Properties of Massive Neutrinos & Cosmic Neutrino Background

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Solar Neutrino Oscillations



Arthur B. McDonald in Beijing

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Atmospheric Neutrino Oscillations



From Kajita, ICHEP 16

K2K, MINOS, T2K, NOvA Yoji Totsuka (1942-2008)

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T. Kajita

Reactor Neutrino Oscillations



Leptonic Flavor Mixing Matrix

Standard parametrization of the PMNS matrix



Quarks vs. Leptons: A big puzzle of fermion flavor mixings





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Latest Experimental Results



T2K favors a maximal mixing angle $\theta_{23} \sim 45^{\circ}$, while NOvA & MINOS not

Evans, Neutrino 16; Sanchez, ICHEP 16

Latest Experimental Results



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Non-oscillation Results



 $m_1 < m_2 < m_3$ NH $m_3 < m_1 < m_2$ IH Constraints on absolute neutrino masses

- Tritium β decays (95% C.L.) $m_\beta < 2.3 \text{ eV}$ (Mainz) 2. 1 eV (Troitzk)
- Neutrinoless double-β decays (90% C.L.) $m_{\beta\beta} < (0.06 \sim 0.16) \text{ eV}$ (KamLAND-Zen) (0.19~0.45) eV (EXO-200) (0.22~0.64) eV (GERDA)
- Cosmological observations (95% probability) $\Sigma < 0.23 \text{ eV}$ (Planck)



Global-fit Analysis

Gonzalez-Garcia et al., NuFIT 2.1 (2016)

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| LID | Normal Ordering $(\Delta \chi^2 = 0.55)$ | | Inverted Ordering (best fit) | | Any Ordering |
|---|--|-----------------------------|-------------------------------------|-----------------------------|--|
| $\sin^2 	heta_{12}$ | $0.308\substack{+0.013\\-0.012}$ | $0.273 \rightarrow 0.349$ | $0.308\substack{+0.013\\-0.012}$ | $0.273 \rightarrow 0.349$ | $0.273 \rightarrow 0.349$ |
| $	heta_{12}/^{\circ}$ | $33.72_{-0.76}^{+0.79}$ | $31.52 \rightarrow 36.18$ | $33.72\substack{+0.79\\-0.76}$ | $31.52 \rightarrow 36.18$ | $31.52 \rightarrow 36.18$ |
| $\sin^2 \theta_{22}$ | $0.451^{+0.038}_{-0.025}$ | $0.387 \rightarrow 0.634$ | $0.576^{+0.023}_{-0.023}$ | $0.393 \rightarrow 0.636$ | $0.389 \rightarrow 0.636$ |
| $\theta_{23}/^{\circ}$ | $42.2^{+2.2}_{-1.4}$ | $38.5 \rightarrow 52.8$ | $49.4^{+1.4}_{-1.9}$ | $38.8 \rightarrow 52.9$ | $38.6 \rightarrow 52.9$ |
| $\sin^2	heta_{13}$ | $0.0219\substack{+0.0010\\-0.0010}$ | $0.0188 \rightarrow 0.0249$ | $0.0219\substack{+0.0010\\-0.0010}$ | $0.0189 \rightarrow 0.0250$ | $0.0189 \rightarrow 0.0250$ |
| $	heta_{13}/^\circ$ | $8.50\substack{+0.19 \\ -0.20}$ | $7.87 \rightarrow 9.08$ | $8.51\substack{+0.20 \\ -0.20}$ | $7.89 \rightarrow 9.10$ | $7.89 \rightarrow 9.10$ |
| $\delta_{ m CP}/^{\circ}$ | 303^{+39}_{-50} | $0 \rightarrow 360$ | 262^{+51}_{-57} | $98 \rightarrow 416$ | $0 \rightarrow 360$ |
| $\frac{\Delta m_{21}^2}{10^{-5} \ {\rm eV}^2}$ | $7.49^{+0.19}_{-0.17}$ | $7.02 \rightarrow 8.08$ | $7.49^{+0.19}_{-0.17}$ | 7.02 ightarrow 8.08 | 7.02 ightarrow 8.08 |
| $\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$ | $+2.477^{+0.042}_{-0.042}$ | $+2.351 \rightarrow +2.610$ | $-2.465^{+0.041}_{-0.043}$ | $-2.594 \rightarrow -2.339$ | $ \begin{bmatrix} +2.355 \to +2.606 \\ -2.594 \to -2.339 \end{bmatrix} $ |
| | bfp $\pm 1\sigma$ | 3σ range | bfp $\pm 1\sigma$ | 3σ range | 3σ range |

