

# An introduction to EIC Physics

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USTC, 彭桓武高能基础理论研究中心, 2024. 04

# Outline:

- 3D imaging of proton
- Origin of proton mass and spin
- Small x physics

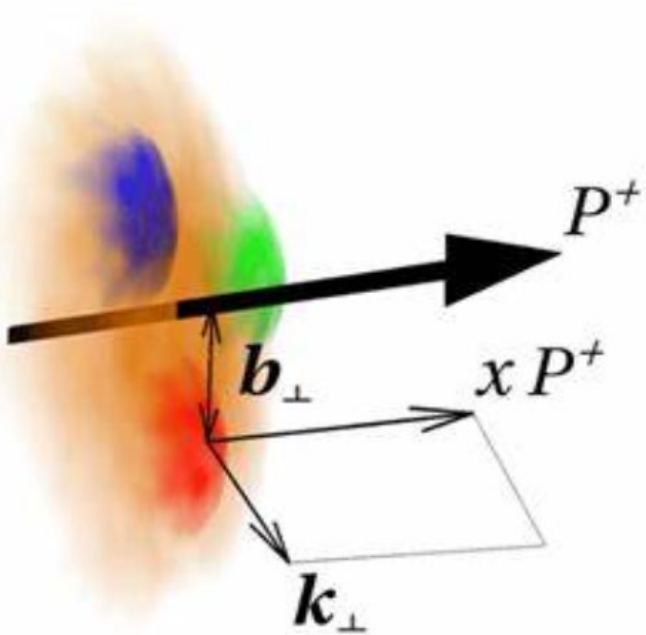
Disclaimer : Many interesting topics not covered: proton radius puzzle, Quasi PDFs...

A 3D visualization of a proton's internal structure. The proton is depicted as a large, semi-transparent sphere containing a complex arrangement of smaller, colorful spheres (red, blue, green, orange, purple) and yellow helical structures. Small arrows point in various directions, suggesting the movement or spin of these components. The entire scene is set against a dark blue background with a glowing, circular border.

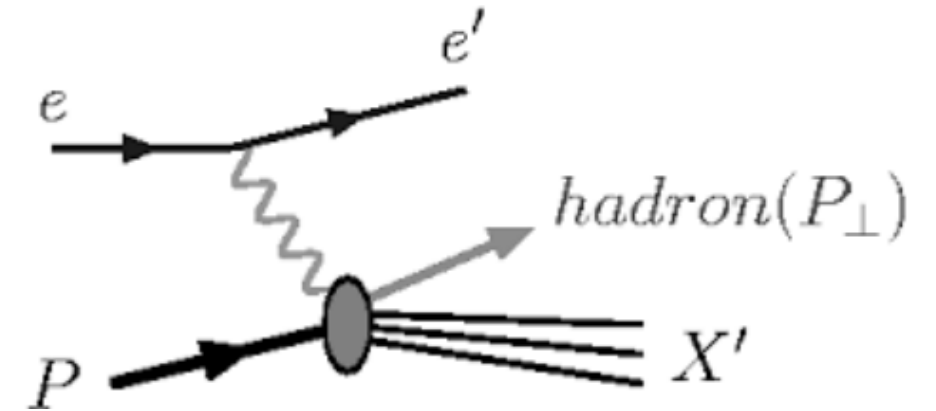
# 3D imaging of proton

# 3D imaging in momentum space: TMDs

- The “simplest” TMD is the unpolarized function  $f_1(x; k_\perp)$ , 8 leading power TMDs



SIDIS:



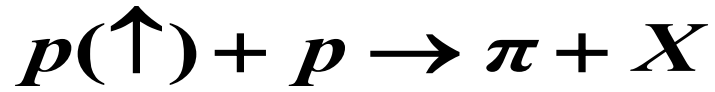
$$\int \frac{dy^- d^2 y_\perp}{(2\pi)^3} e^{-ixP^+ \cdot y^- + i\vec{k}_\perp \cdot \vec{y}_\perp} \langle PS | \bar{\psi}_\beta(y^-, y_\perp) \mathcal{L}_v^\dagger(y^-, y_\perp) \mathcal{L}_v(0) \psi_\alpha(0) | PS \rangle$$

- ◆ TMD factorization: Collins-Soper 1981, Collins-Soper-Sterman 1985,  $kt \ll Q$

