{CP}} \overline{\psi}(x_{\text{P}}) \\ Y(\tau) \xrightarrow{\text{CP}} Y^*(\tau) \end{array} \right.$$

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$$g(Y^*\overline{\psi} \dots \overline{\psi})_{\mathbf{1}}$$



$$g \in \mathbb{R}$$

# Guidelines for model building

Using minimality as a guiding principle ...



- **No flavons** are introduced,
- Higgs multiplets transform trivially,
- Lepton doublets transform as an  $\Gamma'_6$  **triplet or singlet+doublet**
- Lepton singlets transform as  $\Gamma'_6$  **singlets, doublet or triplet**
- Lowest possible weights are chosen such that all charged leptons are massive

## An $\Gamma_6'$ example

Models Fields	Type I	Type II	Type III	Type IV	Type V
$L$	triplet	triplet	triplet	singlet+doublet	singlet+doublet
$E^c$	three singlets	three singlets	singlet+doublet	singlet+doublet	triplet
$N^c$	triplet	singlet+doublet	triplet	—	doublet

**Table 2.** The representation assignments of lepton matter fields  $L$ ,  $E^c$  and  $N^c$  under the finite modular group  $\Gamma_6'$  in the five types of models.



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Models \ Fields	$\Gamma_3' \cong T'$	Type II	Type III	Type IV	Type V
$L$	triplet C.Y. Yao, J.N. Lu and G.J. Ding, JHEP 05 (2021) 102	triplet	triplet	singlet+doublet	singlet+doublet
$E^c$	three singlets	three singlets	singlet+doublet	singlet+doublet	triplet
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	$L_1$	$L_d=(L_2, L_3)$	$E_1$	$E_d=(E_2, E_3)$	$H_u, H_d$
Weight	3	2	-3	3	0
$\Gamma_6'$	$\mathbf{1}_2^0$	$\mathbf{2}_1^1$	$\mathbf{1}_1^0$	$\mathbf{2}_2$	$\mathbf{1}$

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## The superpotential

$$\begin{aligned}
 \mathcal{W} = & \alpha E_1^c L_1 H_d + \beta L_1 \left( Y_{2_2}^{(6)} E_d^c \right)_{\mathbf{1}_1^0} H_d + \gamma \left( Y_{4_0}^{(5)} L_d E_d^c \right)_{\mathbf{1}_0^0} H_d \\
 & + \frac{g_1}{2\Lambda} L_1 \left( Y_{2_0^1}^{(5)} L_d \right)_{\mathbf{1}_1^0} H_u H_u + \frac{g_2}{2\Lambda} \left( Y_{3^0}^{(4)} L_d L_d \right)_{\mathbf{1}_0^0} H_u H_u.
 \end{aligned}$$

# Lepton mass matrices

$$m_e = \begin{pmatrix} \alpha & 0 & 0 \\ \beta Y_{2_2,1}^{(6)} & \gamma Y_{4_0,4}^{(5)} & -\gamma Y_{4_0,3}^{(5)} \\ \beta Y_{2_2,2}^{(6)} & -\gamma Y_{4_0,2}^{(5)} & \gamma Y_{4_0,1}^{(5)} \end{pmatrix} v_d, \quad m_\nu = \frac{v_u^2}{2\Lambda} \begin{pmatrix} 0 & -g_1 Y_{2_0,2}^{(5)} & g_1 Y_{2_0,1}^{(5)} \\ -g_1 Y_{2_0,2}^{(5)} & -2\sqrt{2} g_2 Y_{3^0,3}^{(4)} & 2g_2 Y_{3^0,2}^{(4)} \\ g_1 Y_{2_0,1}^{(5)} & 2g_2 Y_{3^0,2}^{(4)} & 2\sqrt{2} g_2 Y_{3^0,1}^{(4)} \end{pmatrix}.$$

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## Best fit values of the free parameters

$$\Re\langle\tau\rangle = -0.329, \quad \Im\langle\tau\rangle = 1.080, \quad \beta / \alpha = 105.467, \quad \gamma / \alpha = 12.954,$$

$$|g_2 / g_1| = 0.816, \quad \arg(g_2 / g_1) = 0.958\pi, \quad g_1 v_u^2 / \Lambda = 29.321 \text{meV}.$$

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The predictions for various observable quantities

$$\sin^2 \theta_{13} = 0.02217, \quad \sin^2 \theta_{12} = 0.304, \quad \sin^2 \theta_{23} = 0.570, \quad \delta_{CP} = 1.347\pi,$$

$$\alpha_{21} = 1.942\pi, \quad \alpha_{31} = 0.953\pi, \quad m_1 = 37.424\text{meV}, \quad m_2 = 38.399\text{meV},$$

$$m_3 = 62.588\text{meV}, \quad \sum_i m_i = 138.411\text{meV}, \quad m_{\beta\beta} = 37.661\text{meV},$$

$$\text{gCP} \Rightarrow g_1, g_2 \in \mathbb{R}$$

Best fit values of the free parameters when gCP is considered

$$\begin{aligned} \Re\langle\tau\rangle &= -0.334, & \Im\langle\tau\rangle &= 1.092, & \beta / \alpha &= 105.717, & \gamma / \alpha &= 12.696, \\ g_2 / g_1 &= -0.810, & g_1 v_u^2 / \Lambda &= 30.315 \text{meV}. \end{aligned}$$