Neutrino Mass Hierarchy

- Reactor: JUNO, RENO-50
- LBL Acc.: T2K, NOvA, LBNF/DUNE
- Atm: PINGU, ORCA, Hyper-K, INO

Absolute Masses: KATRIN, 0v2β (e.g., ¹³⁶Xe & ⁷⁶Ge), cosmology, ...

Leptonic CP Violation

- LBL Acc.: LBNF/DUNE
- Super-B: ESSvSB, MOMENT
- NF & Beta-Beams

Future Prospects

Open Questions

- Normal or Inverted (sign of Δm_{32}^2 ?)
- Leptonic CP Violation (6 = ?)
- Octant of θ₂₃ (> or < 45°?)
- Absolute Neutrino Masses (*m*_{lightest} = 0?)
- Majorana or Dirac Nature (ν≠ν^c ?)
- Majorana CP-Violating Phases (how?)
- Extra Neutrino Species
- Exotic Neutrino Interactions
- Other LNV & LFV Processes
- Leptonic Unitarity Violation



- Origin of Neutrino Masses
- Flavor Structure (Symmetry?)
- Quark-Lepton Connection
- Relations to DM, BAU, or NP

Progress in Observational Cosmology



Progress in Observational Cosmology



Cosmic Microwave Background (CMB)

Large Scale Structure (LSS) from Sloan Digital Sky Survey (SDSS)

Progress in Observational Cosmology



Standard Model of Cosmology

| | Planck+WP | Planck+WP | WMAP9+eCMB |
|--------------------------------------|------------------------------------|-----------------------|----------------------------------|
| PDG 2016 | + highL | +highL+BAO | +BAO |
| $\Omega_{ m b}h^2$ | 0.02207 ± 0.00027 | 0.02214 ± 0.00024 | 0.02211 ± 0.00034 |
| $\Omega_{ m c}h^2$ | 0.1198 ± 0.0026 | 0.1187 ± 0.0017 | 0.1162 ± 0.0020 |
| $100	heta_{ m MC}$ | 1.0413 ± 0.0006 | 1.0415 ± 0.0006 | _ |
| $n_{\mathbf{s}}$ | 0.958 ± 0.007 | 0.961 ± 0.005 | 0.958 ± 0.008 |
| τ | $0.091\substack{+0.013 \\ -0.014}$ | 0.092 ± 0.013 | $0.079\substack{+0.011\\-0.012}$ |
| $\ln(10^{10}\Delta_{\mathcal{R}}^2)$ | 3.090 ± 0.025 | 3.091 ± 0.025 | 3.212 ± 0.029 |
| h | 0.673 ± 0.012 | 0.678 ± 0.008 | 0.688 ± 0.008 |
| σ_8 | 0.828 ± 0.012 | 0.826 ± 0.012 | $0.822^{+0.013}_{-0.014}$ |
| $\Omega_{ m m}$ | $0.315^{+0.016}_{-0.017}$ | 0.308 ± 0.010 | 0.293 ± 0.010 |
| Ω_{Λ} | $0.685^{+0.017}_{-0.016}$ | 0.692 ± 0.010 | 0.707 ± 0.010 |



Indirect evidence from BBN, CMB and LSS How to detect CvB in terrestrial experiments?



