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# Probing Early Universe with PBHs and SIGWs

Yungui Gong

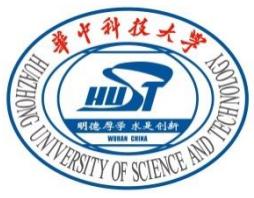
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华中科技大学物理学院

2021.6.10



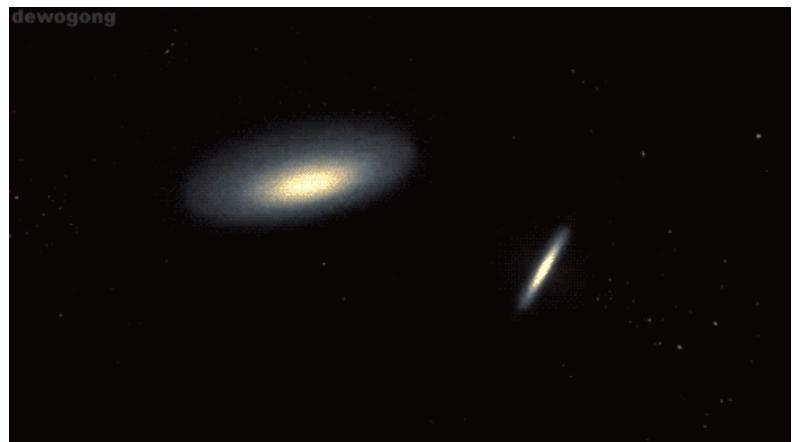
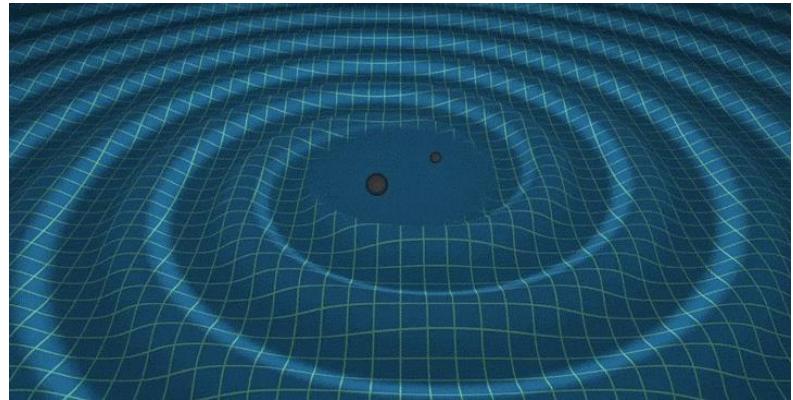
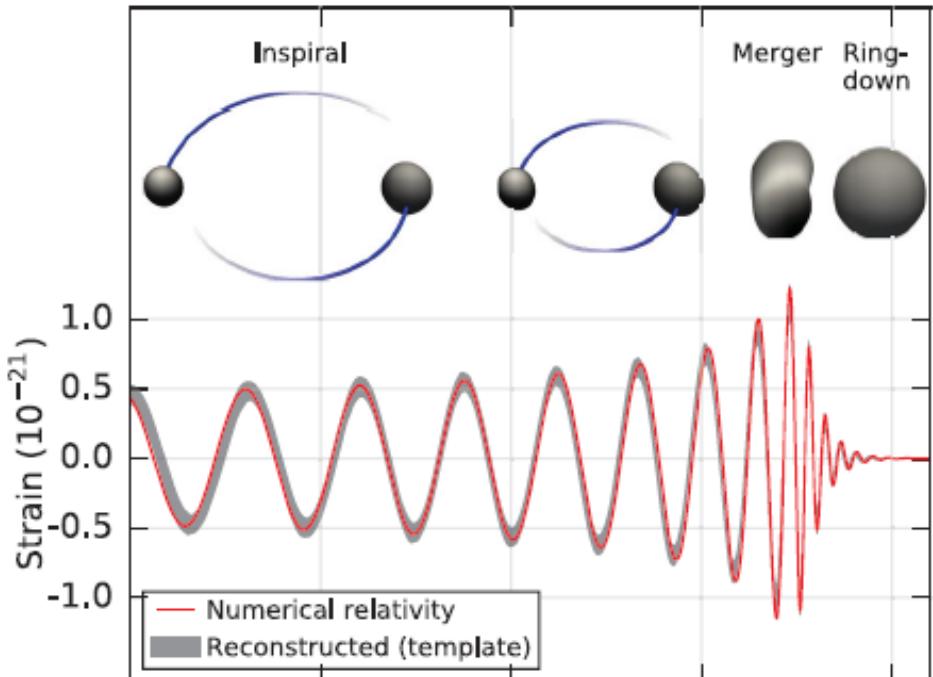
# Outline

- Gravitational Waves (GWs) and Gravitational Universe
- Inflation and GWs in early Universe
- Higgs inflation
- The new enhancement mechanism
- Conclusions

Gao, Gong and Li, 1405.6451 (PRD); Di and Gong, 1707.09578 (JCAP); Yi and Gong, 1712.07478 (JCAP); Lu etal., 1907.11896 (JCAP); Lin etal, 2001.05909 (PRD); Lu etal., 2006.03450 (PRD); Yi etal., 2007.09957 (PRD); Arshad etal., 2009.11081 (PRD); Yi etal., 2011.10606 (PRD); Zhang etal., 2012.06960 (JCAP); Gao etal., 2012.03856

# Motivation

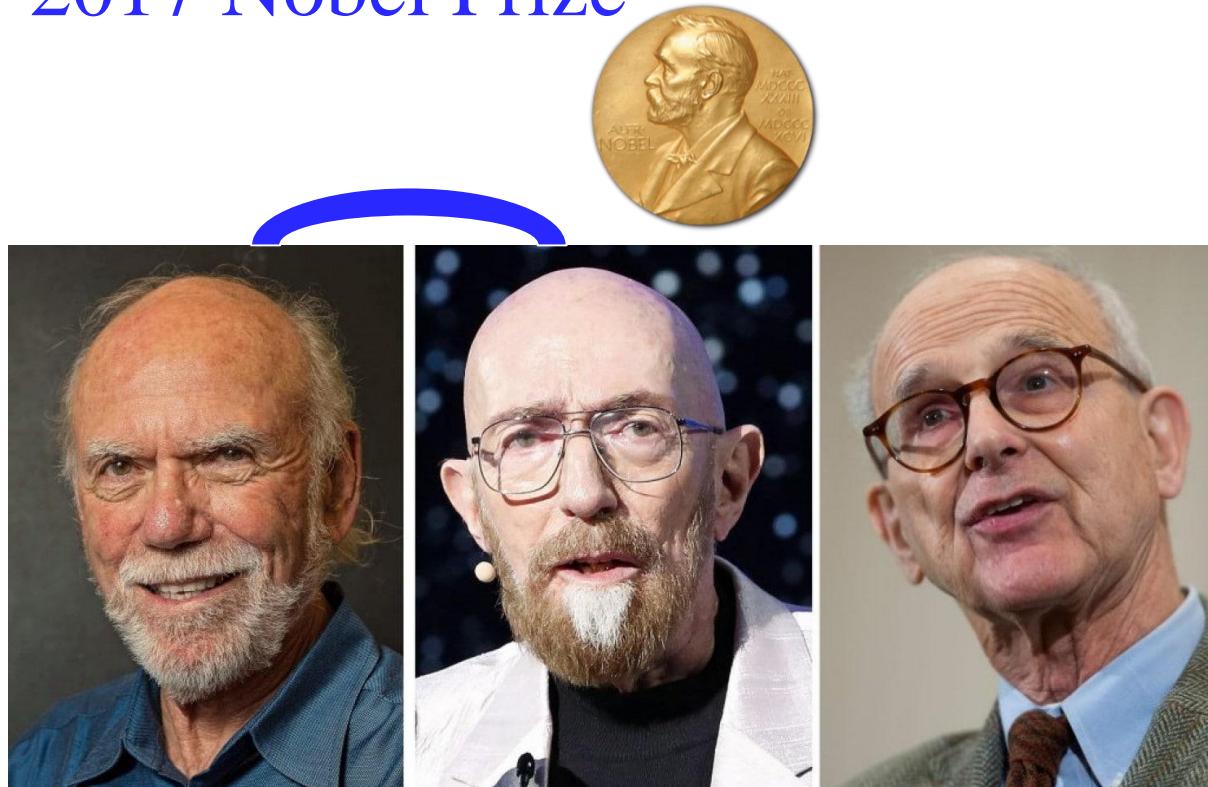
- The discovery of Gravitational Waves (GWs)



GW150914: PRL 116 (16) 061102

# The discovery of GWs

## 2017 Nobel Prize

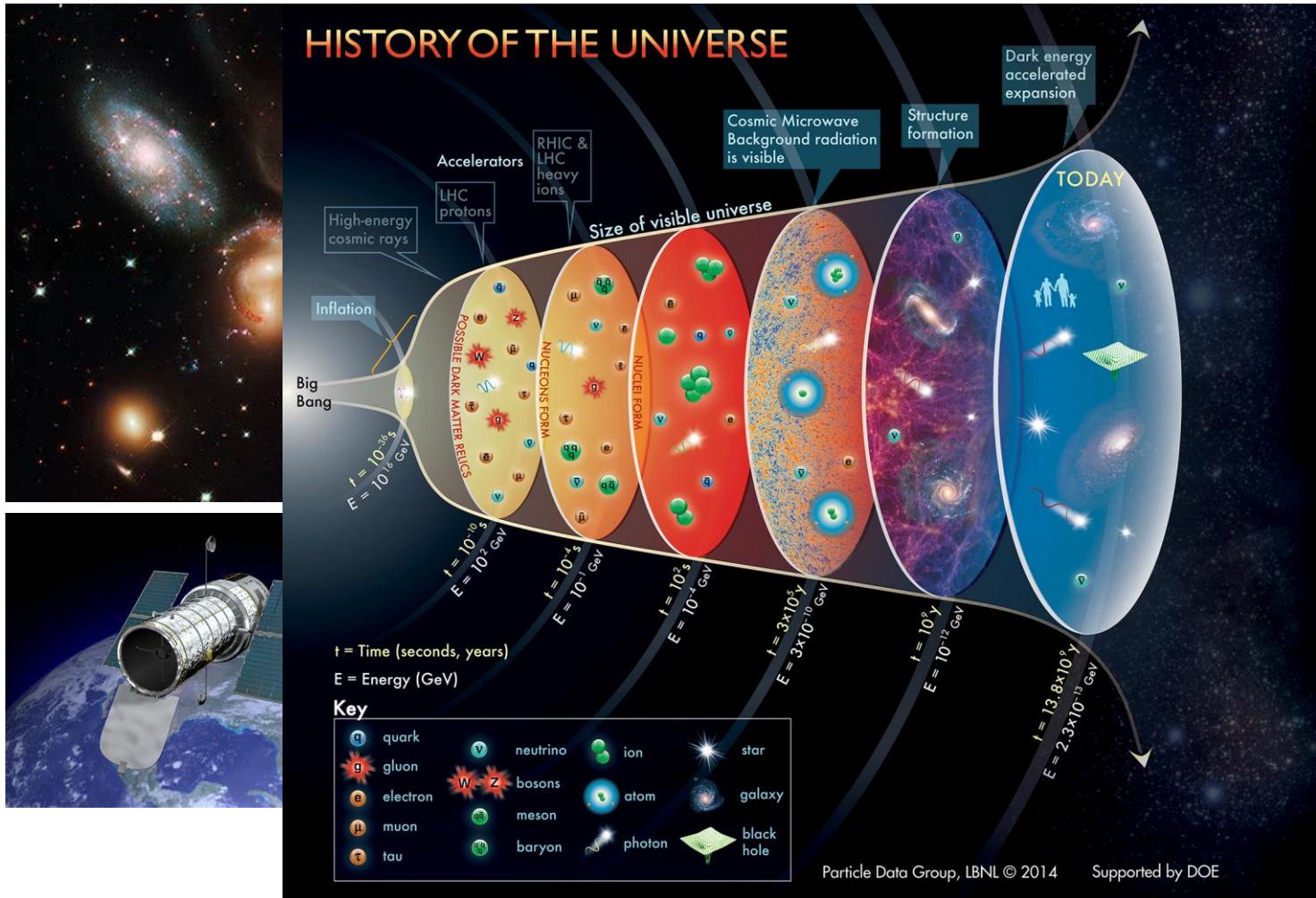


For decisive contributions to the LIGO detector and the observation of gravitational waves

Barry C. Barish   Kip S. Thorne   Rainer Weiss

# Seeing the Universe

## ■ Electromagnetic method



# Gravitational Universe

- Opens a new window to uncover the Universe



- The dawn of multi-band/multi-messenger astronomy

eLISA, 1305.5720

# GW tools

- Test of gravity in strong field and nonlinear regimes
- Provides model independent measurement of distance

GW170817/GRB170817A

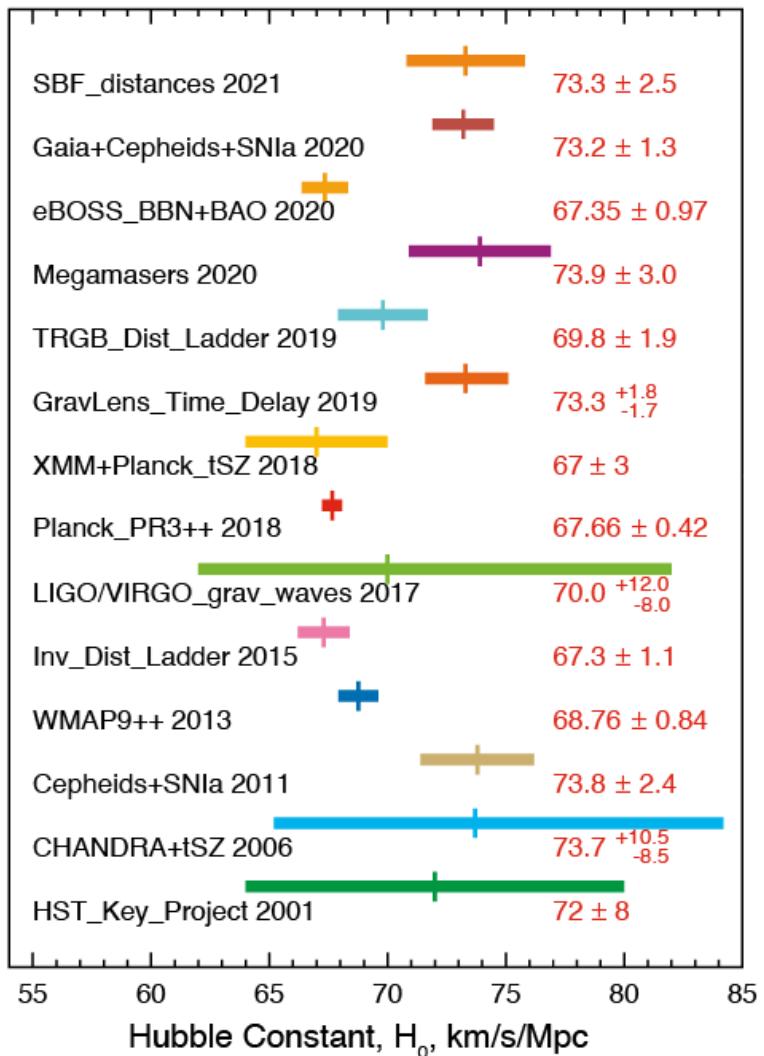


- Ground-based detectors: source localization
  - 10-1000Hz frequency band
  - A few seconds of signals
  - Stellar mass black holes and neutron stars

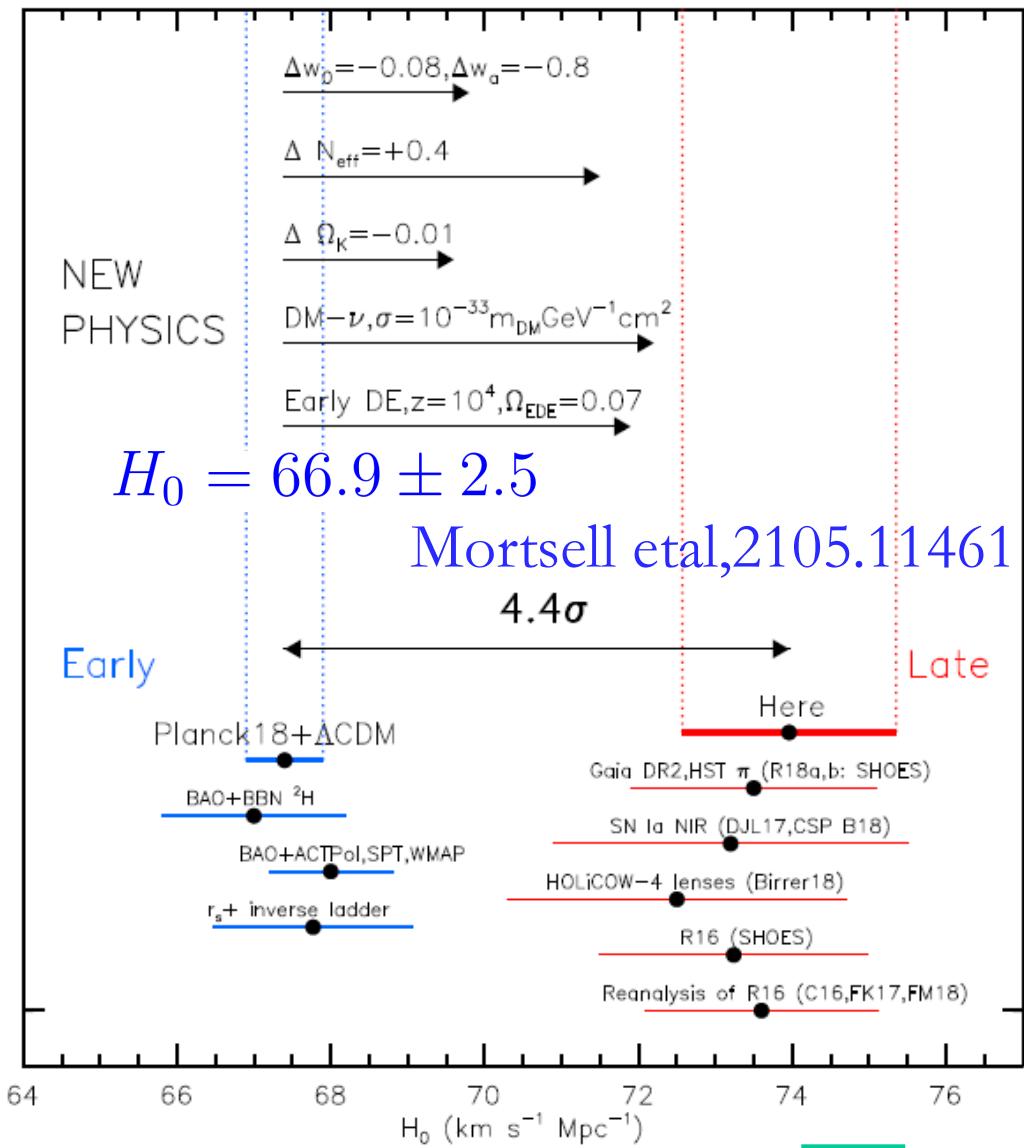
Three or more detectors needed: Timing Triangulation