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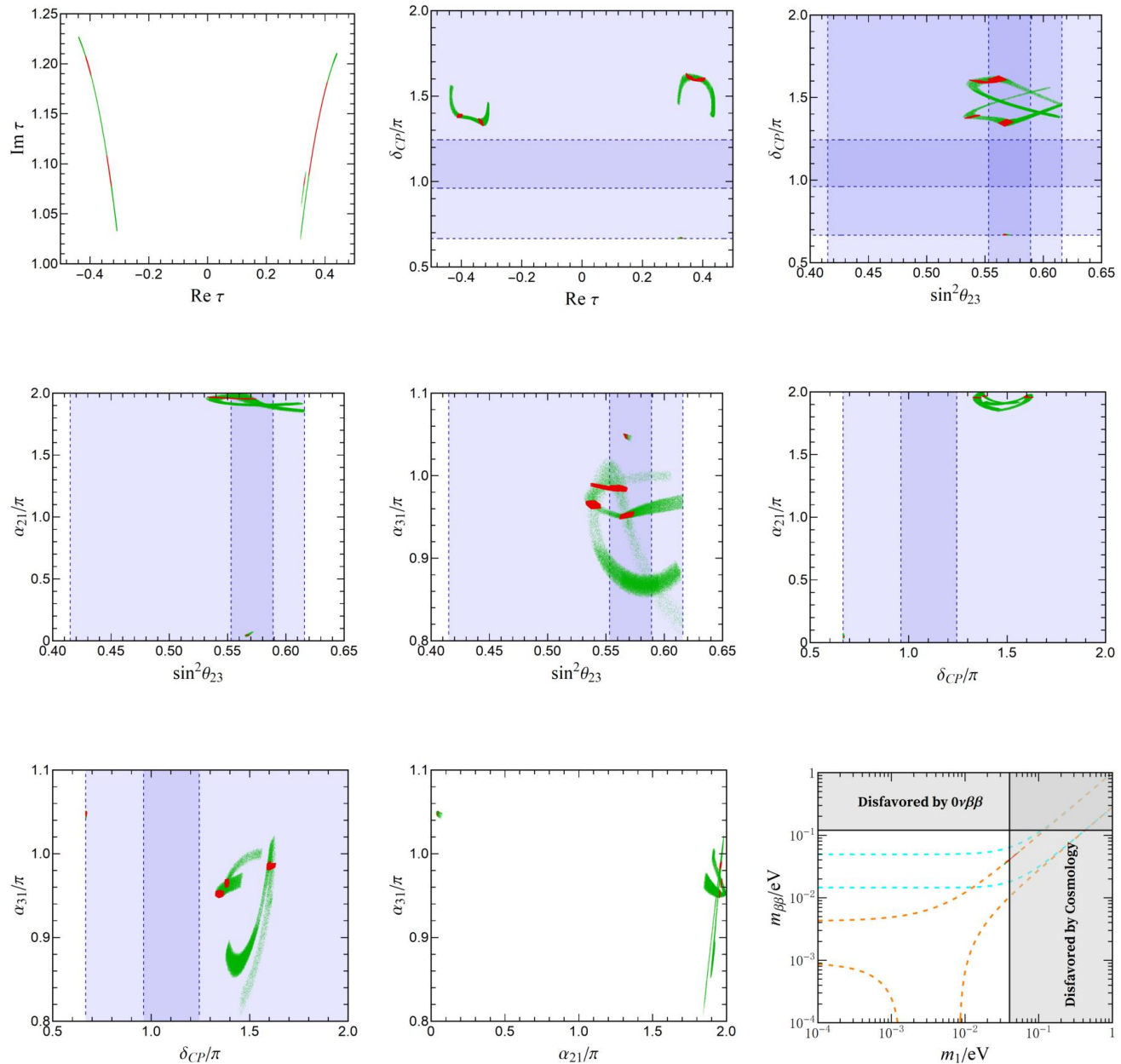
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The predictions for various observable quantities

$$\sin^2 \theta_{13} = 0.02216, \quad \sin^2 \theta_{12} = 0.304, \quad \sin^2 \theta_{23} = 0.568, \quad \delta_{CP} = 1.347\pi,$$
$$\alpha_{21} = 1.954\pi, \quad \alpha_{31} = 0.952\pi, \quad m_1 = 38.275 \text{meV}, \quad m_2 = 39.229 \text{meV},$$
$$m_3 = 63.101 \text{meV}, \quad \sum_i m_i = 140.604 \text{meV}, \quad m_{\beta\beta} = 38.557 \text{meV}.$$



The correlations between the neutrino parameters

# Model building based on $\Gamma(2)$ modular symmetry with finite modular group $T'$

$$\begin{aligned} \mathcal{W}_\nu = & \frac{g_1}{2\Lambda} Y'_{1_0^0 i}{}^{(2)}(LL)_{1_0^0} H_u H_u + \frac{g_2}{2\Lambda} Y'_{1_0^0 ii}{}^{(2)}(LL)_{1_0^0} H_u H_u + \frac{g_3}{2\Lambda} Y'_{1_2^0}{}^{(2)}(LL)_{1_1^0} H_u H_u \\ & + \frac{g_4}{2\Lambda} \left( (LL)_{3_1^0} Y'_{3^0 i}{}^{(2)} \right)_{1_0^0} H_u H_u + \frac{g_5}{2\Lambda} \left( (LL)_{3_1^0} Y'_{3^0 ii}{}^{(2)} \right)_{1_0^0} H_u H_u + \frac{g_6}{2\Lambda} \left( (LL)_{3_1^0} Y'_{3^0 iii}{}^{(2)} \right)_{1_0^0} H_u H_u. \end{aligned}$$

$$\begin{aligned} \mathcal{W}_e = & \alpha_1 E_1^c \left( LY'_{3^0 i}{}^{(2)} \right)_{1_0^0} H_d + \alpha_2 E_1^c \left( LY'_{3^0 ii}{}^{(2)} \right)_{1_0^0} H_d + \alpha_3 E_1^c \left( LY'_{3^0 iii}{}^{(2)} \right)_{1_0^0} H_d \\ & + \beta_1 \left( (LE_d^c)_{2_1^0} Y'_{2_2^0}{}^{(1)} \right)_{1_0^0} H_d + \beta_2 \left( (LE_d^c)_{2_2^0} Y'_{2_1^0 i}{}^{(1)} \right)_{1_0^0} H_d + \beta_3 \left( (LE_d^c)_{2_2^0} Y'_{2_1^0 ii}{}^{(1)} \right)_{1_0^0} H_d. \end{aligned}$$