Formation of CvB



number density n = 56 cm⁻³ per species

Fermi-Dirac spectrum

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Neutrino Clustering

0.1

0.01

10-3

10-4

 $(10^{-6} I)^{-6} J$

0.01

10-3

 10^{-4}

10-5

10-6

Neutrinos in the gravitational potential of CDM halos

- Input CDM halo profiles (Navarro-Frenk-White)
- Neutrinos are treated as perturbations

 $y \equiv$

p/

Singh, Ma, 03; Ringwald, Wong, 04

Distribution function at the Earth 0.6 eV 0.45 eV 10 Fermi-Dirac 'n 0.6 eV 0.45 eV n,/ 0.3 eV 0.15 eV ++++++10 **MWnow NFW** 0.3 eV 0.15 eV 100 10³ 10 100 10 $r [h^{-1} kpc]$ 10 0.1 10 /Τ_{ν,0}

At the Earth, enhanced by about 1 to 20

Clustering in the Milky Way

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Prospects for CvB Detection



Target mass

$$2 \times 10^{-28} \frac{n_{\nu}}{\bar{n}_{\nu}} \frac{10^{-3} c}{v_{rel}} \frac{\rho_{t}}{g/cm^{3}} \frac{r_{t}}{x} \frac{3}{s^{2}}$$
Current Sensitivity
$$10^{-13} \text{ cm s}^{-2}$$
de Broglie
wavelength

Resonant absorption of EHE neutrinos Weiler, 82; Eberle et al., 04; Ringwald, 09

$$E_{0,i}^{\rm res} = \frac{m_Z^2}{2m_{\nu_{0,i}}} = 4.2 \times 10^{12} \, \left(\frac{\rm eV}{m_{\nu_i}}\right) {\rm GeV}$$

Sources of EHE neutrinos Nearly-degenerate masses Z-burst for EHE CR events

10⁻¹³ cm s



hidden top. defect sources

1020

E [eV]

1021

1022

1023

1.1.1.1.11

1019

1018

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Prospects for CvB Detection

Direct evidence for CvB, trace back to the early Universe at 1s while CMB tells us the story at 380 000 yrs
 Probe intrinsic properties of neutrinos, the only chance to get non-relativistic neutrinos (e.g., Majorana vs. Dirac)

electrons

number of

Temperature today

Relic neutrino capture on β-decaying nuclei



At least 2 v's cold today NON-relativistic v's!

(Irvine & Humphreys, 83)

no energy threshold on incident v's mono-energetic outgoing electrons

kinetic energy of electrons



Neutrinoless Double Beta Decays



Three possible ways to distinguish between Majorana and Dirac v's: (a) 0vββ decays (b) EM dipole moments (c) Nonrelativistic behaviors

Towards a real experiment?



first experiment
100 g of tritium
graphene target
planned energy
resolution 0.15 eV

★ CvB capture rate

$$\Gamma^{\rm D}_{\rm C\nu B} \sim 4 \ \rm yr^{-1}$$

$$\Gamma^{\rm M}_{\rm C\nu B} \sim 8 \ \rm yr^{-1}$$

D = Dirac M = Majorana PTOLEMY Princeton Tritium Observatory for Light, Early-Universe, Massive-Neutrino Yield (Betts et al, arXiv:1307.4738)

Detection of CvB

Capture rate of a polarized neutrino state $v_i(s_v)$ on a free neutron

$$\sigma_j(s_v)v_{v_j} = \frac{G_F^2}{2\pi} |V_{ud}|^2 |U_{ej}|^2 F(Z, E_e) \frac{m_p}{m_n} E_e p_e A(s_v) (f^2 + 3g^2)$$

Note: Spin-dependent Factor

$$A(s_{v}) \equiv 1 - 2s_{v}v_{v_{j}} = egin{cases} 1 - v_{v_{j}}, & s_{v} = +1/2 & ext{RH Helicity} \ 1 + v_{v_{j'}} & s_{v} = -1/2 & ext{LH Helicity} \end{cases}$$

In the limit $v_{v_j} \rightarrow 1$, the state of $s_v = +1/2$ cannot be captured In the limit $v_{v_j} \rightarrow 0$, both RH and LH helical states do contribute

Number Densities of Helical States

Conservation of Helicity: $[\hat{H}, \hat{h}] = 0$ for free particles after decoupling

$$\widehat{H} \equiv \gamma^0 m + \gamma^0 \overrightarrow{\gamma} \cdot \overrightarrow{p} = \begin{pmatrix} m & \overrightarrow{\sigma} \cdot \overrightarrow{p} \\ \overrightarrow{\sigma} \cdot \overrightarrow{p} & -m \end{pmatrix} \qquad \widehat{h} \equiv \frac{\overrightarrow{\Sigma} \cdot \overrightarrow{p}}{|\overrightarrow{p}|} = \frac{1}{|\overrightarrow{p}|} \begin{pmatrix} \overrightarrow{\sigma} \cdot \overrightarrow{p} & 0 \\ 0 & \overrightarrow{\sigma} \cdot \overrightarrow{p} \end{pmatrix}$$