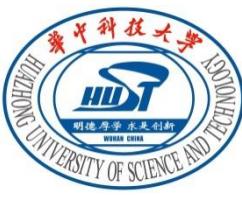


# Hubble constant measurements



LAMBDA - February 2021





# Spaceborne detectors

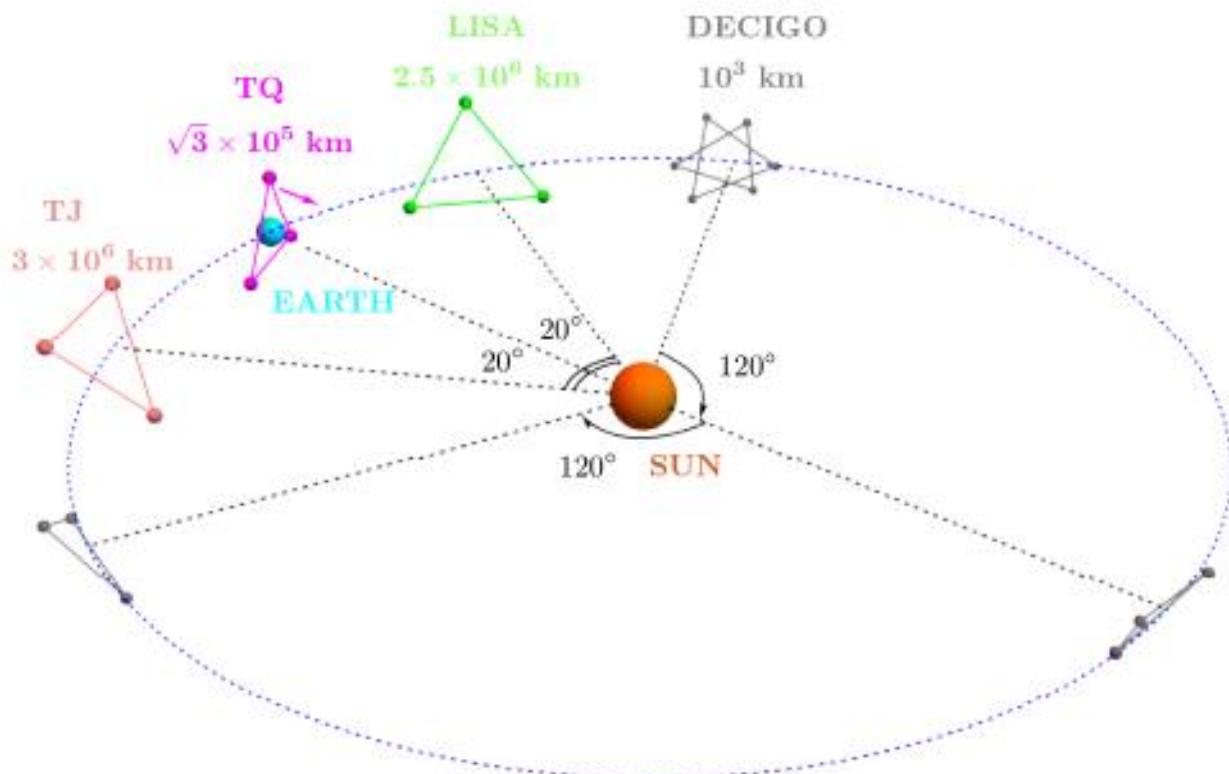
## ■ Space-based detectors

- mHz band
- Last several years
- Early inspiral signals (monochromatic): months to years before merger
- Inspiral, merger and ringdown signals
- Massive and Supermassive BHs
- Extreme mass ratio inspiral (EMRI)
- Sky localization and polarization measurement

The motion of detectors: Doppler modulation

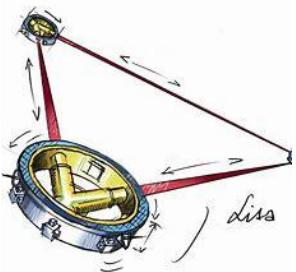
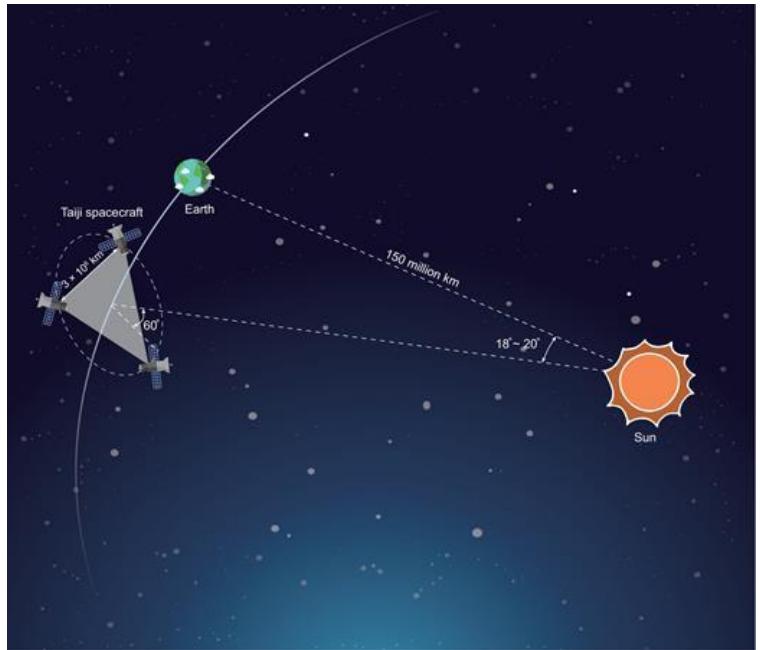
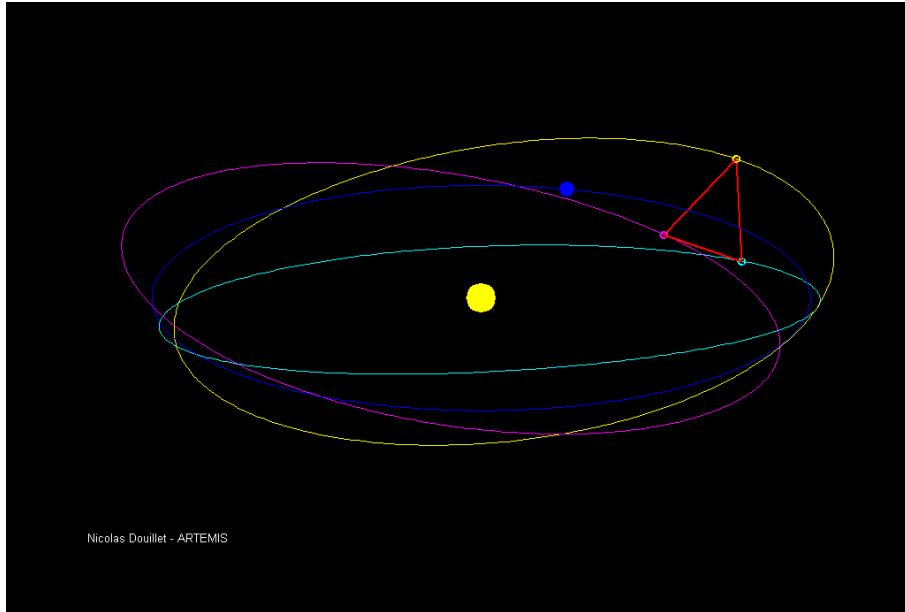
# Space-based GW detectors

- LISA/Taiji/TianQin/DECIGO (OMEGA)



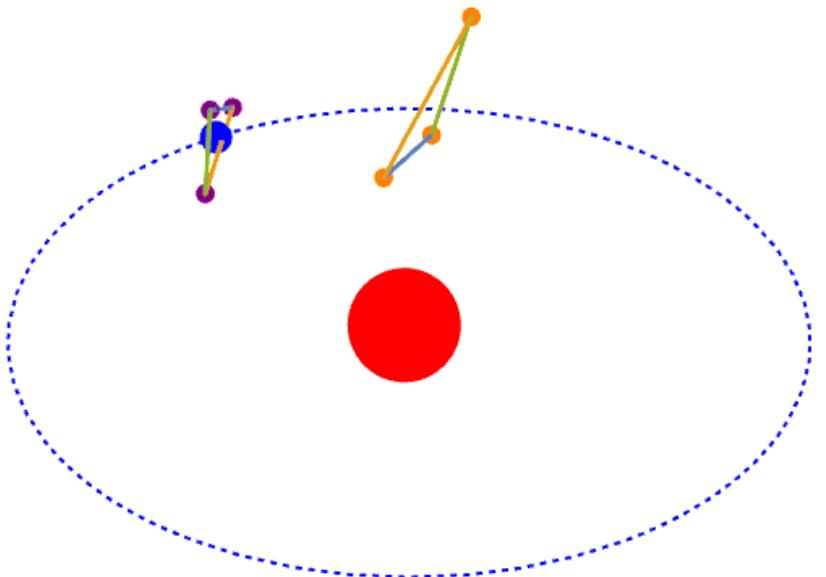
# Space born GW detector

## ■ LISA/Taiji/TianQin: Polarization



# LISA/Taiji/TianQin

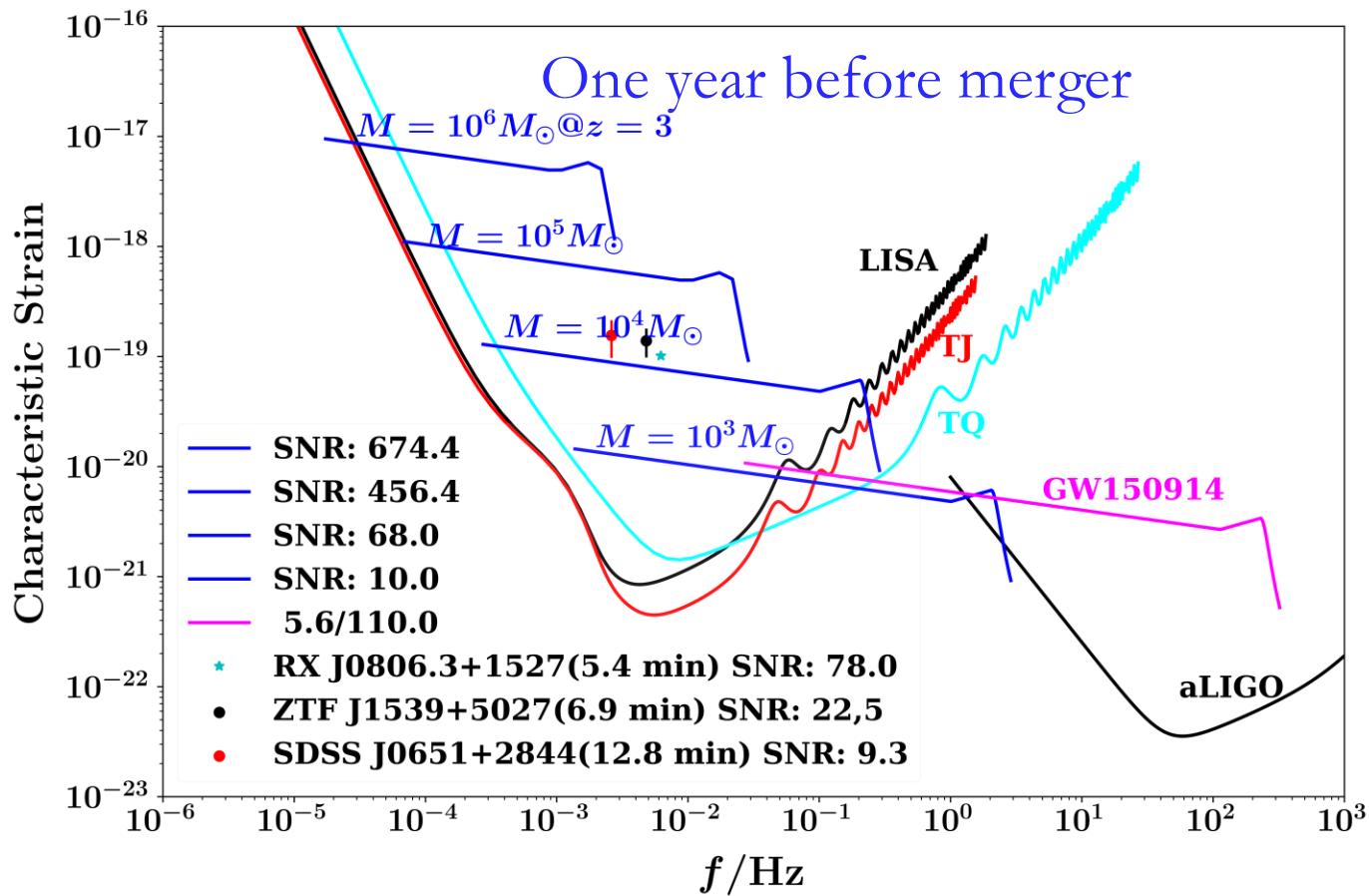
## ■ TianQin



RX J0806.3+1527

# LISA/Taiji/TianQin

## ■ Noise Curve

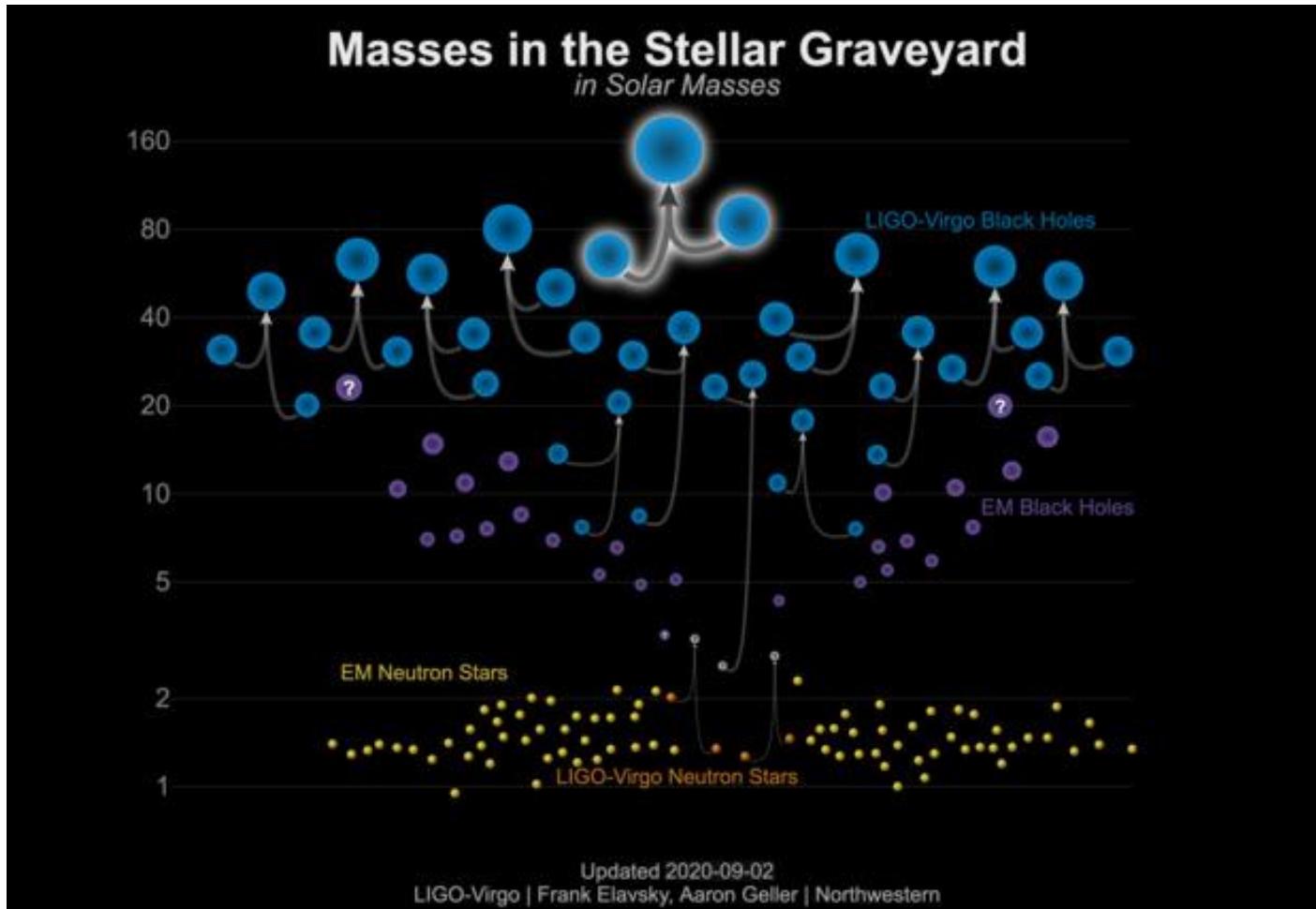


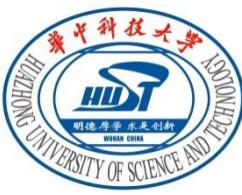
# LIGO/Virgo Results

- Tens of GWs

GWTC-1: 1811.12907

GWTC-2: 2010.14527





# LIGO/Virgo Results

- GW170817: first BNS with EM counterparts, Standard Siren
- GW190425: BNS? 1.6/1.46 solar mass NS?
- GW190412: 30/8 BBH with asymmetric mass, higher multipoles
- GW190814: 23/2.6 solar mass, heaviest NS or lightest BH?
- GW190521: Intermediate mass BH, about 150 solar mass BH

GWTC2: 2010.14527



# Primordial black holes (PBHs)

- PBHs: PBH forms in the radiation era as a result of gravitational collapse of density perturbations generated during inflation

Hawking, MNRAS 152 (1971) 75;

Carr & Hawking, MNRAS 168 (1974) 399

- PBHs: LIGO/Virgo BHs are PBHs?