## 5. Conclusions

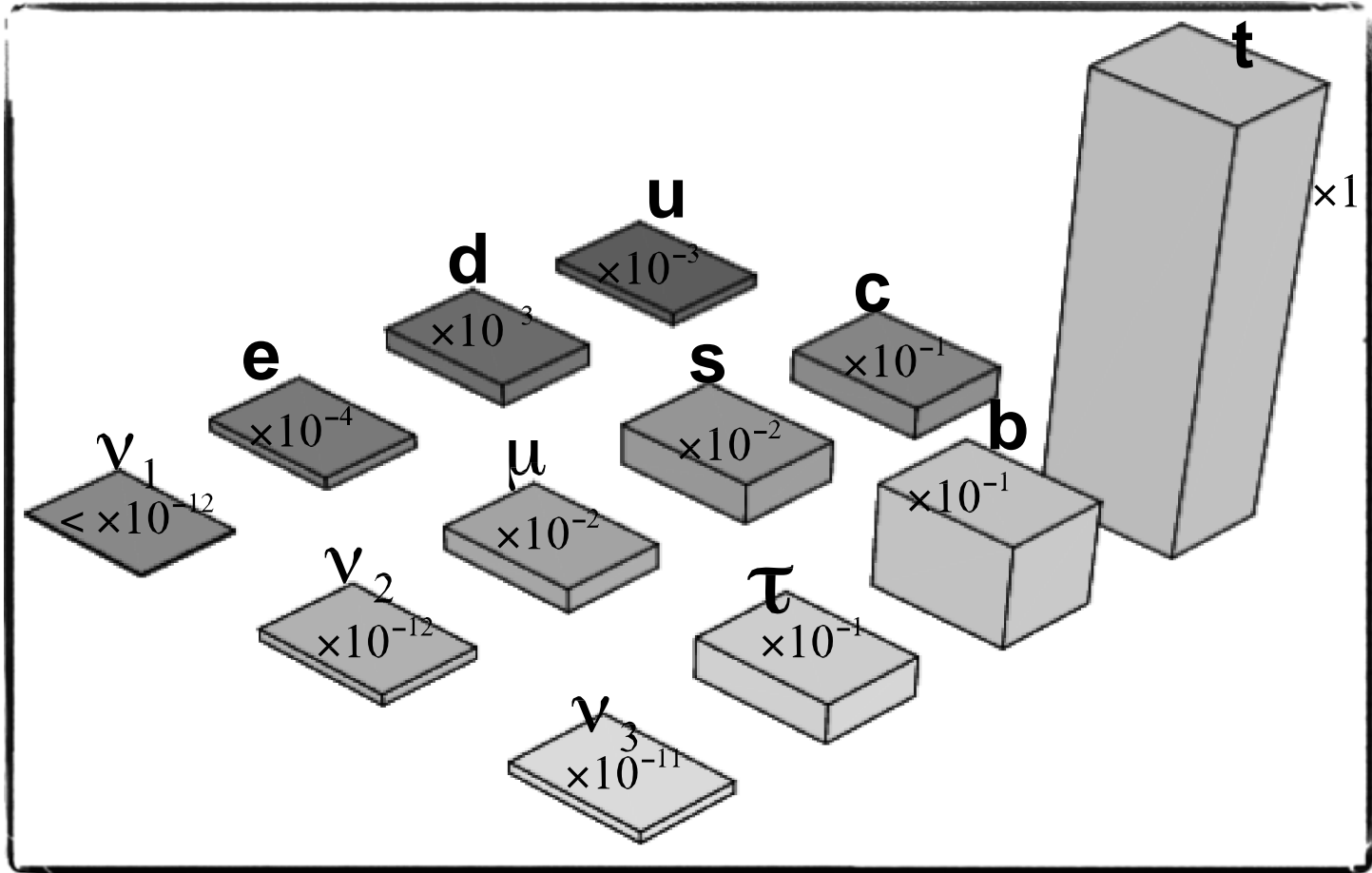
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- ▶ **A new route towards finite modular groups is proposed**
- ▶ **Higher weight modular forms, up to weight 6**
- ▶ **Five types of modular  $\Gamma'_6$  flavour models**
- ▶ **A modular invariant model for  $N'=2$  and  $N''=6$**

谢谢！

# Backup

# Mass ordering





$$\frac{d}{d\tau} \log \alpha_{i,j}(-1/\tau) = \frac{i\pi}{28} \left(1 - \frac{1}{\tau^2}\right) + \frac{1}{2\tau} + \frac{d}{d\tau} \log \alpha_{i,j}^S(\tau),$$

$$\frac{d}{d\tau} \log \alpha_{i,j}(\tau+1) = \frac{d}{d\tau} \log \alpha_{i,j}^T(\tau),$$