In the rest frame of CvB, the background neutrinos are isotropic

Long et al., 14Dirac NeutrinosMajorana NeutrinoDecoupling
$$n(v_L) = n(z)$$
,
 $n(\overline{v}_R) = n(z)$, $n(v_R) \approx 0$
 $n(\overline{v}_L) \approx 0$ $n(v_L) = n(z)$
 $n(v_R) = n(z)$ Nowadays $n(v_{hL}) = n_0$,
 $n(\overline{v}_{hR}) = n_0$, $n(v_{hR}) \approx 0$
 $n(\overline{v}_{hL}) \approx 0$ $n(v_{hL}) = n_0$
 $n(v_{hR}) = n_0$ Total Rates $\Gamma_{CvB}^D = \overline{\sigma}n_0N_T$ $\overline{\sigma} \approx 3.8 \times 10^{-45} \text{ cm}^2$ $\Gamma_{CvB}^M = 2\overline{\sigma}n_0N_T$

Negligible RH Dirac Neutrinos?

24 Zhang, S.Z., arXiv:1509.02274

Extension of SM with RH ν 's $\mathcal{L} = \mathcal{L}_{SM} + \overline{\nu_{\alpha R}} i \partial \!\!\!/ \nu_{\alpha R} - \left[\overline{\ell_{\alpha L}} (Y_{\nu})_{\alpha \beta} \tilde{H} \nu_{\beta R} + \text{h.c.} \right]$

$$m_i = O(0.1 \text{ eV})$$
 $y_i = O(10^{-12}) \ll 1$

$$\frac{\mathrm{d}n_{\nu_{i\mathrm{R}}}}{\mathrm{d}t} + 3Hn_{\nu_{i\mathrm{R}}} = \left(1 - \frac{n_{\nu_{i\mathrm{R}}}}{n_{\nu_{i\mathrm{R}}}^{\mathrm{eq}}}\right)\gamma_{\mathrm{D}} \mathbf{R} = \frac{\Gamma_{\nu_{R}}}{H} \gamma(H \to \overline{\nu_{i\mathrm{L}}}\nu_{i\mathrm{R}}) = \frac{M_{H}\Gamma_{H}T^{2}}{2\pi^{2}}K_{1}(M_{H}/T) \equiv \gamma_{\mathrm{D}}$$



(Antonelli, Fargion & Konoplich, 81)

The production rate found to be much larger, but not large enough

Cosmological Constraints

25 Zhang, S.Z., arXiv:1509.02274



Assume that RH v's can be in thermal equilibrium with matter
 RH v's will be counted as extra radiation during BBN and CMB
 QCD phase transition releases a large entropy to dilute RH v's

Primordial Magnetic Fields



The galactic magnetic fields
B ~ O(1) µG are observed
★ seed B fields ~ 10⁻²¹ G
★ amplified during the galaxy formation
★ phase transitions may generate seed B fields
Enqvist, astro-ph/9803196

Evolution of primordial B fields (a phenomenological model)

$$B(t,L) = B_0 \left[\frac{a_0}{a(t)}\right]^2 \left(\frac{L_0}{L}\right)^p \qquad \Gamma_{\rm L\to R} = \frac{4}{3}\mu_{\nu_i}^2 B^2 L_0 H^{-1} L_{\rm W}^{-1} \quad \mu_{\nu_i} \sim 3 \times 10^{-20} \ \mu_{\rm H}$$

Decoupling before QCD phase transition (for p=1/2 and $L_0=5x10^{-5}$ cm)

 $B_0 \lesssim 10^{26}~\mathrm{G}\left(\frac{3\times 10^{-20}\mu_\mathrm{B}}{\mu_{\nu_i}}\right)$