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PRL 116, 201301 (2016)

PHYSICAL REVIEW LETTERS

week ending  
20 MAY 2016

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## Did LIGO Detect Dark Matter?

Simeon Bird,<sup>\*</sup> Ilias Cholis, Julian B. Muñoz, Yacine Ali-Haïmoud, Marc Kamionkowski,  
Ely D. Kovetz, Alvise Raccanelli, and Adam G. Riess

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PRL 117, 061101 (2016)

PHYSICAL REVIEW LETTERS

week ending  
5 AUGUST 2016

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## Primordial Black Hole Scenario for the Gravitational-Wave Event GW150914

Misao Sasaki,<sup>1</sup> Teruaki Suyama,<sup>2</sup> Takahiro Tanaka,<sup>3,1</sup> and Shuichiro Yokoyama<sup>4</sup>



# PBHS from LIGO/Virgo

## ■ LIGO/Virgo

PHYSICAL REVIEW LETTERS **126**, 051101 (2021)

### **GW190521 Mass Gap Event and the Primordial Black Hole Scenario**

V. De Luca<sup>1</sup>, V. Desjacques<sup>2</sup>, G. Franciolini<sup>1</sup>, P. Pani<sup>3,4</sup> and A. Riotto<sup>1</sup>

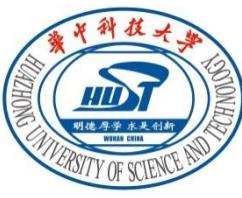
PHYSICAL REVIEW LETTERS **126**, 071101 (2021)

### **GW190425; GW190814**

#### **Test for the Origin of Solar Mass Black Holes**

Volodymyr Takhistov,<sup>1,2,\*</sup> George M. Fuller,<sup>3,4,†</sup> and Alexander Kusenko<sup>1,2</sup>

Evidence from GWTC-2 data: 2102.03809;  
2105.03349 → 30% PBHS



# Evidence for PBH DM

## ■ Planet 9

PHYSICAL REVIEW LETTERS **125**, 051103 (2020)

Editors' Suggestion

Featured in Physics

### What If Planet 9 Is a Primordial Black Hole?

Jakub Scholtz<sup>1</sup> and James Unwin<sup>2</sup>

## ■ NANOGrav

PHYSICAL REVIEW LETTERS **126**, 041303 (2021)

Editors' Suggestion

### NANOGrav Data Hints at Primordial Black Holes as Dark Matter

V. De Luca<sup>1,\*</sup> G. Franciolini<sup>1,†</sup> and A. Riotto<sup>1,2,‡</sup>

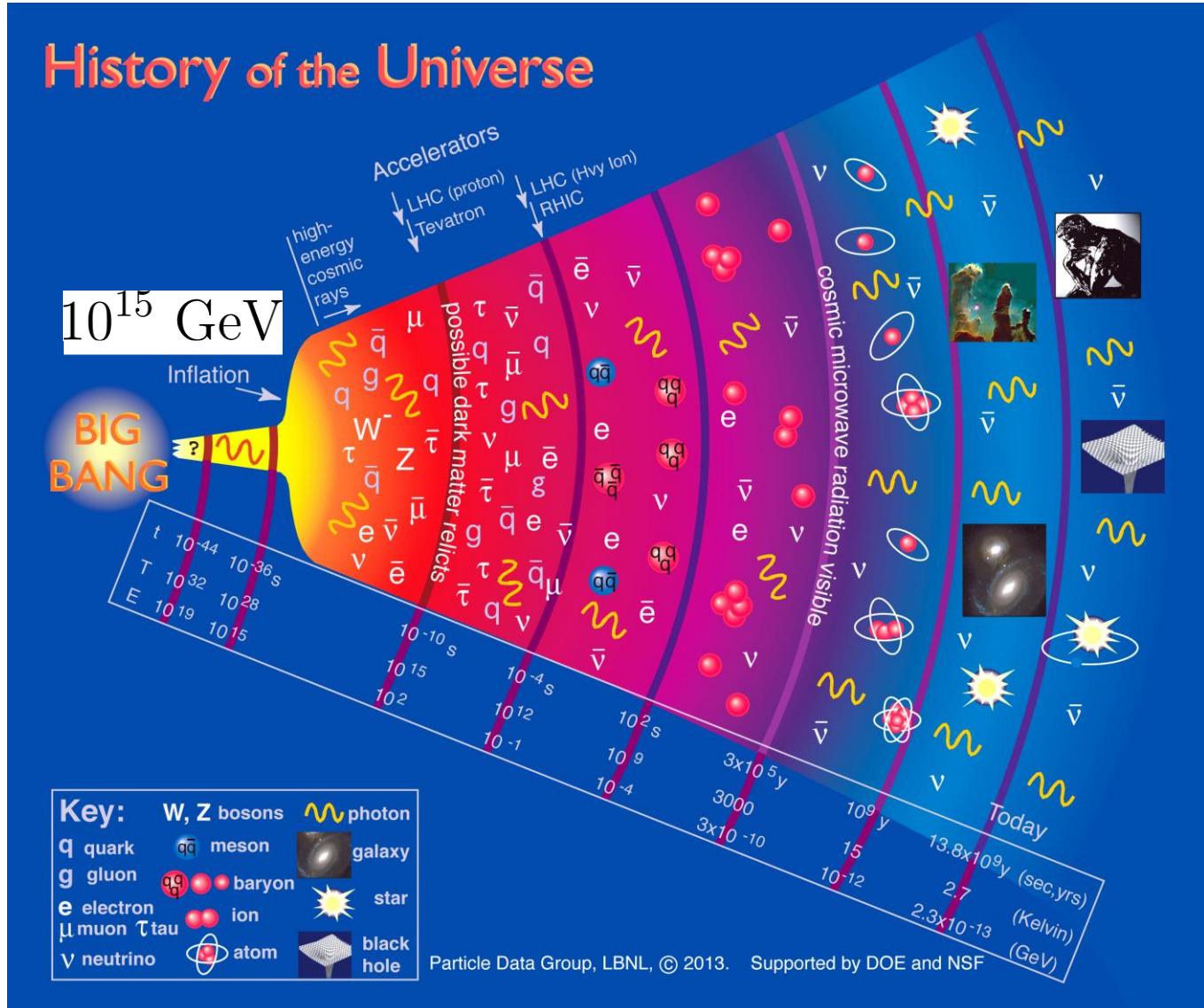
PHYSICAL REVIEW LETTERS **126**, 051303 (2021)

Editors' Suggestion

### Did NANOGrav See a Signal from Primordial Black Hole Formation?

Ville Vaskonen<sup>1,\*</sup> and Hardi Veermäe<sup>2,†</sup>

# Early Universe



# Inflationary models

- The power spectrum is parameterized

$$\mathcal{P}_{\mathcal{R}} = \frac{k^3}{2\pi^2} |\mathcal{R}_k|^2 = A_{\mathcal{R}}(k_*) \left(\frac{k}{k_*}\right)^{n_s - 1 + \frac{1}{2}n'_s \ln(k/k_*) + \dots}$$

order of  $10^{-9}$

$$\mathcal{P}_T = A_T(k_*) \left(\frac{k}{k_*}\right)^{n_t + \frac{1}{2}n'_t \ln(k/k_*) + \dots} \approx 64\pi G \left(\frac{H}{2\pi}\right)^2$$

$$n_s - 1 = \left. \frac{d \ln \mathcal{P}_{\mathcal{R}}}{d \ln k} \right|_{k=aH} = 3 - 2\nu = 2\eta_H - 4\epsilon_H \approx 2\eta - 6\epsilon$$

$$r = \frac{A_T}{A_{\mathcal{R}}} = 16\epsilon_H = 16\epsilon = -8n_T \quad A_T = r A_{\mathcal{R}} \sim H^2 \sim V(\phi)$$

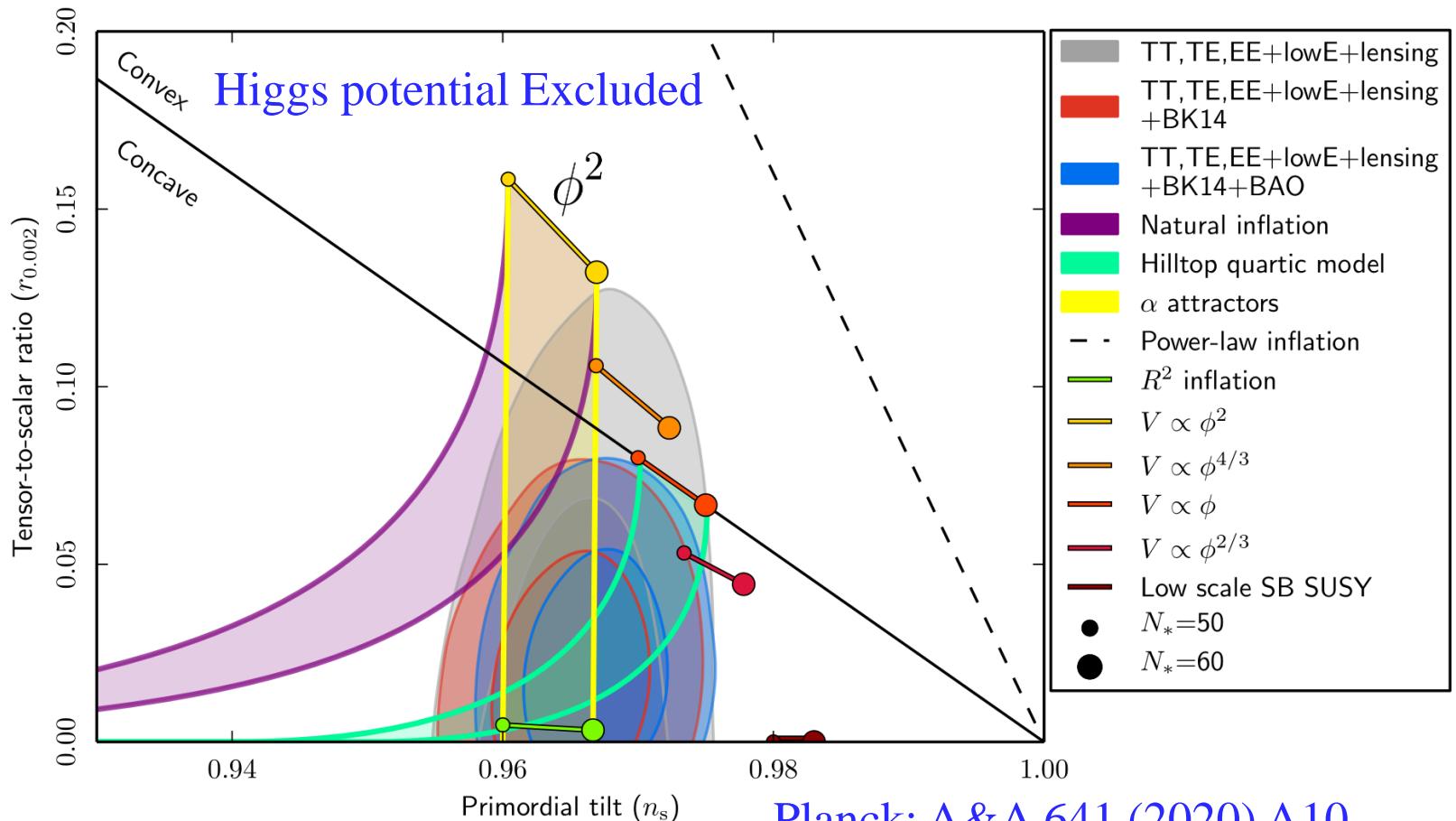
- Energy scale of inflation: measurement of  $r$

# CMB constraints

## ■ Planck 18

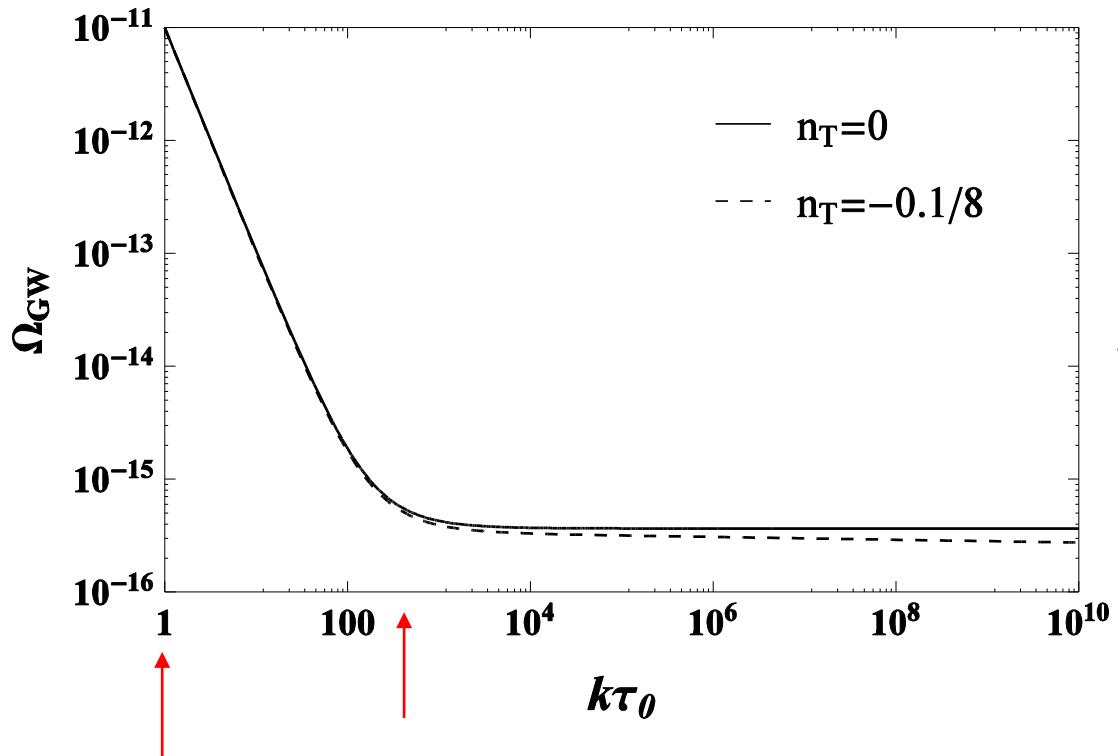
$$\ln(10^{10} A_s) = 3.044 \pm 0.014 \quad \text{order of } 10^{-9}$$