## The modular functions

$$Y(x_{1,-1}, \dots, x_{1,6}; x_{2,-1}, \dots, x_{2,6}; x_{3,-1}, \dots, x_{3,6} | \tau) \equiv \sum_{i,j} x_{i,j} \frac{d}{d\tau} \log \alpha_{i,j}(\tau), \quad \text{with } \sum_{i,j} x_{i,j} = 0$$

$$\begin{aligned} S : Y(x_{1,-1}, \dots, x_{1,6}; x_{2,-1}, \dots, x_{2,6}; x_{3,-1}, \dots, x_{3,6} | \tau) &\xrightarrow{S} Y(x_{1,-1}, \dots, x_{1,6}; x_{2,-1}, \dots, x_{2,6}; x_{3,-1}, \dots, x_{3,6} | -1/\tau) \\ &= \tau^2 Y(x_{1,0}, x_{1,-1}, x_{1,6}, x_{2,3}, x_{3,2}, x_{3,5}, x_{2,4}, x_{1,1}; x_{2,0}, x_{2,-1}, x_{2,6}, x_{3,3}, x_{1,2}, x_{1,5}, x_{3,4}, x_{2,1}; \\ &x_{3,0}, x_{3,-1}, x_{3,6}, x_{1,3}, x_{2,2}, x_{2,5}, x_{1,4}, x_{3,1} | \tau), \end{aligned}$$

$$\begin{aligned} T : Y(x_{1,-1}, \dots, x_{1,6}; x_{2,-1}, \dots, x_{2,6}; x_{3,-1}, \dots, x_{3,6} | \tau) &\xrightarrow{T} Y(x_{1,-1}, \dots, x_{1,6}; x_{2,-1}, \dots, x_{2,6}; x_{3,-1}, \dots, x_{3,6} | \tau+1) \\ &= Y(x_{1,-1}, x_{1,6}, x_{1,0}, x_{1,1}, x_{1,2}, x_{1,3}, x_{1,4}, x_{1,5}; x_{2,-1}, x_{2,6}, x_{2,0}, x_{2,1}, x_{2,2}, x_{2,3}, x_{2,4}, x_{2,5}; \\ &x_{3,-1}, x_{3,6}, x_{3,0}, x_{3,1}, x_{3,2}, x_{3,3}, x_{3,4}, x_{3,5} | \tau), \end{aligned}$$

# Modular forms of weight 2 and level 7

$$Y_7^{(2)}(\tau) = \frac{-i}{2\sqrt{2}\pi} \left( \begin{array}{c} \frac{1}{2\sqrt{2}} Y(7, -\mathbf{v}_0; 7, -\mathbf{v}_0; 7, -\mathbf{v}_0 | \tau) \\ Y(0, \mathbf{v}_1; 0, \mathbf{v}_1; 0, \mathbf{v}_1 | \tau) \\ Y(0, \mathbf{v}_2; 0, \mathbf{v}_2; 0, \mathbf{v}_2 | \tau) \\ Y(0, \mathbf{v}_3; 0, \mathbf{v}_3; 0, \mathbf{v}_3 | \tau) \\ Y(0, \mathbf{v}_4; 0, \mathbf{v}_4; 0, \mathbf{v}_4 | \tau) \\ Y(0, \mathbf{v}_5; 0, \mathbf{v}_5; 0, \mathbf{v}_5 | \tau) \\ Y(0, \mathbf{v}_6; 0, \mathbf{v}_6; 0, \mathbf{v}_6 | \tau) \end{array} \right), \quad Y_{8a}^{(2)}(\tau) = \left( \begin{array}{c} \frac{1}{\sqrt{2}} Y(2(c_1 - c_3), \mathbf{0}; 2(c_2 - c_1), \mathbf{v}_0; 2(c_3 - c_2), -\mathbf{v}_0 | \tau) \\ -\frac{1}{\sqrt{6}} Y(1 + 6c_2, -2\mathbf{v}_0; 1 + 6c_3, \mathbf{v}_0; 1 + 6c_1, \mathbf{v}_0 | \tau) \\ Y(0, \mathbf{v}_1; 0, \mathbf{0}; 0, -\mathbf{v}_1 | \tau) \\ Y(0, \mathbf{0}; 0, -\mathbf{v}_2; 0, \mathbf{v}_2 | \tau) \\ Y(0, -\mathbf{v}_3; 0, \mathbf{v}_3; 0, \mathbf{0} | \tau) \\ Y(0, \mathbf{v}_4; 0, -\mathbf{v}_4; 0, \mathbf{0} | \tau) \\ Y(0, \mathbf{0}; 0, \mathbf{v}_5; 0, -\mathbf{v}_5 | \tau) \\ Y(0, -\mathbf{v}_6; 0, \mathbf{0}; 0, \mathbf{v}_6 | \tau) \end{array} \right),$$

$$Y_{8b}^{(2)}(\tau) = \left( \begin{array}{c} \frac{1}{\sqrt{2}} Y(2(c_3 - c_2), -\mathbf{v}_0; 2(c_1 - c_3), \mathbf{0}; 2(c_2 - c_1), \mathbf{v}_0 | \tau) \\ -\frac{1}{\sqrt{6}} Y(1 + 6c_1, \mathbf{v}_0; 1 + 6c_2, -2\mathbf{v}_0; 1 + 6c_3, \mathbf{v}_0 | \tau) \\ Y(0, -\mathbf{v}_1; 0, \mathbf{v}_1; 0, \mathbf{0} | \tau) \\ Y(0, \mathbf{v}_2; 0, \mathbf{0}; 0, -\mathbf{v}_2 | \tau) \\ Y(0, \mathbf{0}; 0, -\mathbf{v}_3; 0, \mathbf{v}_3 | \tau) \\ Y(0, \mathbf{0}; 0, \mathbf{v}_4; 0, -\mathbf{v}_4 | \tau) \\ Y(0, -\mathbf{v}_5; 0, \mathbf{0}; 0, \mathbf{v}_5 | \tau) \\ Y(0, \mathbf{v}_6; 0, -\mathbf{v}_6; 0, \mathbf{0} | \tau) \end{array} \right),$$