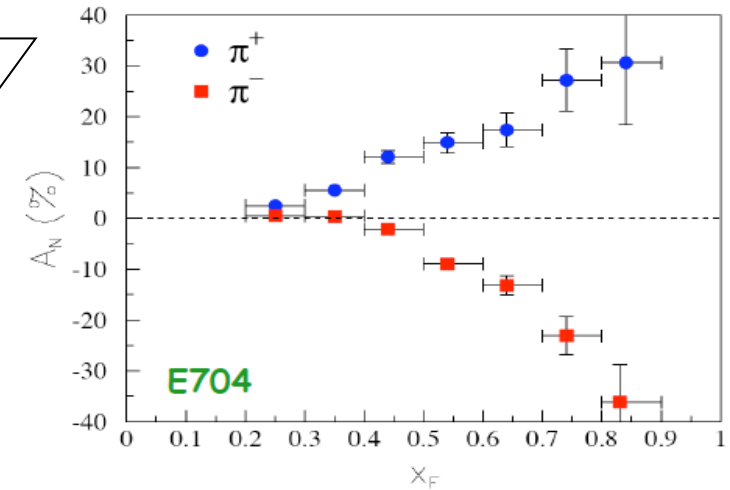
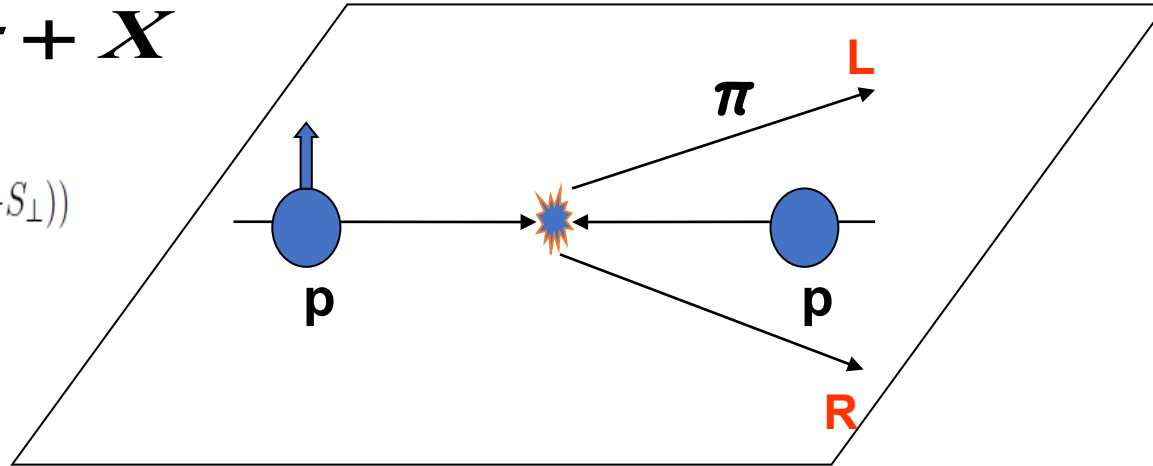
# Why TMDs?

- Phenomenological needs
- Confined motion of partons inside proton
- Access to orbital angular momentum
- Universality issue, QCD factorization