$$r_{0.05} < 0.06, \quad 95\%$$



Planck: A&A 641 (2020) A10  
 BICEP: PRL 121 (2018) 221301

# Primordial GWs



Size of universe  
14161.5 Mpc  
 $2.2 \times 10^{-18}$  Hz

arXiv: 1502.02114

1502.01589

$$\Omega_{m0} = 0.3156$$

$$H_0 = 67.27 \text{ km/s/Mpc}$$

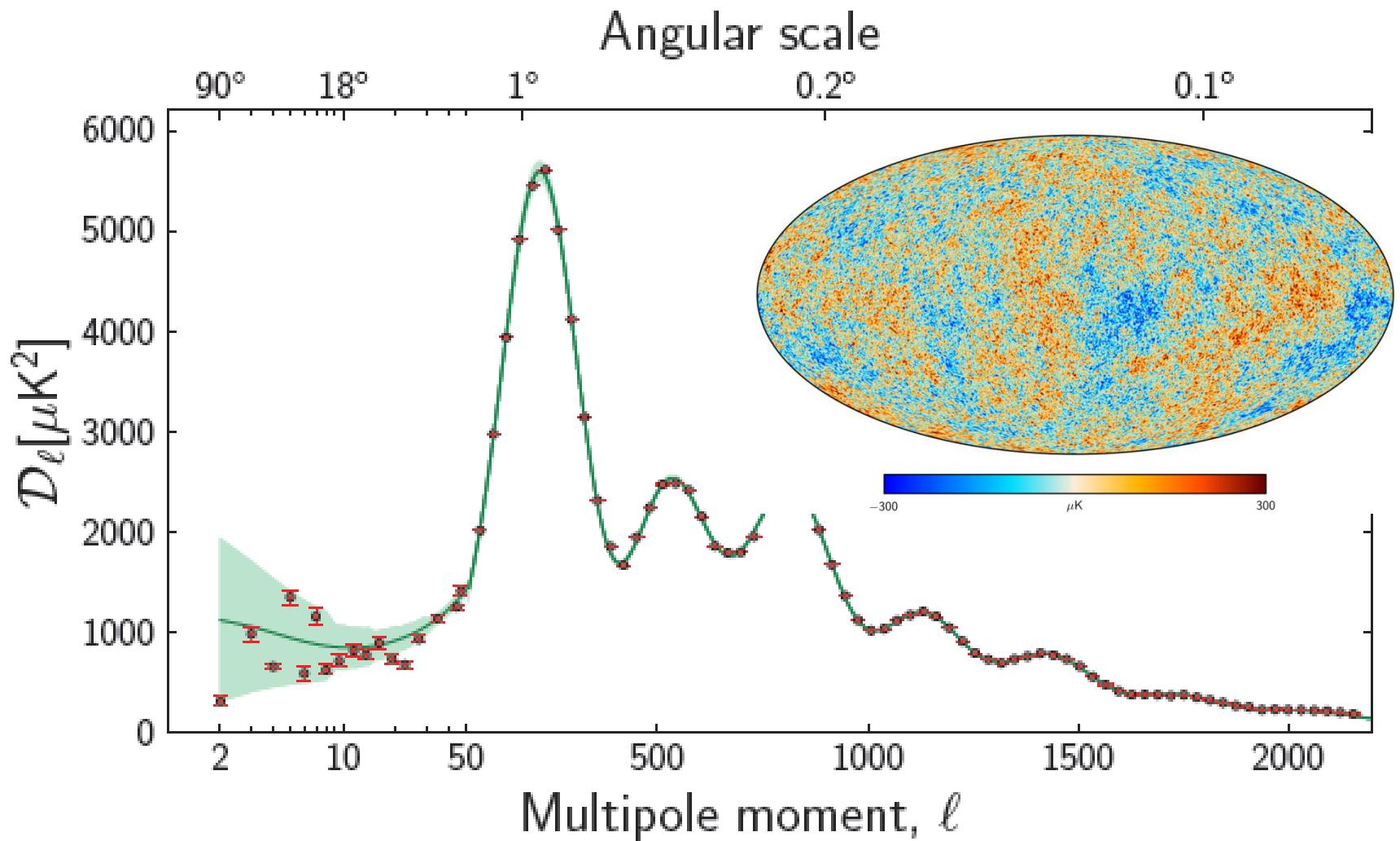
$$T_{\gamma 0} = 2.725 \text{ K}$$

$$r = 0.1$$

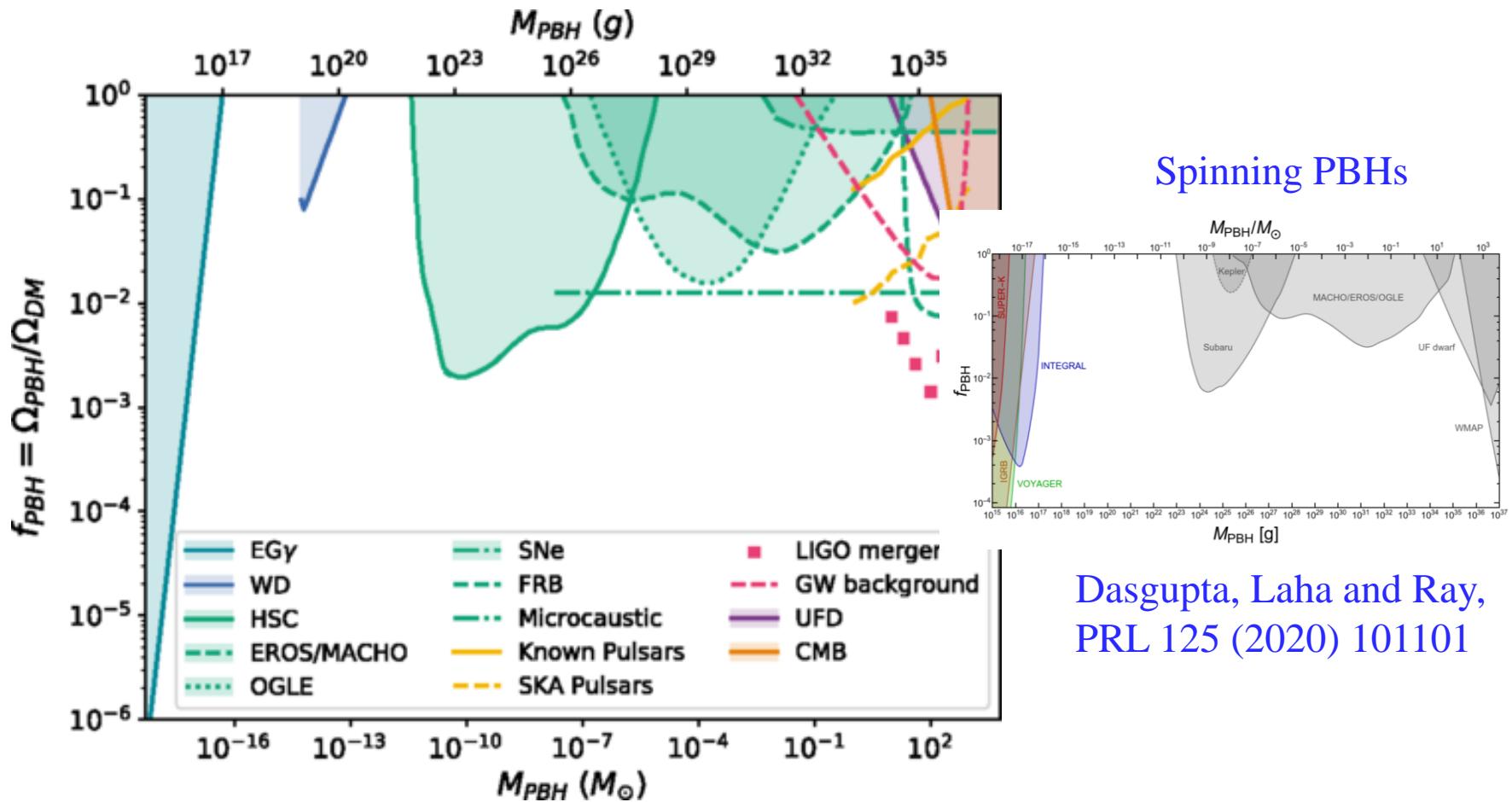
$$A_s = 2.2 \times 10^{-9}$$

End of inflation  $\sim 10^{15}$  GeV  
 $8.9 \times 10^7$  Hz

# Primordial temperature spectrum



# PBH constraints



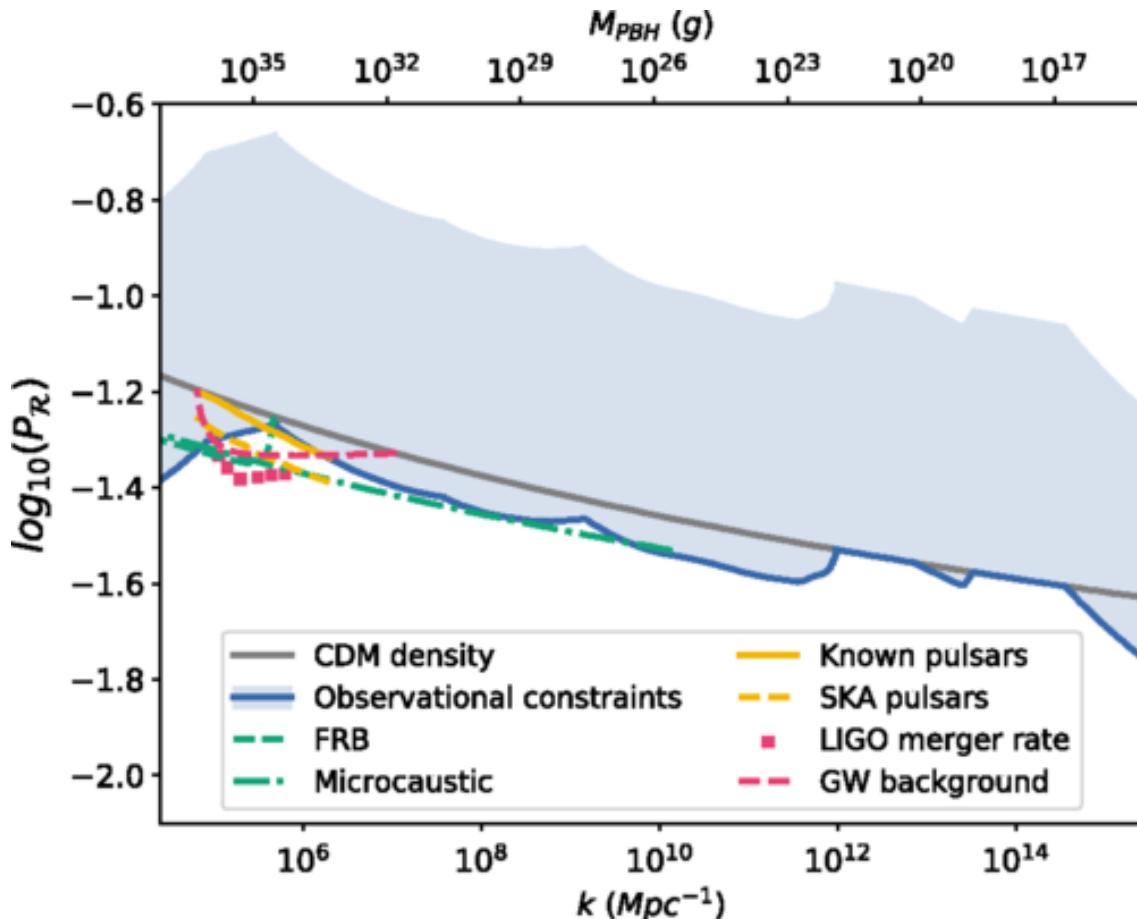
Sato-Polito, Kovetz, Kamionkowski, PRD 100 (19) 063521

# Observational constraints

## ■ PBH fraction

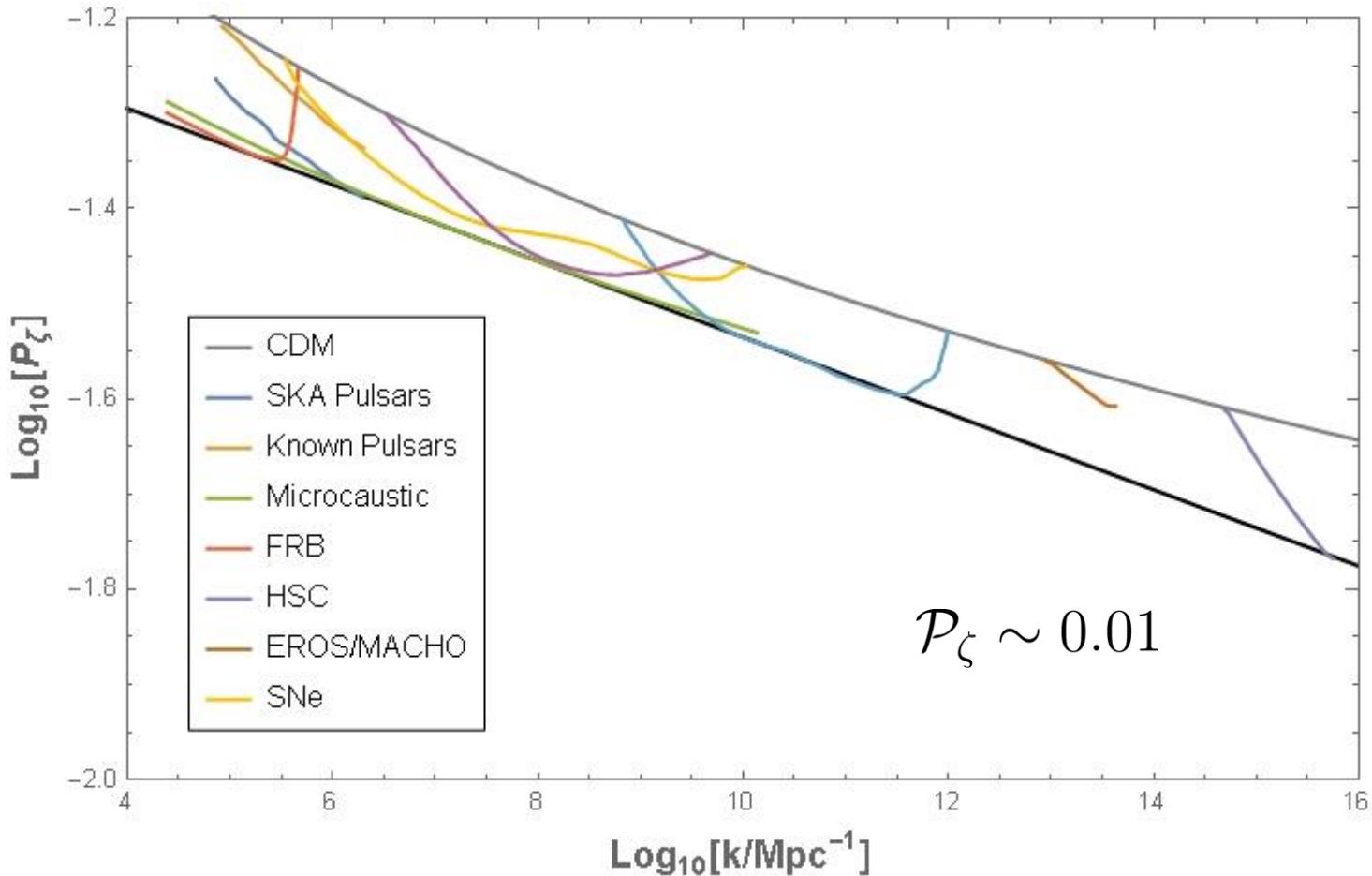
$$f_{\text{PBH}} \Rightarrow \mathcal{P}_\zeta$$

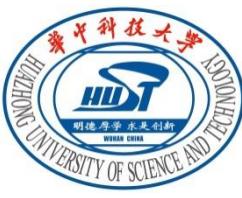
$$\beta = \frac{\rho_{\text{PBH}}}{\rho_{\text{tot}}} \approx \text{erfc} \left( \frac{\delta_c}{\sqrt{2\mathcal{P}_\delta}} \right) = \text{erfc} \left( \frac{9\delta_c}{4\sqrt{\mathcal{P}_\zeta}} \right)$$



# Constraints on power spectrum

## ■ Result





# Scalar induced GWs (SIGWs)

## ■ Tensor-scalar mixing

$$h_{ij}'' + 2\mathcal{H}h_{ij}' - \nabla^2 h_{ij} = -4S_{ij}$$

First order scalar perturbations as the source of the second order tensor perturbation  $\Phi, \Psi \sim 0.1$