$$\mathbf{v}_k \equiv (1, \rho^{6k}, \rho^{5k}, \rho^{4k}, \rho^{3k}, \rho^{2k}, \rho^k)$$

$$\mathbf{0} \equiv (0, 0, 0, 0, 0, 0, 0)$$

	$1_k^r$	$2_k$	$2_k^r$	$3^r$	$4_k$	$6$
$S$	$(-1)^r$	$\frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} \\ \sqrt{3} & 1 \end{pmatrix}$	$(-1)^r \mathbf{a}_2$	$(-1)^r \mathbf{a}_3$	$\frac{1}{2} \begin{pmatrix} -\mathbf{a}_2 & \sqrt{3}\mathbf{a}_2 \\ \sqrt{3}\mathbf{a}_2 & \mathbf{a}_2 \end{pmatrix}$	$\frac{1}{2} \begin{pmatrix} -\mathbf{a}_3 & \sqrt{3}\mathbf{a}_3 \\ \sqrt{3}\mathbf{a}_3 & \mathbf{a}_3 \end{pmatrix}$
$T$	$(-1)^r \omega^k$	$\omega^k \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$	$(-1)^r \omega^{k+1} \mathbf{b}_2$	$(-1)^r \mathbf{b}_3$	$\omega^{k+1} \begin{pmatrix} \mathbf{b}_2 & 2 \\ 2 & -\mathbf{b}_2 \end{pmatrix}$	$\begin{pmatrix} \mathbf{b}_3 & 3 \\ 3 & -\mathbf{b}_3 \end{pmatrix}$

**Table 5.** The representation matrices of the generators  $S$  and  $T$  for the twenty-one irreducible representations of  $\Gamma'_6$  in the chosen basis, where  $\omega = e^{2\pi i/3}$ ,  $r = 0, 1$ ,  $k = 0, 1, 2$  and matrices  $\mathbf{a}_2$ ,  $\mathbf{b}_2$ ,  $\mathbf{a}_3$  and  $\mathbf{b}_3$  are shown in eq. (A.5).

$$\begin{aligned}
1_i^r \otimes 1_j^s &= 1_m^t, & 1_i^r \otimes 2_j &= 2_m, & 1_i^r \otimes 2_j^s &= 2_m^t, & 1_i^r \otimes 3^s &= 3^t, \\
1_i^r \otimes 4_j &= 4_m, & 1_i^r \otimes 6 &= 6, & 2_i \otimes 2_j &= 1_m^0 \oplus 1_m^1 \oplus 2_m, & 2_i \otimes 2_j^r &= 4_m, \\
2_i \otimes 3^r &= 6, & 2_i \otimes 4_j &= 2_m^0 \oplus 2_m^1 \oplus 4_m, & 2_i \otimes 6 &= 3^0 \oplus 3^1 \oplus 6, \\
2_i^r \otimes 2_j^s &= 1_m^t \oplus 3^t, & 2_i^r \otimes 3^s &= 2_0^t \oplus 2_1^t \oplus 2_2^t, & 2_i^r \otimes 4_j &= 2_m \oplus 6, \\
2_i^r \otimes 6 &= 4_0 \oplus 4_1 \oplus 4_2, & 3^r \otimes 3^s &= 1_0^t \oplus 1_1^t \oplus 1_2^t \oplus 3_1^t \oplus 3_2^t, \\
3^r \otimes 4_i &= 4_0 \oplus 4_1 \oplus 4_2, & 3^r \otimes 6 &= 2_0 \oplus 2_1 \oplus 2_2 \oplus 6_1 \oplus 6_2, \\
4_i \otimes 4_j &= 1_m^0 \oplus 1_m^1 \oplus 2_m \oplus 3^0 \oplus 3^1 \oplus 6, \\
4_i \otimes 6 &= 2_0^0 \oplus 2_1^0 \oplus 2_2^0 \oplus 2_0^1 \oplus 2_1^1 \oplus 2_2^1 \oplus 4_0 \oplus 4_1 \oplus 4_2, \\
6 \otimes 6 &= 1_0^0 \oplus 1_1^0 \oplus 1_2^0 \oplus 1_0^1 \oplus 1_1^1 \oplus 1_2^1 \oplus 2_0 \oplus 2_1 \oplus 2_2 \oplus 3_S^0 \oplus 3_A^0 \oplus 3_S^1 \oplus 3_A^1 \oplus 6_S \oplus 6_A,
\end{aligned}$$

: Modular symmetry

$$\underbrace{\bar{\Gamma} / \bar{\Gamma}(N)}_{\Gamma_N}$$

### Bottom-up approach

We will choose  $N$  & scan  $\tau$

For top-down, see e.g.:

Kobayashi et al., 1804.06644  
Kobayashi, Tamba, 1811.11384  
de Anda et al., 1812.05620  
Baur et al., 1901.03251  
Kariyazono et al., 1904.07546

$$\bar{\Gamma}(N) \equiv \left\{ \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid \gamma \in \bar{\Gamma} \wedge (\gamma = \mathbb{1}) \bmod N \right\}$$