 $B_0 = 10^{24}$ G in EW phase transition

Vachaspati, 91; Enqvist, Rez & Semikoz, 95

Secret Interactions of RH $\nu \prime s$





Small-scale structure problems: CDM
 ★ missing satellites problem
 ★ a cored or cusp profile
 ★ too-big-to-fail problem

Late-time kinetic decoupling of CDM

$$\mathcal{L} \supset -g_{\nu}\overline{\nu_{i\mathrm{R}}}\gamma^{\mu}\nu_{i\mathrm{R}}V_{\mu} - g_{\chi}\overline{\chi}\gamma^{\mu}\chi V_{\mu}$$

- □ SM particles interacting with CDM particle **x** (of a mass ~ 2 TeV) to thermalize the later, and chemical decoupling at T_d > 10 TeV
- Secret interactions between <u>x</u> and RH v's will also lead to a thermal production of RH v's before chemical decoupling of <u>x</u>
- □ The kinematical decoupling between **x** and **RH v**'s happens at a late time around T = 1 keV

$$\Delta N_{\rm eff} = \frac{\rho_{\nu_{\rm R}}}{(\rho_{\nu_{\rm L}}/3)} \approx 3 \times \left[\frac{g_{*s}(T_{\rm CMB})}{g_{*s}(T_{\rm d})}\right]^{4/3} \times \left(\frac{11}{7}\right)^{4/3} \times \left(\frac{11}{4}\right)^{4/3} \approx 0.26$$

$$\frac{n(\nu_{\rm R})}{n(\nu_{\rm L})}=0.16$$

Impact on Detection of CvB

J. Zhang, S.Z., arXiv:1509.02274



\star Main background comes from the intrinsic β -decay events of ³H

\star Energy resolution $\Delta < 0.7 m_{\rm i}$ for signal-to-background ratio > 1

★ For the nominal setup of PTOLEMY $\Delta = 0.15$ eV, only sensitive to large v masses, which are in contradiction with the Planck bound

★ The presence of RH v's changes the capture rate from 4.0 yr⁻¹ to 5.1 yr⁻¹ in the Dirac case (enhanced by 28%)

Impact on Detection of CvB



Nonthermal Background of RH v's



★ Further reduce the difference between Dirac and Majorana cases

★ Gravitational clustering of massive neutrinos increases the rate & the uncertainties of CDM profiles are large, worsening the situation

Nonthermal or Thermal RH v's: how to test them?



G.Huang, S.Z., arXiv: 1610.01347

★ Thermal spectrum

$$f_{\rm TH}(p_{\nu}) = \frac{1}{\exp(p_{\nu}/T_{\rm R}^0) + 1}$$

★ Nonthermal spectrum

$$f_{\rm NT}(p_\nu) = \begin{cases} \eta \ , \, p_\nu \leq \varepsilon_{\rm F}^0 \\ \\ 0 \ , \, p_\nu > \varepsilon_{\rm F}^0 \end{cases}$$

with η an occupation fraction

★ Both scenarios consistent with the BBN bound on extra radiation

★ The main difference: NT concentrates more on low velocities

★ A similar feature exists in other thermal and nonthermal relics

Nonthermal or Thermal RH v's: Annual Modulation



$$g_{\oplus}(\mathbf{v}_{\nu}) = g\left[\mathbf{V}_{\odot} + \mathbf{v}_{\infty}(\mathbf{V}_{\oplus} + \mathbf{v}_{\nu})\right]$$

$$\mathbf{v}_{\infty}(\mathbf{v}_{\nu}) = \frac{v_{\infty}^{2}\mathbf{v}_{\nu} + v_{\infty}(G_{\mathrm{N}}M_{\odot}/|\mathbf{r}|)\hat{\mathbf{r}} - v_{\infty}(\mathbf{v}_{\nu}\cdot\hat{\mathbf{r}})\mathbf{v}_{\nu}}{v_{\infty}^{2} + G_{\mathrm{N}}M_{\odot}/|\mathbf{r}| - v_{\infty}(\mathbf{v}_{\nu}\cdot\hat{\mathbf{r}})}$$

Nonthermal or Thermal RH v's: Annual Modulation





The concept for the above figure originated in a 1986 paper by Michael Turner. Particle

Particle Data Group, LBNL © 2015 Suppo

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