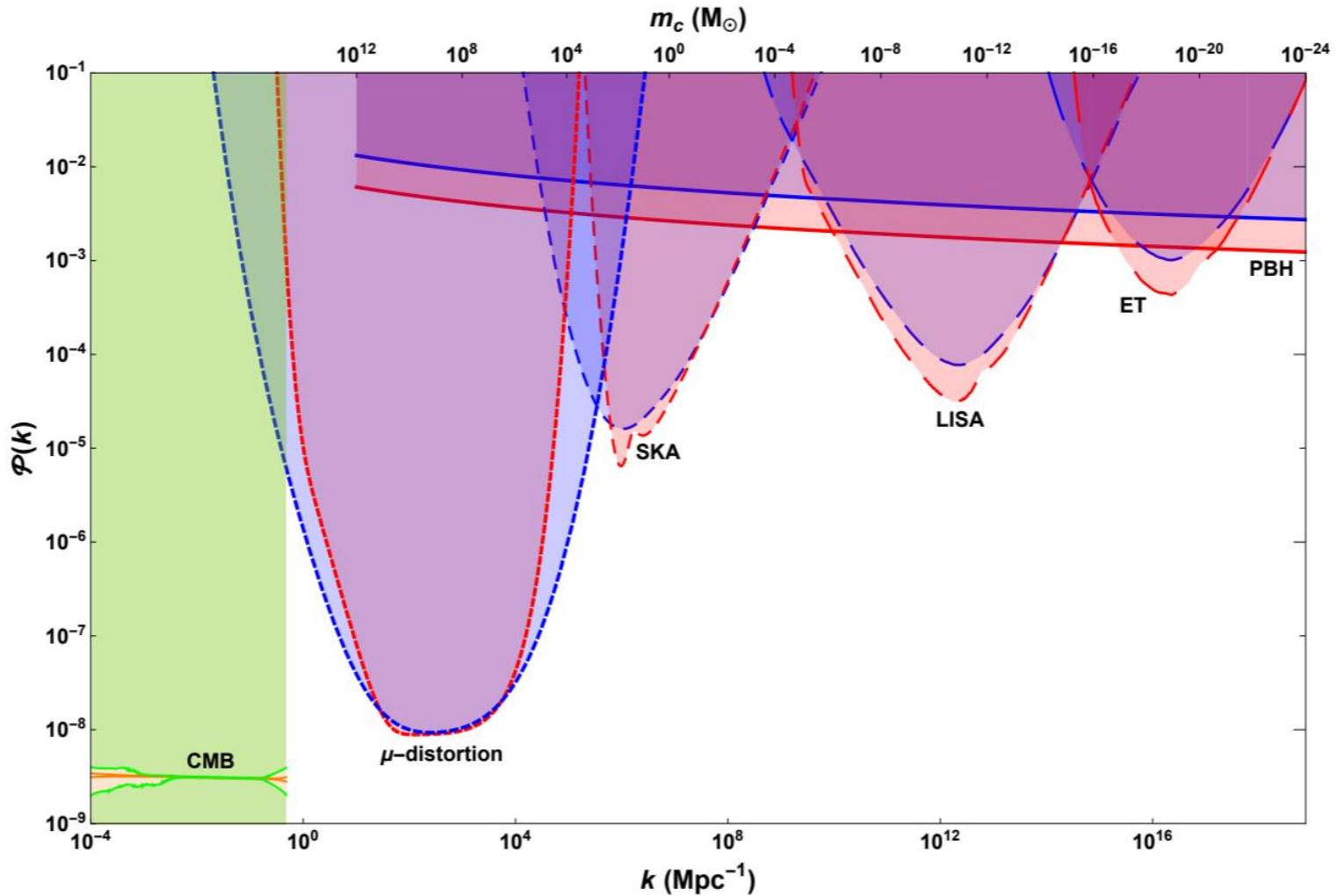
$$\begin{aligned} S_{ij} = & 2\Phi\Phi_{,ij} + 2\Psi\Psi_{,ij} + \Phi_{,i}\Phi_{,j} + 3\Psi_{,i}\Psi_{,j} - \Psi_{,i}\Phi_{,j} - \Phi_{,i}\Psi_{,j} \\ & - \frac{4}{3(1+w)\mathcal{H}^2}(\Psi' + \mathcal{H}\Phi)_{,i}(\Psi' + \mathcal{H}\Phi)_{,j} \end{aligned}$$

$$h_{ij}(\mathbf{x}, \eta) = \frac{1}{(2\pi)^{3/2}} \int d^3k e^{i\mathbf{k}\cdot\mathbf{x}} [h_{\mathbf{k}}(\eta) e_{ij}(\mathbf{k}) + \tilde{h}_{\mathbf{k}}(\eta) \tilde{e}_{ij}(\mathbf{k})]$$

$$\langle h_{\mathbf{k}}(\eta) h_{\tilde{\mathbf{k}}}(\eta) \rangle = \frac{2\pi^2}{k^3} \delta^{(3)}(\mathbf{k} + \tilde{\mathbf{k}}) \mathcal{P}_h(k, \eta)$$

$$\Omega_{\text{GW}}(k, \eta) = \frac{1}{24} \left( \frac{k}{aH} \right)^2 \overline{\mathcal{P}_h(k, \eta)}$$

# GW Constraints



Gow, Byrnes, Cole, Young, JCAP 02 (2021) 002

# Enhancement: generic feature

- Very small  $\epsilon$  at small scales

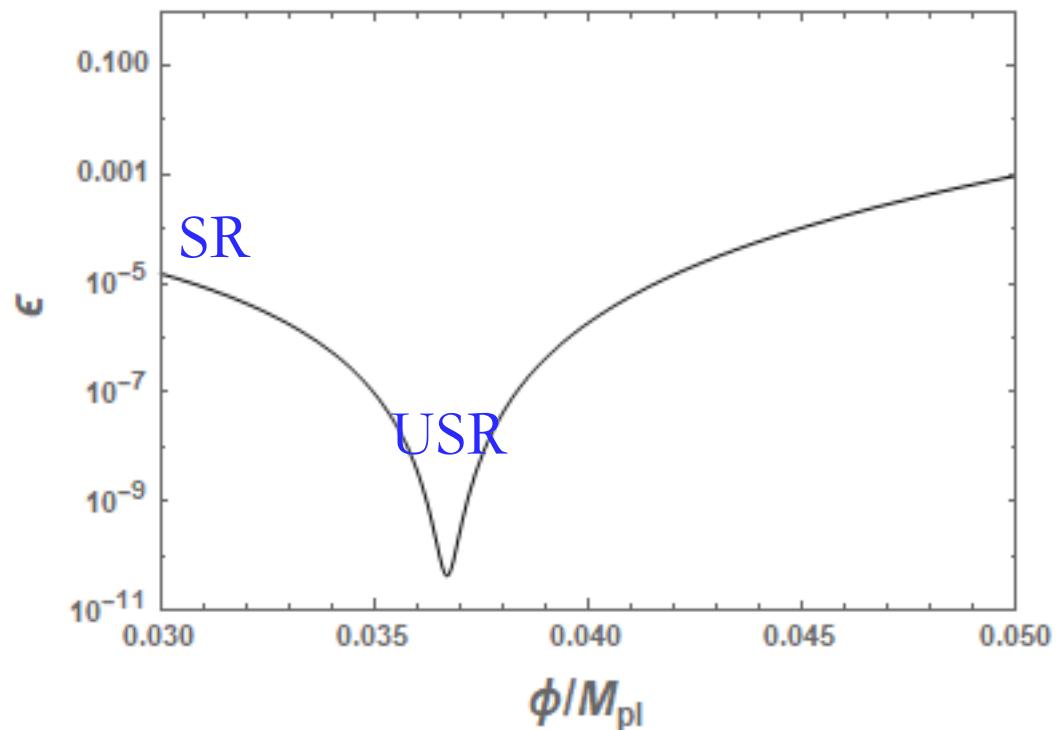
Slow-roll inflation  $H^2$

$$\mathcal{P}_\zeta = \frac{H^2}{8\pi^2\epsilon}$$

$$\mathcal{P}_\zeta \sim 10^{-9} \quad \text{Large scales}$$



$$\mathcal{P}_\zeta \sim 0.01 \quad \text{Small scales}$$



$$\epsilon = \frac{1}{2} \left( \frac{V_\phi}{V} \right)^2 \sim 0$$

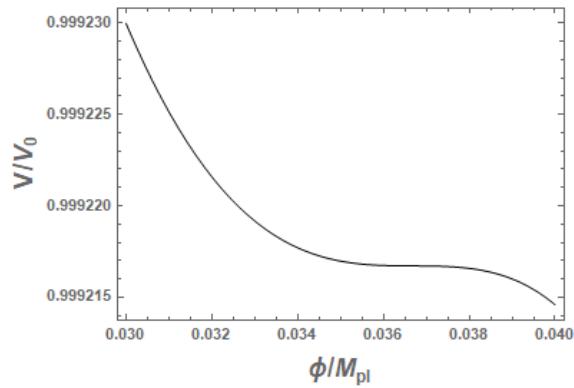
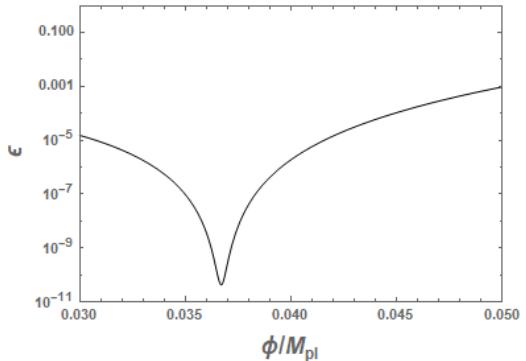
Motivation and  
Goal: Decrease by 7  
orders of magnitude

Slow-roll parameter  $\epsilon$  cannot increase monotonically

# Inflection point inflation

- Small  $\epsilon$  requires very flat potential: inflection point

$$\mathcal{P}_\zeta = \frac{H^2}{8\pi^2\epsilon}$$



- Ultra-slow-roll inflation

$$\begin{aligned} \ddot{\phi} + 3H\dot{\phi} + V_\phi(\phi) &= 0 \\ V_\phi(\phi) &= 0 \end{aligned} \quad \boxed{\qquad} \rightarrow$$

Slow-roll

$$3H\dot{\phi} \approx -V_\phi(\phi) = 0$$

$$\eta_H = -\frac{\ddot{\phi}}{H\dot{\phi}} = 3 \quad \text{constant-roll}$$

Break-down of slow-roll

# Ultra-slow-roll inflation

- The field rolls faster  $\dot{\phi} \propto a^{-3}$        $\rho_\phi^{KE} \propto a^{-6}$

$$N = \int_{\phi}^{\phi_e} \frac{d\phi}{\sqrt{2\epsilon(\phi)}}$$

$N$  can be much larger than 60  
Breakdown of Lyth bound  
USR helps to reduce N

The contribution to N during ultra-slow-roll inflation (inflection point) becomes smaller

$$\eta_H = -\frac{\ddot{\phi}}{H\dot{\phi}} = 3$$

- Constant roll  $\eta_H$  constant

$$n_s - 1 = 3 - 2\nu \quad \nu = \left| \frac{3}{2} - \eta_H \right| + \frac{(6 - 5\eta_H - 4\eta_H^2)\epsilon_H}{|3 - 2\eta_H|(1 + 2\eta_H)}$$

- How to enhance the power spectrum at the power spectrum while keeping the number of e-folds to be around 60

# Modified model

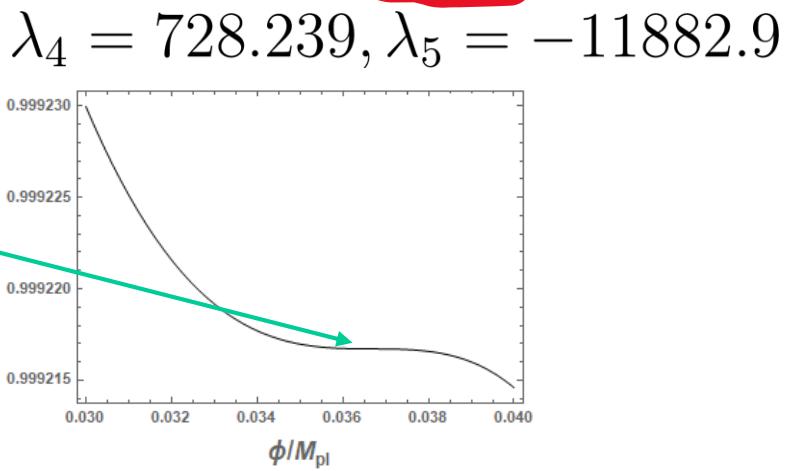
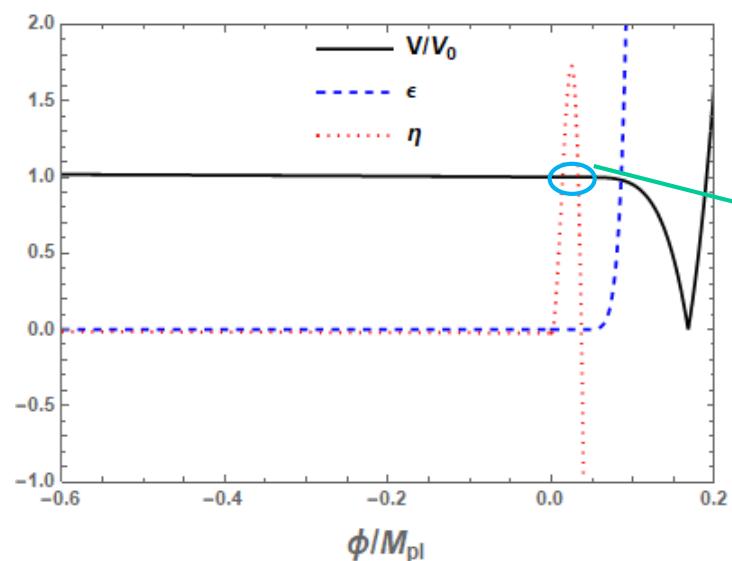
## ■ Polynomial potential

Di & Gong, JCAP 07 (18) 007

$$V(\phi) = \begin{cases} V_0 \left| 1 + \sum_{m=1}^{m=5} \lambda_m \left( \frac{\phi}{M_{\text{Pl}}} \right)^m \right|, & \phi \geq 0, \\ V_0 \left[ 1 + \sum_{m=1}^{m=3} \lambda_m \left( \frac{\phi}{M_{\text{Pl}}} \right)^m \right], & \phi < 0, \end{cases}$$

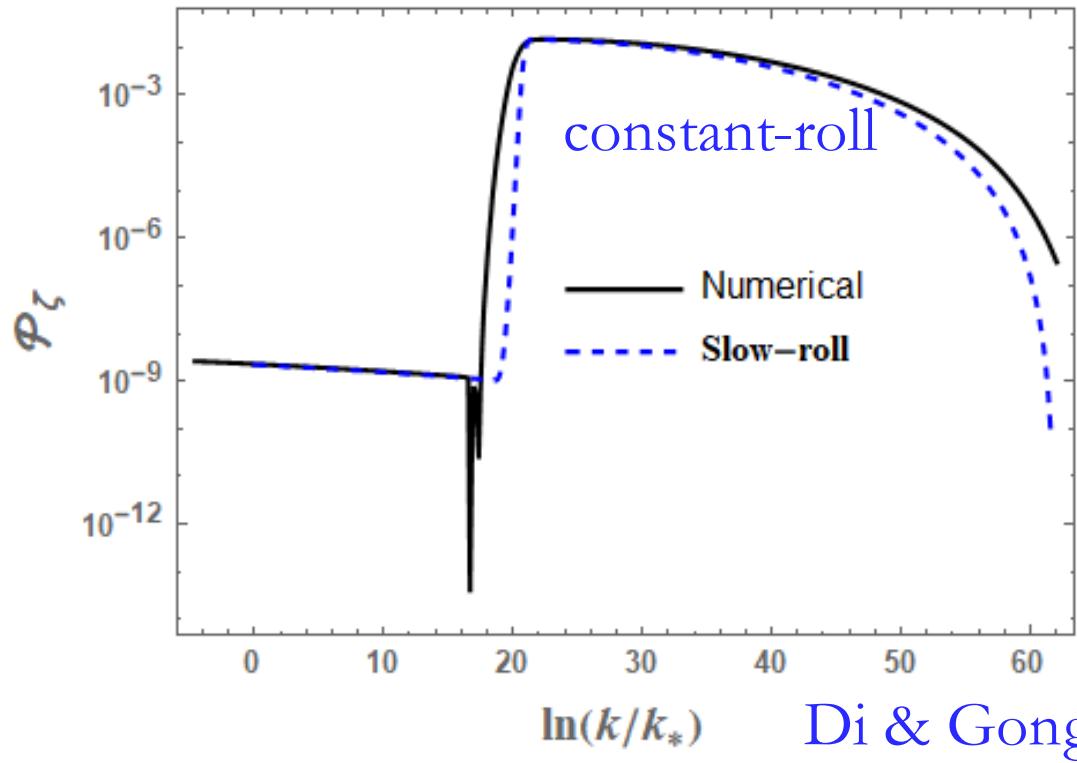
fine-tuning

$$\lambda_1 = -0.03535553 \quad \lambda_2 = -0.0115783 \quad \lambda_3 = -0.00235702$$



# The power spectrum

## ■ Numerical solution



$$k_* = 0.05 \text{Mpc}^{-1}, n_s = 0.9674, r = 0.005, n'_s = -0.0008$$

Peak value  $\mathcal{P}_\zeta = 0.0175$

# Ultra-slow-roll to SR

## ■ USR to SR transition

$$V(\phi) = V_0 + \frac{\beta}{2} \left[ \phi + \delta_1 \log \left\{ \cosh \left( \frac{\phi - \phi_1}{\delta_1} \right) \right\} \right] \\ + \frac{\gamma}{2} \left[ \phi - \delta_2 \log \left\{ \cosh \left( \frac{\phi_2 - \phi}{\delta_2} \right) \right\} \right]$$

$$\{\beta, \phi_1, \delta_1\} = \{10^{-14}, 0, 10^{-2}\} \quad \gamma = 6 \times 10^{-21}$$

fine-tuning

Fast transition  $\phi_2 = -0.1580281699$   $\delta_2 = 2.12 \times 10^{-10}$

Slow transition  $\phi_2 = -0.1580282187$ ,  $\delta_2 = 3.6 \times 10^{-8}$

# Ultra-slow-roll models

## ■ String inflation

$$V_{\text{inf}} = \frac{W_0^2}{\mathcal{V}^3} \left[ \frac{C_{\text{up}}}{\mathcal{V}^{1/3}} - \frac{C_W}{\sqrt{\tau_{K3}}} + \frac{A_W}{\sqrt{\tau_{K3}} - B_W} + \frac{\tau_{K3}}{\mathcal{V}} \left( D_W - \frac{G_W}{1 + R_W \frac{\tau_{K3}^{3/2}}{\mathcal{V}}} \right) \right]$$

fine-tuning

|                 | $C_W$       | $A_W$      | $B_W$  | $G_W/\langle \mathcal{V} \rangle$ | $R_W/\langle \mathcal{V} \rangle$ | $\langle \tau_{K3} \rangle$ | $\langle \mathcal{V} \rangle$ |
|-----------------|-------------|------------|--------|-----------------------------------|-----------------------------------|-----------------------------|-------------------------------|
| $\mathcal{P}_1$ | $1/10$      | $2/100$    | $1$    | $1.303386 \times 10^{-3}$         | $6.58724 \times 10^{-3}$          | $3.89$                      | $107.3$                       |
| $\mathcal{P}_2$ | $4/100$     | $2/100$    | $1$    | $3.080548 \times 10^{-5}$         | $7.071067 \times 10^{-4}$         | $14.30$                     | $1000$                        |
| $\mathcal{P}_3$ | $1.978/100$ | $1.65/100$ | $1.01$ | $9.257715 \times 10^{-8}$         | $1.414 \times 10^{-5}$            | $168.03$                    | $5 \times 10^4$               |