# $\mathcal{N}=1$ SUSY modular invariant theories

known since late 1980s

S. Ferrara, D. Lust, A. D. Shapere and S. Theisen, Phys. Lett. B **225** (1989) 363.

S. Ferrara, D. Lust and S. Theisen, Phys. Lett. B **233** (1989) 147.

focus on Yukawa interactions and  $\mathcal{N}=1$  global SUSY

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(\Phi, \bar{\Phi}) + \int d^4x d^2\theta w(\Phi) + h.c.$$

$$\Phi = (\tau, \varphi)$$

Kahler potential,  
kinetic terms

superpotential, holomorphic function of  $\Phi$   
Yukawa interactions

S invariant if

$$\begin{cases} w(\Phi) \rightarrow w(\Phi) \\ K(\Phi, \bar{\Phi}) \rightarrow K(\Phi, \bar{\Phi}) + f(\Phi) + f(\bar{\Phi}) \end{cases}$$

invariance of the Kahler potential easy to achieve. For example:

$$K(\Phi, \bar{\Phi}) = -h \log(-i\tau + i\bar{\tau}) + \sum_I (-i\tau + i\bar{\tau})^{-k_I} |\varphi^{(I)}|^2$$

minimal K

extension to  $\mathcal{N}=1$  SUGRA straightforward: ask invariance of  $G=K+\log|w|^2$



# Few facts about (level-N) Modular Forms

transformation property under the modular group

$$f_i(\gamma\tau) = (c\tau + d)^k \rho(\gamma)_{ij} f_j(\tau)$$

unitary representation of the  
finite modular group

$$\Gamma_N \equiv \bar{\Gamma}/\bar{\Gamma}(N)$$

q-expansion

$$f(\tau + N) = f(\tau)$$



$$f(\tau) = \sum_{i=0}^{\infty} a_n q_N^n \quad q_N = e^{\frac{i2\pi\tau}{N}}$$

$$k < 0$$



$$f(\tau) = 0$$

$$k = 0$$



$$f(\tau) = \text{constant}$$

$$k > 0 \text{ (even integer)}$$



$$f(\tau) \in \mathcal{M}_k(\Gamma(N)) \text{ finite-dimensional linear space}$$

ring of modular forms generated by few elements

$$\mathcal{M}(\Gamma(N)) = \bigoplus_{k=0}^{\infty} \mathcal{M}_{2k}(\Gamma(N))$$

an explicit example  
in a moment

# Kinetic Term

Kinetic term of the modulus  $\tau$   $\frac{|\partial_\mu \tau|^2}{\langle -i\tau + i\bar{\tau} \rangle^2}$


Modular transformation  $\tau' = \frac{a\tau + b}{c\tau + d}, ad - bc = 1$

■ numerator

$$\partial_\mu \tau' = \frac{(a\partial_\mu \tau)(c\tau + d) - (a\tau + b)(c\partial_\mu \tau)}{(c\tau + d)^2} = \frac{(ad - bc)\partial_\mu \tau}{(c\tau + d)^2} = \frac{\partial_\mu \tau}{(c\tau + d)^2}$$

■ denominator

$$\tau' - \bar{\tau}' = \frac{(a\tau + b)(c\bar{\tau} + d) - (a\bar{\tau} + b)(c\tau + d)}{|c\tau + d|^2} = \frac{(ad - bc)(\tau - \bar{\tau})}{|c\tau + d|^2} = \frac{\tau - \bar{\tau}}{|c\tau + d|^2}$$

  $\frac{|\partial_\mu \tau'|^2}{\langle -i\tau' + i\bar{\tau}' \rangle^2} = \frac{|\partial_\mu \tau|^2}{\langle -i\tau + i\bar{\tau} \rangle^2}$  Modular invariant



# Guidelines for model building

Using minimality as a guiding principle...



- No flavons are introduced
- Higgs multiplets transform trivially
- **RGEs** need to be considered, depend on  $\tan \beta$
- Lepton doublets transform as an  $S_3$  triplet
- **SUSY-breaking** corrections can be made negligible via separation of scales (power counting argument)
- Lepton singlets transform as  $S_3$  singlets, and lowest possible weights are chosen such that all charged leptons are massive

Feruglio and Criado, 1807.01125

# CP & T Violation

Under **CPT** invariance, **CP**- and **T**-violating asymmetries are identical:

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) &= P(\nu_\alpha \rightarrow \nu_\beta) - P(\nu_\beta \rightarrow \nu_\alpha) \\ &= 16\mathcal{J} \sum_\gamma \epsilon_{\alpha\beta\gamma} \sin \frac{\Delta m_{21}^2 L}{4E} \sin \frac{\Delta m_{31}^2 L}{4E} \sin \frac{\Delta m_{32}^2 L}{4E} \end{aligned}$$

- Comments:**
- ★ **CP / T** violation cannot show up in the **disappearance** neutrino oscillation experiments ( $\alpha = \beta$ );
  - ★ **CP / T** violation is a small **three-family** flavor effect;
  - ★ **CP / T** violation in normal **lepton-number-conserving** neutrino oscillations depends only upon the **Dirac** phase of  $\mathbf{V}$ ; hence such oscillation experiments cannot tell us whether neutrinos are **Dirac** or **Majorana** particles.

$$J = \sin\theta_{12}\cos\theta_{12}\sin\theta_{23}\cos\theta_{23}\sin\theta_{13}\cos^2\theta_{13}\sin\delta \leq 1/6\sqrt{3} \approx 9.6\%$$