M. Cicoli, V.A. Diaz, F.G. Pedro, JCAP 1806, 034

## ■ SUGRA $W = a_0(1 + a_1 e^{-b_1 \Phi} + a_2 e^{-b_2 \Phi} + a_3 e^{-b_3 \Phi})$ .

|   | $a_0$                 | $a_3$              | $b_1$ | $b_2$     | $b_3$ | $c$  |
|---|-----------------------|--------------------|-------|-----------|-------|------|
| 1 | $4.35 \times 10^{-6}$ | $7 \times 10^{-8}$ | 3.05  | 6.3868164 | -4.4  | 2.8  |
| 2 | $4.06 \times 10^{-6}$ | $1 \times 10^{-6}$ | 2.89  | 7.251197  | -3.2  | 2.85 |

T.J. Gao, Z.K. Guo, PRD 98, 063526

# Higgs field

- Higgs particle

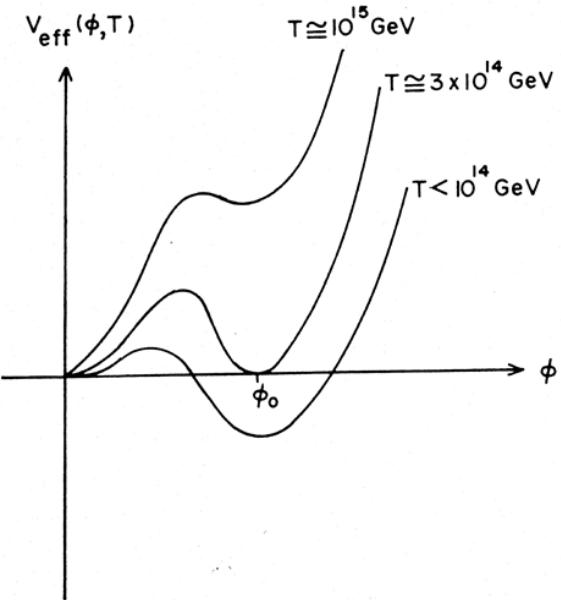
$m = 125 \text{ GeV}$     2012

- 2013 Nobel prize

$$V(\phi) = \frac{\lambda}{4}(\phi^2 - v^2)^2 + bT^2\phi^2$$

$$V(\phi) = \frac{\lambda}{4}\phi^4, \quad T \gg T_{crt}$$

A. Linde, 80s



Higgs

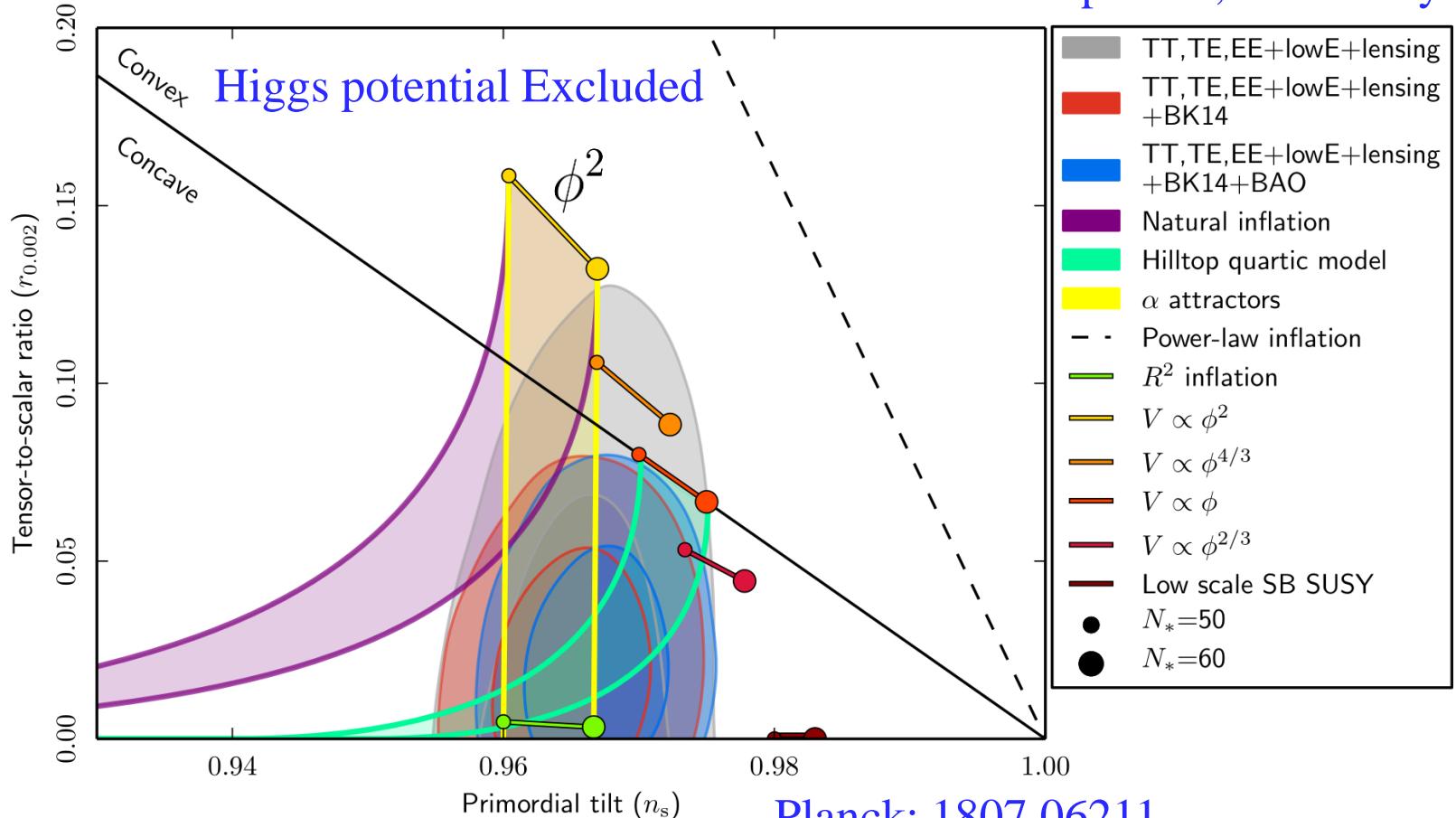
Englert

# CMB constraints

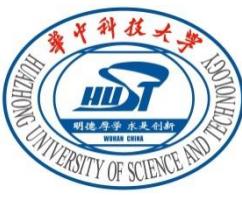
■ Planck 18

$$V(h_c) = \frac{1}{4} \lambda h_c^4 \quad \lambda = 0.13$$

Particle Data Group 2014, Chin. Phys. C



Planck: 1807.06211  
BICEP: PRL 121 (2018) 221301



# Higgs inflation

## ■ Nonminimal coupling

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2}(1 + \xi\phi^2)R - \frac{1}{2}g^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi - \frac{1}{4}\lambda\phi^4 \right]$$

Strong coupling attractors     $n_s \approx 1 - \frac{2}{N}$ ,     $r \approx \frac{12}{N^2}$

Kaiser, PRD 52 (95) 4295

Bezrukov and Shaposhnikov, PLB 659 (08) 703

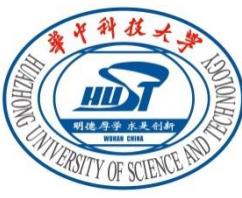
## ■ Critical inflation

RG running of coupling constant     $\lambda = 0$ ,     $\beta_\lambda = 0$

$$\lambda(\phi) = \lambda_0 + b_\lambda \ln^2(\phi/\mu) \quad \xi(\phi) = \xi_0 + b_\xi \ln(\phi/\mu)$$

Hamada, Kawai, Oda and Park, PRL 112, 241301

Bezrukov and Shaposhnikov, PLB 734 (14) 249



# Critical Higgs Inflation

## ■ The Higgs inflation (nonminimal coupling)

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2}(1 + \xi\phi^2)R - \frac{1}{2}g^{\mu\nu}\nabla_\mu\phi\nabla_\nu\phi - \frac{1}{4}\lambda(\phi)\phi^4 \right]$$

$$\lambda(\phi) = \lambda_0 + b_\lambda \ln^2(\phi/\mu) \quad \xi(\phi) = \xi_0 + b_\xi \ln(\phi/\mu)$$

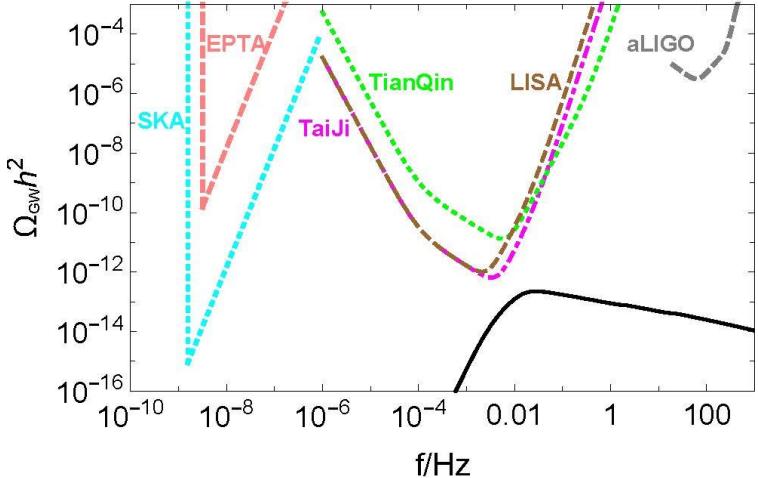
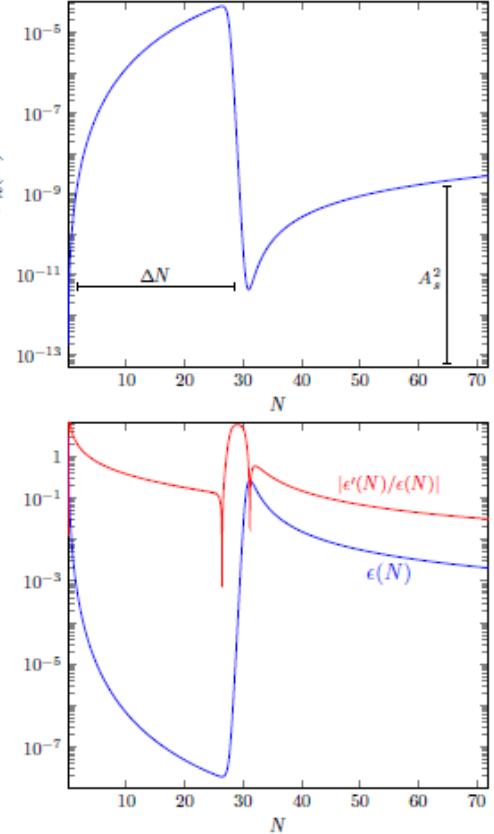
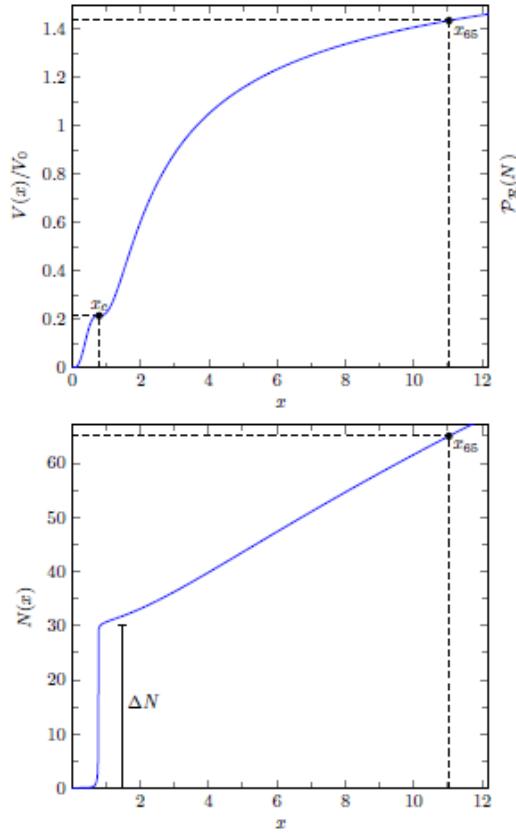
$$S = \int dx^4 \sqrt{-g} \left[ \frac{1}{2}R - \frac{1 + \xi\phi^2 + 6(\xi\phi + \xi_\phi\phi^2/2)^2}{(1 + \xi\phi^2)^2} \frac{1}{2}g_{\mu\nu}\nabla^\mu\phi\nabla^\nu\phi - V(\phi) \right]$$

$$V(x) = \frac{V_0(1 + a \ln^2 x)x^4}{[1 + c(1 + b \ln x)x^2]^2},$$

$$x = \phi/\mu, V_0 = \lambda_0\mu^4/4, a = \lambda_1/\lambda_0, b = \xi_1/\xi_0 \text{ and } c = \xi_0\mu^2.$$

# Critical Higgs Inflation

- The Higgs inflation (nonminimal coupling)



$$n_s = 0.952, r = 0.043.$$

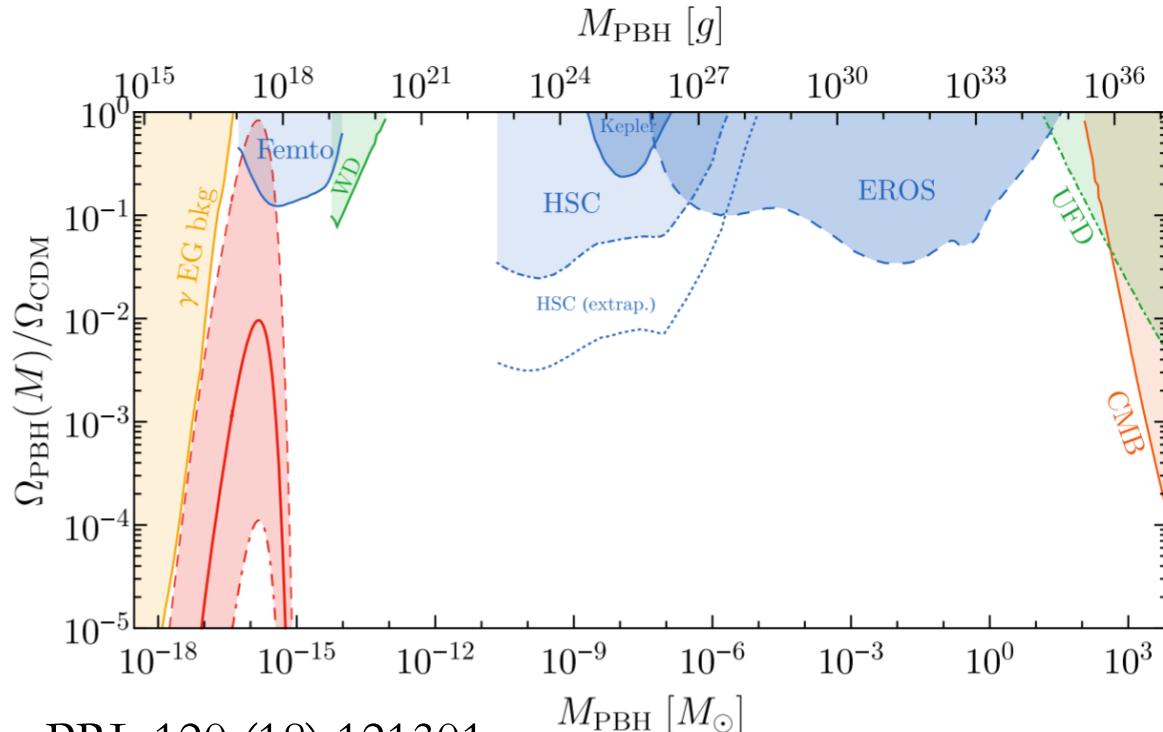
Ezquiaga, Garcia-Bellido, Morales, PLB 776 (18) 345

# Spectator Higgs model

- Higgs field is not responsible for inflation, but its fluctuation generate large power

$$V(h_c) = -\frac{1}{4}\lambda h_c^4$$

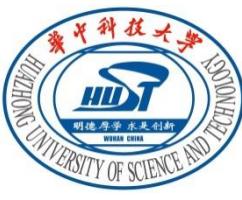
RG running,  
coupling  
becomes negative  
Higgs instability



Espinosa, Racco, Riotto, PRL 120 (18) 121301

Passaglia, Hu, Motohashi, PRD 101 (20) 123523

Nonminimal coupling and  $R+R^2$  Gundhi & Steinwachs, 2011.09485



# Horndeski Theory

- The most general scalar-tensor theory with 2<sup>nd</sup> order of EOMs

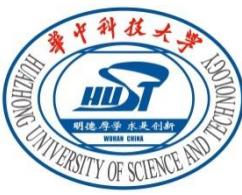
$$L_H = L_2 + L_3 + L_4 + L_5$$

$$L_2 = K(\phi, X), \quad X = -\frac{1}{2}\nabla_\mu\phi\nabla^\mu\phi$$

$$L_3 = -G_3(\phi, X)\square\phi$$

$$L_4 = G_4(\phi, X)R + G_{4,X} \left[ (\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)(\nabla^\mu\nabla^\nu\phi) \right]$$

$$\begin{aligned} L_5 = & G_5(\phi, X)G_{\mu\nu}\nabla^\mu\nabla^\nu\phi - \frac{1}{6}G_{5,X} \left[ (\square\phi)^3 \right. \\ & \left. - 3(\square\phi)(\nabla_\mu\nabla_\nu\phi)(\nabla^\mu\nabla^\nu\phi) + 2(\nabla^\mu\nabla_\alpha\phi)(\nabla^\alpha\nabla_\beta\phi)(\nabla^\beta\nabla_\mu\phi) \right] \end{aligned}$$



# Horndeski Theory: Special cases

## ■ Non-minimally coupling

$$L_4 = G_4(\phi, X)R + G_{4,X} \left[ (\square\phi)^2 - (\nabla_\mu \nabla_\nu \phi)(\nabla^\mu \nabla^\nu \phi) \right]$$

$$G_4(\phi, X) = f(\phi) \quad \text{Non-minimal coupling } f(\phi)R$$

## ■ Non-minimally derivative coupling

$$G_5(\phi, X)G_{\mu\nu}\nabla^\mu \nabla^\nu \phi \xrightarrow{\hspace{1cm}} G^{\mu\nu}\partial_\mu \phi \partial_\nu \phi$$

$$G_5(\phi, X) = \phi$$

Non-minimal coupling  
Gauss-Bonnet coupling  
Derivative coupling

## ■ New Higgs inflation

$$S = \frac{1}{2} \int d^4x \sqrt{-g} \left[ M_{pl}^2 R - g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + \frac{1}{M^2} G^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - 2V(\phi) \right]$$

# k/G inflation

## ■ Non-canonical field

$$S = \frac{1}{2} \int d^4x \sqrt{-g} \left[ M_{pl}^2 R - g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + \frac{f(\phi)}{M^2} G^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - 2V(\phi) \right]$$

$$f(\phi) = \frac{d}{\sqrt{(\frac{\phi-\phi_r}{c})^2+1}} \quad V(\phi) = \lambda \phi^{2/5}$$

Fu, Wu & Yu, PRD 100 (19) 063532; PRD 101 (20) 023529

Parameter needs  
to be fine tuned  
at least to six  
decimal digits

## ■ G inflation

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} R + K(\phi, X) - G_3(\phi, X) \square \phi \right]$$

$$X = -g_{\mu\nu} \nabla^\mu \phi \nabla^\nu \phi / 2$$

Lin, Gao & Gong et al., PRD 101 (20) 103515

# k/G inflation

## ■ G inflation

Special case:  $G_{3\phi} = dG_3(\phi)/d\phi$

$$K(\phi, X) = X - V(\phi)$$

## ■ k inflation

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2}R + X + G(\phi)X - V(\phi) \right]$$

$$\mathcal{P}_\zeta = \frac{H^2}{8\pi^2\epsilon}(1+G) \quad G \text{ used to generate a peak}$$

At large scales, G is negligible, we recover standard SR result

At small scales, G is very big, the power spectrum is enhanced

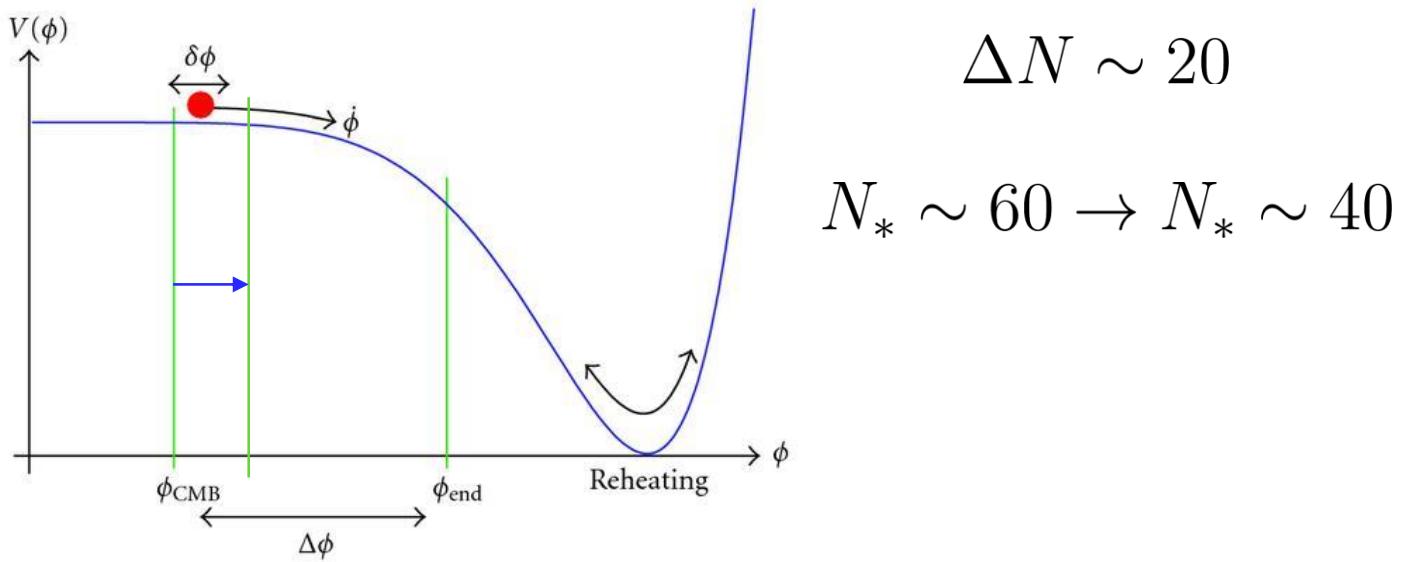
# k/G inflation

- Number of e-folds around the peak

$$\Delta N = \int_{\phi_r - \Delta\phi}^{\phi_r + \Delta\phi} \frac{H}{\dot{\phi}} d\phi \simeq - \int_{\phi_r - \Delta\phi}^{\phi_r + \Delta\phi} \frac{V(1 + G)}{V_\phi} d\phi$$

Peak width should be small

- The limit on the potential



# The possible potentials

- The power-law potential  $V(\phi) = \lambda\phi^n$

$$n_s = 1 - \frac{n+2}{2N}$$

$$r = \frac{4n}{N}$$

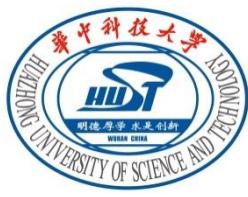
Higgs potential  $n = 4, N_* = 40$

$$n_s = 0.925$$

~~$$r = 0.4$$~~

$$n = 1/3, n_s = 0.971, r = 0.033$$

$$n = 2/3, n_s = 0.967, r = 0.067$$



## k/G inflation

## ■ Examples for peak functions

$$\text{Peak function} \quad G_a(\phi) = \frac{d}{1 + \left| \frac{\phi - \phi_r}{c} \right|}$$

Brans-Dicke theory:  $\omega(\phi) = 1/\phi$

Around the peak  $|\phi - \phi_r| \ll c$ ,  $G_a(\phi) \sim d$

To get the enhancement,  $d \sim 10^8$

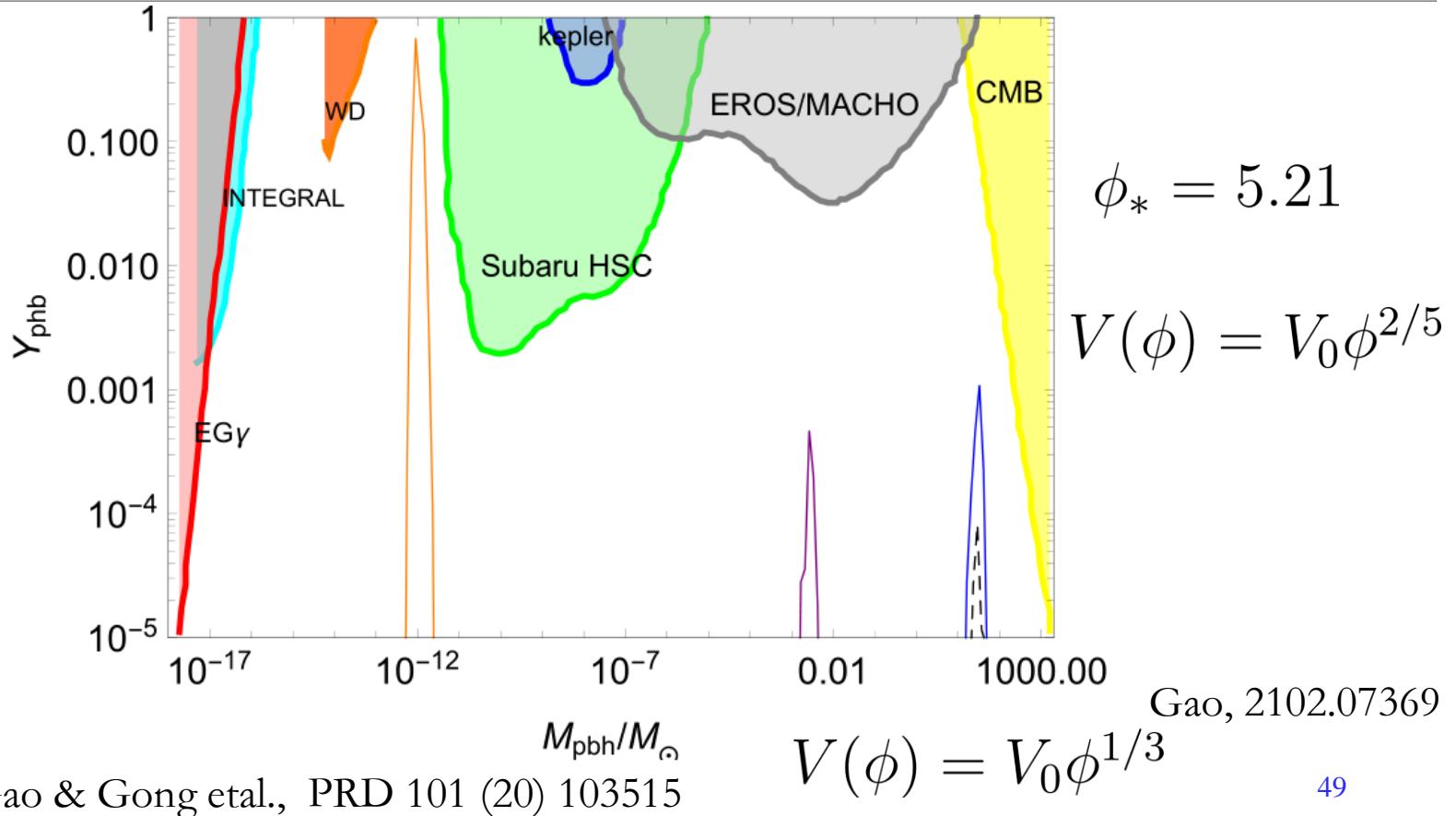
To ensure enhancement at small scales,  $\phi_r$  should be away from  $\phi_*$

Away from the peak     $|\phi - \phi_r| \gg c$ ,  $G_a(\phi) \sim dc/|\phi - \phi_r|$   
 $\sim O(0.01)$        $\sim O(1)$

Small peak width  $c \sim 10^{-10}$  at most

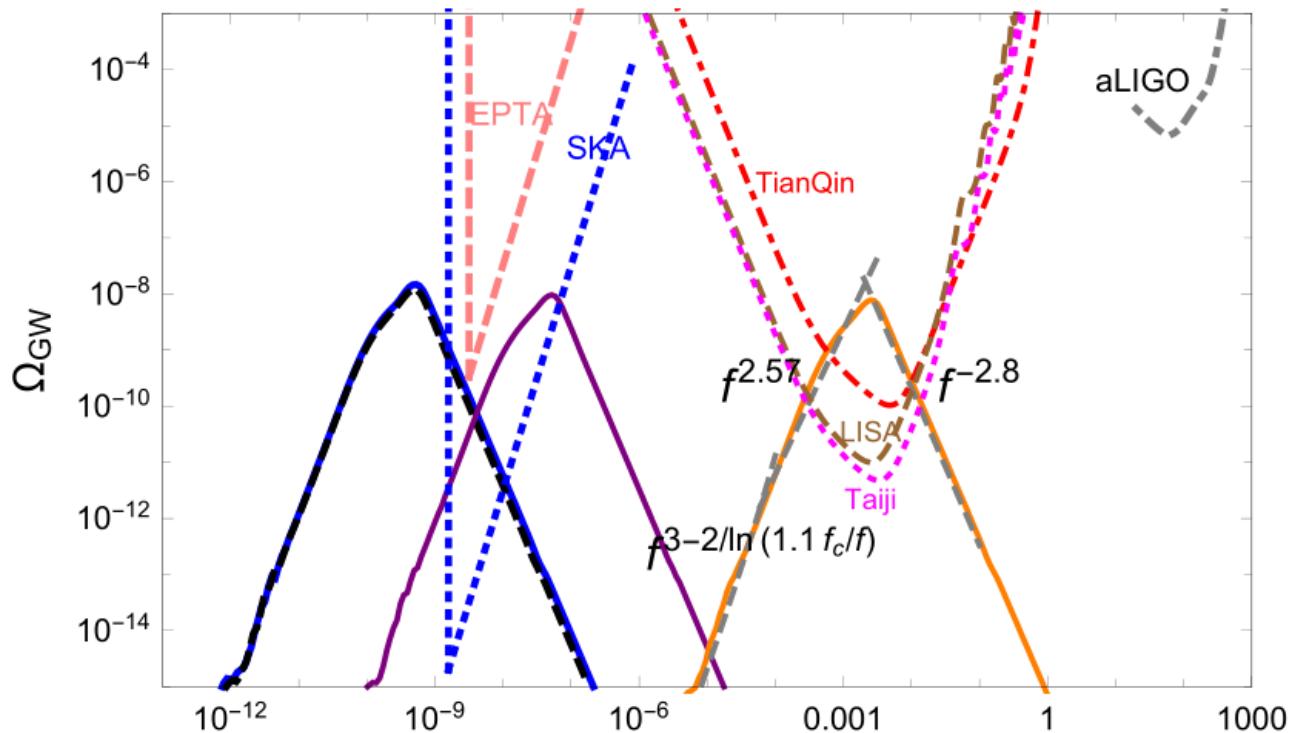
# PBH from k/G inflation

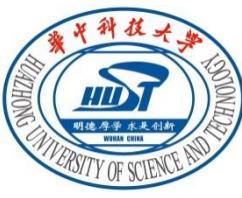
| Sets | $\phi_r$ | $c$                     | $n_s$  | $k_{\text{peak}}/\text{Mpc}^{-1}$ | $P_{\zeta(\text{peak})}$ | $M_{\text{pbh}}^{\text{peak}}/M_{\odot}$ | $Y_{\text{PBH}}^{\text{peak}}$ | $f_c/\text{Hz}$        |
|------|----------|-------------------------|--------|-----------------------------------|--------------------------|--|--------------------------------|------------------------|
| A    | 4.5      | $9.54 \times 10^{-11}$  | 0.9736 | $2.86 \times 10^5$                | $1.66 \times 10^{-2}$    | 28.9                                     | $7.7 \times 10^{-5}$           | $4.43 \times 10^{-10}$ |
| B    | 4.5      | $9.568 \times 10^{-11}$ | 0.9737 | $2.7 \times 10^5$                 | $1.86 \times 10^{-2}$    | 32.5                                     | 0.001                          | $4.18 \times 10^{-10}$ |
| C    | 4.1      | $1.05 \times 10^{-10}$  | 0.969  | $3 \times 10^7$                   | $1.49 \times 10^{-2}$    | 0.0026                                   | $4.7 \times 10^{-4}$           | $4.6 \times 10^{-8}$   |
| D    | 2.97     | $1.472 \times 10^{-10}$ | 0.967  | $1.63 \times 10^{12}$             | $1.32 \times 10^{-2}$    | $9 \times 10^{-13}$                      | 0.73                           | $2.5 \times 10^{-3}$   |



# SIGWs from k/G inflation

| Sets | $\phi_r$ | $c$                     | $n_s$  | $k_{\text{peak}}/\text{Mpc}^{-1}$ | $P_{\zeta(\text{peak})}$ | $M_{\text{pbh}}^{\text{peak}}/M_{\odot}$ | $Y_{\text{PBH}}^{\text{peak}}$ | $f_c/\text{Hz}$        |
|------|----------|-------------------------|--------|-----------------------------------|--------------------------|--|--------------------------------|------------------------|
| A    | 4.5      | $9.54 \times 10^{-11}$  | 0.9736 | $2.86 \times 10^5$                | $1.66 \times 10^{-2}$    | 28.9                                     | $7.7 \times 10^{-5}$           | $4.43 \times 10^{-10}$ |
| B    | 4.5      | $9.568 \times 10^{-11}$ | 0.9737 | $2.7 \times 10^5$                 | $1.86 \times 10^{-2}$    | 32.5                                     | 0.001                          | $4.18 \times 10^{-10}$ |
| C    | 4.1      | $1.05 \times 10^{-10}$  | 0.969  | $3 \times 10^7$                   | $1.49 \times 10^{-2}$    | 0.0026                                   | $4.7 \times 10^{-4}$           | $4.6 \times 10^{-8}$   |
| D    | 2.97     | $1.472 \times 10^{-10}$ | 0.967  | $1.63 \times 10^{12}$             | $1.32 \times 10^{-2}$    | $9 \times 10^{-13}$                      | 0.73                           | $2.5 \times 10^{-3}$   |