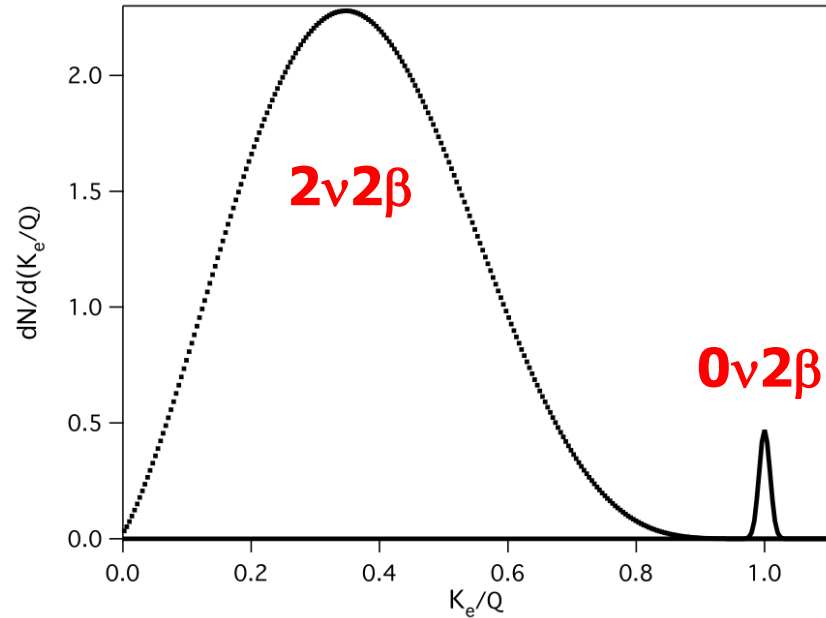
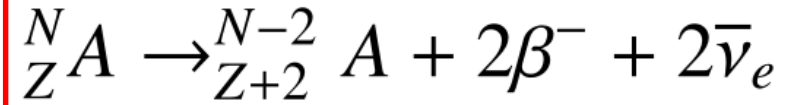
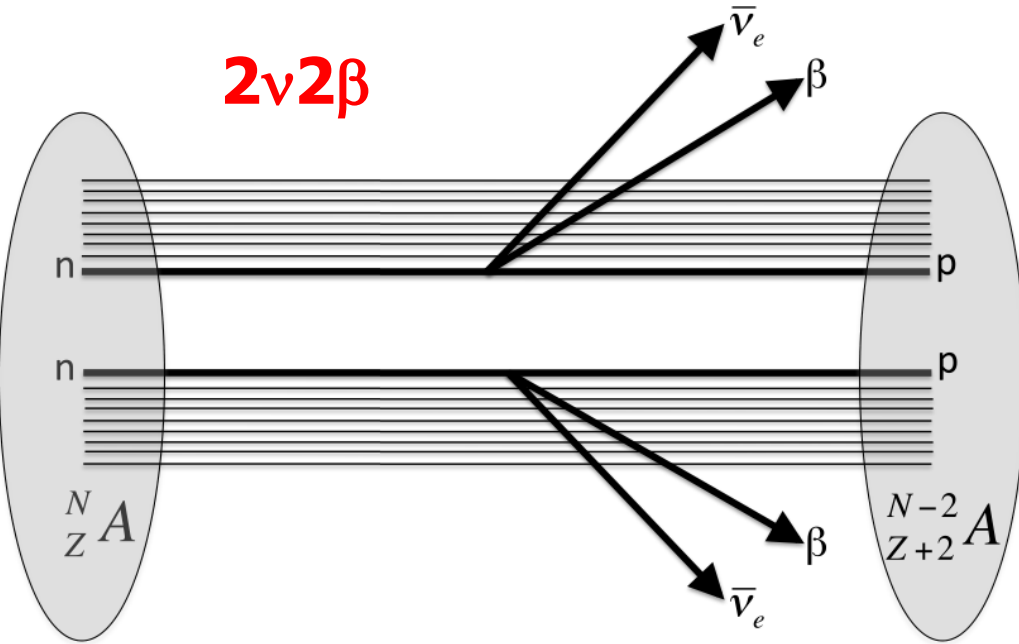
# 2-flavor Approximation

Solar, reactor, atmospheric and accelerator  $\nu$  oscillation experiments:

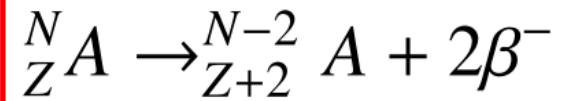
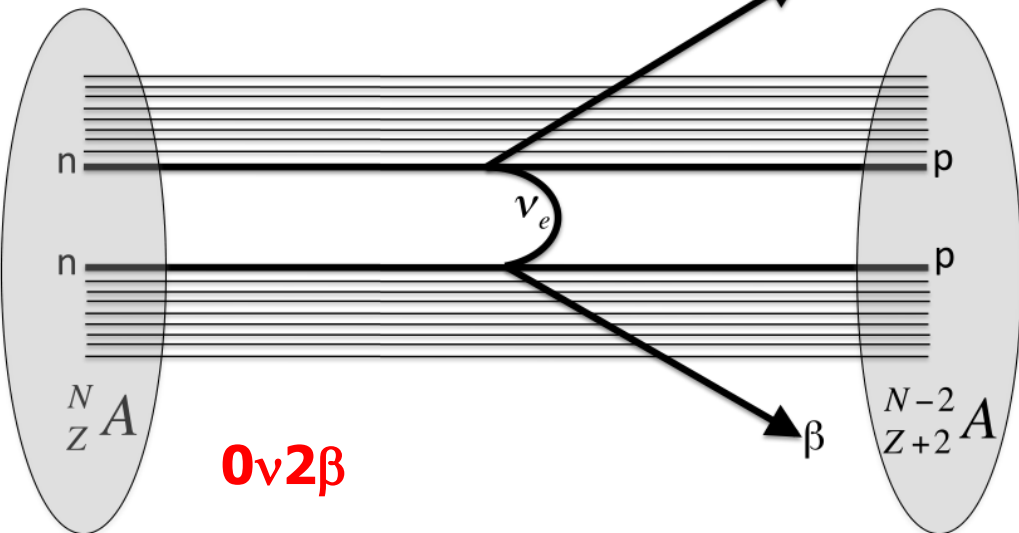
Experiment	Survival probability	Oscillation factor
<u>Solar</u> $\nu_e \rightarrow \nu_e$	$1 - \sin^2 2\theta_{12} \sin^2 \left( 1.27 \frac{\Delta m_{21}^2 L}{E} \right)$	$\sin^2 2\theta_{12} = 4 V_{e1} ^2 V_{e2} ^2$
<u>KamLAND</u> $\bar{\nu}_e \rightarrow \bar{\nu}_e$	$1 - \sin^2 2\theta_{12} \sin^2 \left( 1.27 \frac{\Delta m_{21}^2 L}{E} \right)$	$\sin^2 2\theta_{12} = 4 V_{e1} ^2 V_{e2} ^2$
<u>Atmospheric</u> $\nu_\mu \rightarrow \nu_\mu$	$1 - \sin^2 2\theta_{23} \sin^2 \left( 1.27 \frac{\Delta m_{32}^2 L}{E} \right)$	$\sin^2 2\theta_{23} = 4 V_{\mu3} ^2 (1 -  V_{\mu3} ^2)$
<u>K2K</u> $\nu_\mu \rightarrow \nu_\mu$	$1 - \sin^2 2\theta_{23} \sin^2 \left( 1.27 \frac{\Delta m_{32}^2 L}{E} \right)$	$\sin^2 2\theta_{23} = 4 V_{\mu3} ^2 (1 -  V_{\mu3} ^2)$
<u>MINOS</u> $\nu_\mu \rightarrow \nu_\mu$	$1 - \sin^2 2\theta_{23} \sin^2 \left( 1.27 \frac{\Delta m_{32}^2 L}{E} \right)$	$\sin^2 2\theta_{23} = 4 V_{\mu3} ^2 (1 -  V_{\mu3} ^2)$
<u>CHOOZ</u> $\bar{\nu}_e \rightarrow \bar{\nu}_e$	$1 - \sin^2 2\theta_{13} \sin^2 \left( 1.27 \frac{\Delta m_{32}^2 L}{E} \right)$	$\sin^2 2\theta_{13} = 4 V_{e3} ^2 (1 -  V_{e3} ^2)$