# The new mechanism

- Combine slow roll with the peak

To generate peak

$$L = [1 + G_a(\phi)]X - U(\phi)$$

Transform non-canonical field to canonical field

$$L = [1 + G(\varphi)]X - V(\varphi)$$

$$G = G_a + f(\varphi)$$

Slow roll     $L = f(\varphi)X - V(\varphi)$      $V(\varphi) = \lambda\varphi^4$ ,    $f(\varphi) = f_0\varphi^{22}$

$$d\phi = \sqrt{f(\varphi)}d\varphi, \quad U(\phi) = V[\varphi(\phi)]$$

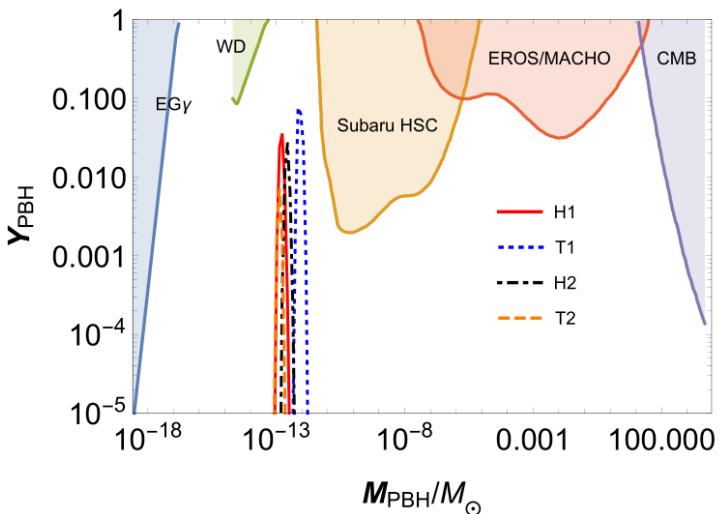
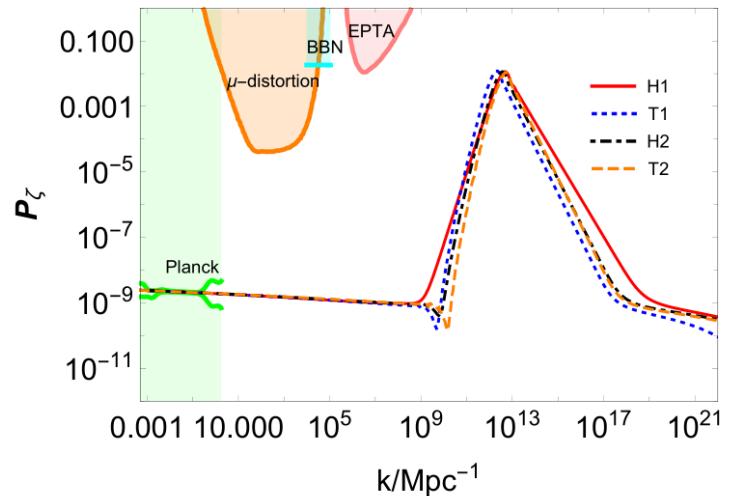
$$L = X - U(\phi) \quad U(\phi) = \lambda\phi^{1/3}$$

Yi, Gong, Wang, Zhu, 2007.09957

# Higgs k/G inflation

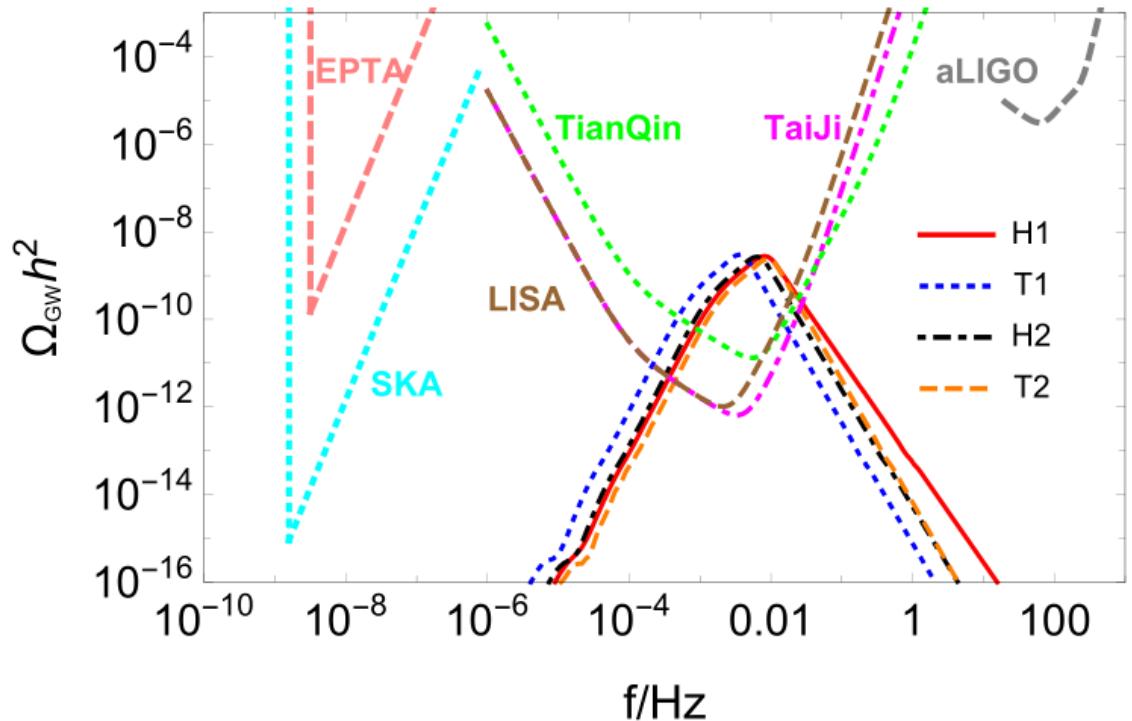
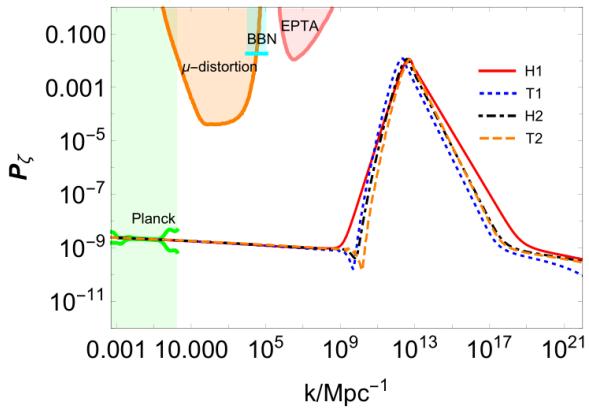
| Model | $d$                   | $c$                    | $\phi_p$ | $\phi_*$ | $\lambda/V_0$          | $f_0$ | $N$  | $n_s$  | $r$    | $k_{\text{peak}}/\text{Mpc}^{-1}$ |
|-------|-----------------------|------------------------|----------|----------|------------------------|-------|------|--------|--------|-----------------------------------|
| H1    | $1.05 \times 10^{10}$ | $2.04 \times 10^{-10}$ | 1.344    | 1.40     | $1.24 \times 10^{-9}$  | 1     | 62.3 | 0.9681 | 0.0383 | $4.66 \times 10^{12}$             |
| T1    | $4.72 \times 10^9$    | $8.89 \times 10^{-11}$ | 0.451    | 0.81     | $1.68 \times 10^{-9}$  | 36    | 55.6 | 0.9686 | 0.0369 | $2.29 \times 10^{12}$             |
| H2    | $7.13 \times 10^9$    | $1.94 \times 10^{-10}$ | 1.750    | 1.88     | $6.40 \times 10^{-10}$ | 1     | 64.2 | 0.9694 | 0.0641 | $3.67 \times 10^{12}$             |
| T2    | $8.90 \times 10^9$    | $4.75 \times 10^{-11}$ | 0.835    | 1.35     | $2.95 \times 10^{-9}$  | 36    | 63.4 | 0.9704 | 0.0597 | $5.24 \times 10^{12}$             |

| Model | $P_{\zeta(\text{peak})}$ | $M_{\text{peak}}/M_\odot$ | $Y_{\text{PBH}}^{\text{peak}}$ | $f_c/\text{Hz}$       |                                       |
|-------|--------------------------|---------------------------|--------------------------------|-----------------------|---------------------------------------|
| H1    | $1.16 \times 10^{-2}$    | $1.70 \times 10^{-13}$    | $3.57 \times 10^{-2}$          | $8.11 \times 10^{-3}$ | Yi, Gong, Wang,<br>Zhu,<br>2007.09957 |
| T1    | $1.21 \times 10^{-2}$    | $7.05 \times 10^{-13}$    | $7.64 \times 10^{-2}$          | $3.54 \times 10^{-3}$ |                                       |
| H2    | $1.15 \times 10^{-2}$    | $2.73 \times 10^{-13}$    | $2.64 \times 10^{-2}$          | $6.40 \times 10^{-3}$ |                                       |
| T2    | $1.10 \times 10^{-2}$    | $1.34 \times 10^{-13}$    | $7.12 \times 10^{-3}$          | $9.13 \times 10^{-3}$ |                                       |



# SIGWs from Higgs field

- Peak (broken power law form)



Yi, Gong, Wang, Zhu, 2007.09957

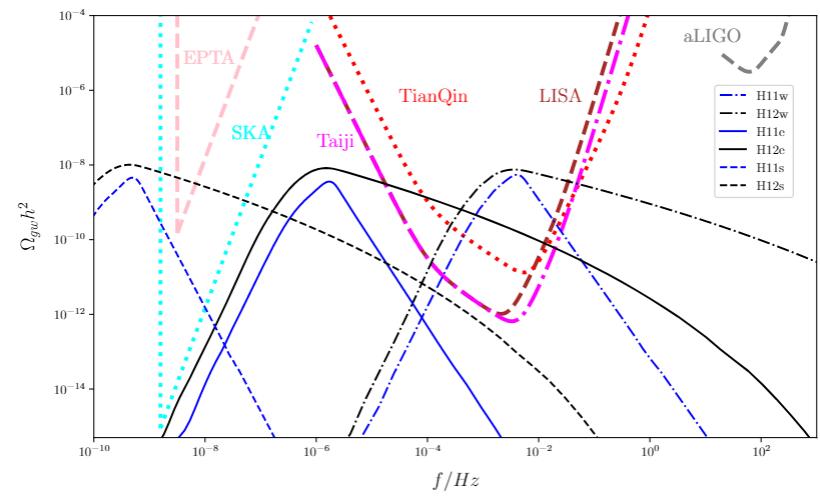
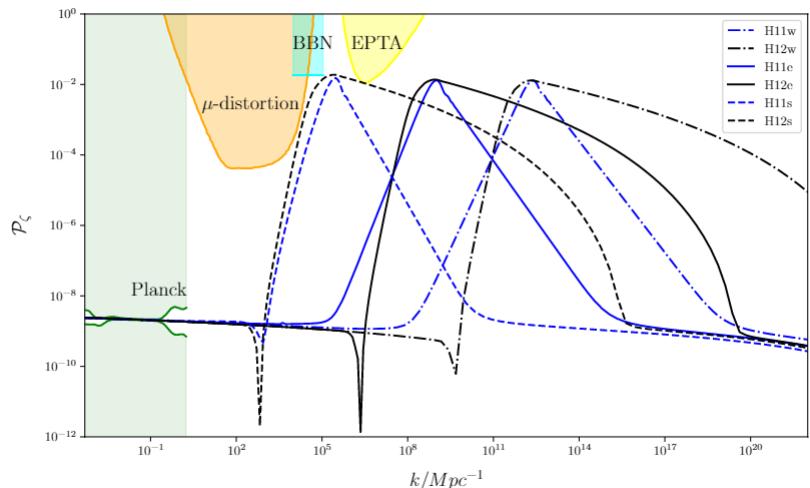
# Broad Spectrum

## ■ Non-canonical kinetic

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2}R + X + G(\phi)X - V(\phi) \right]$$

$$G = G_a + f(\phi) \quad V(\phi) = \lambda\phi^4/4$$

$$G_a(\phi) = \frac{h}{1+(|\phi-\phi_p|/w)^q} \quad q = 5/4 \quad f(\phi) = \phi^{22}$$



# Non-Gaussianity

## ■ Bispectrum

$$\left\langle \hat{\zeta}_{\mathbf{k}_1} \hat{\zeta}_{\mathbf{k}_2} \hat{\zeta}_{\mathbf{k}_3} \right\rangle = (2\pi)^3 \delta^3(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) B_\zeta(k_1, k_2, k_3)$$

$$f_{\text{NL}}(k_1, k_2, k_3) = \frac{5}{6} \frac{B_\zeta(k_1, k_2, k_3)}{P_\zeta(k_1)P_\zeta(k_2) + P_\zeta(k_2)P_\zeta(k_3) + P_\zeta(k_3)P_\zeta(k_1)}$$

## ■ PBH

$$\begin{aligned} \mathcal{J} &= \frac{1}{6\sigma_R^3} \int \frac{d^3 k_1}{(2\pi)^3} \int \frac{d^3 k_2}{(2\pi)^3} \int \frac{d^3 k_3}{(2\pi)^3} W(k_1 R) W(k_2 R) W(k_3 R) \left\langle \hat{\zeta}_{k_1} \hat{\zeta}_{k_2} \hat{\zeta}_{k_3} \right\rangle \\ \mathcal{J}_{\text{peak}} &= \frac{3}{20\pi} f_{\text{NL}}(k_{\text{peak}}, k_{\text{peak}}, k_{\text{peak}}) \sqrt{\Delta_\zeta^2(k_{\text{peak}})} \end{aligned}$$

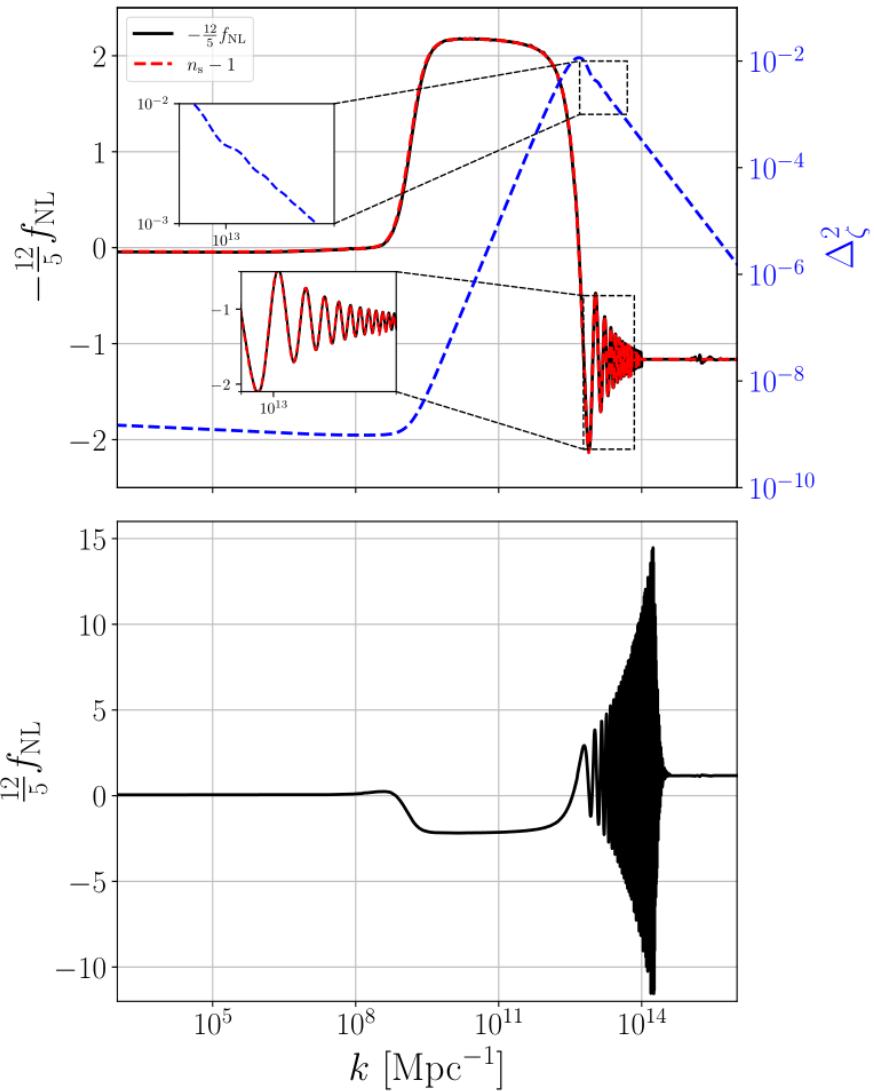
R. Saito, J. Yokoyama and R. Nagata, JCAP 06 (2008) 024

## ■ SIGWs $f_{\text{NL}}^2 \Delta_\zeta^2 \gtrsim 1$

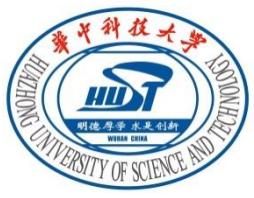
R.-G. Cai, S. Pi and M. Sasaki, PRL 122 (2019) 201101

# Non-Gaussianity in $k/G$ inflation

Squeezed limit



Equilateral



# Conclusion

- Higgs field drives inflation, explains DM in the form of PBHs
- The mechanism works for more general scalar fields
- Different types of spectrum are also possible
- The observations of PBH and SIGWs can be used to probe early universe



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# Thank You