# If this is the case, ...

**2v2β**



**0v2β**



# 1939: $0\nu 2\beta$ decays

A  $0\nu 2\beta$  decay can happen if massive  $\nu$ 's have the Majorana nature (Wendell Furry 1939)

$$T_{1/2}^{0\nu} = (G^{0\nu})^{-1} |M^{0\nu}|^{-2} |\langle m \rangle_{ee}|^{-2}$$

Initial state

$N(n, p)$

$N(n, p) \rightarrow N(n-2, p+2) + 2e^-$

$N(n-2, p+2)$

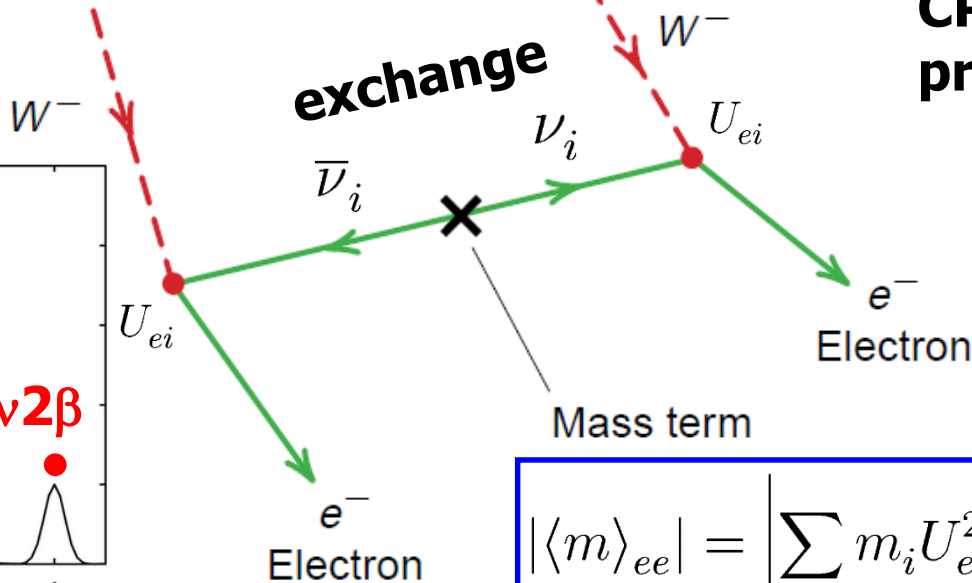
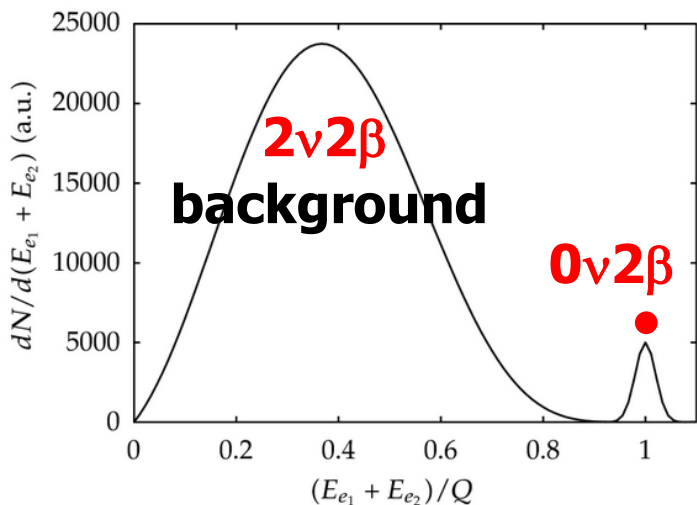
germanium

selenium

Nuclear physics

Lepton number violation  $\rightarrow$

CP-conserving process  $\leftarrow$



$$|\langle m \rangle_{ee}| = \left| \sum_i m_i U_{ei}^2 \right|$$