# Transverse single spin asymmetry



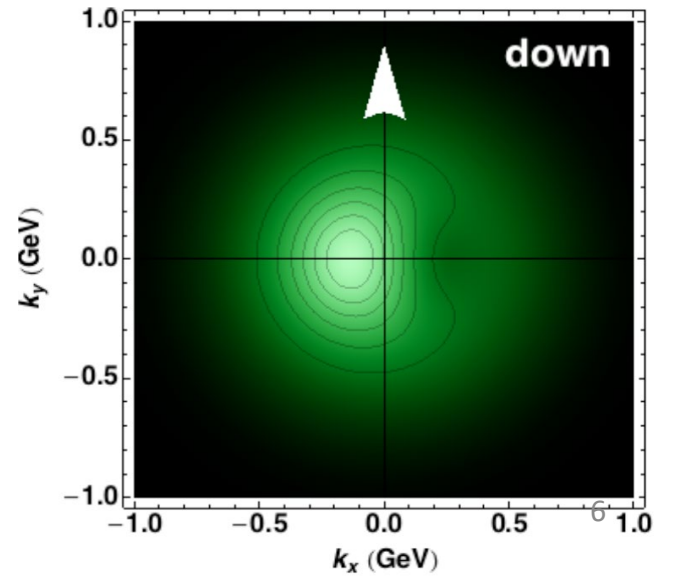
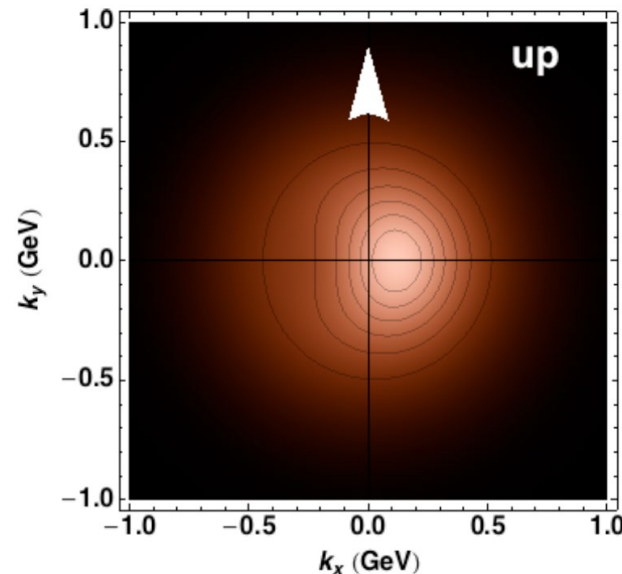
$$A_N \equiv (\sigma(S_{\perp}) - \sigma(-S_{\perp})) / (\sigma(S_{\perp}) + \sigma(-S_{\perp}))$$



## ● The Sivers function $f_{1T}^{\perp}(x, k_T)$

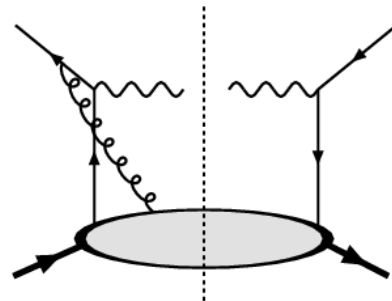
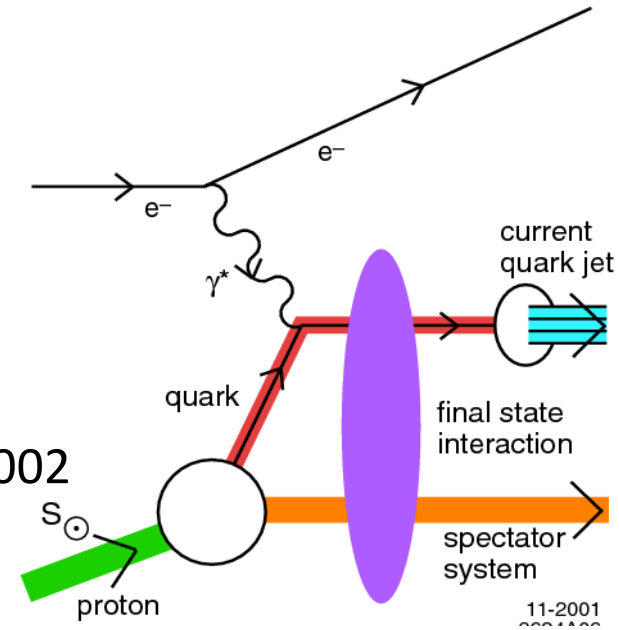
描述夸克横动量与质子横向自旋的关联

$$k_{\perp} \times S_{\perp}$$



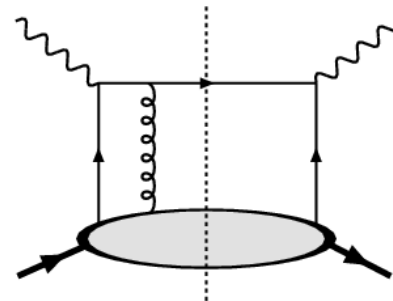
# The legend of the Sivers function

- The introduction of the Sivers function  
Sivers 1990
- Proof it is zero using time&parity invariance of QCD,  
Collins 1993
- Non-vanishing Sivers function in a model calculation,  
Brodsky-Hwang-Schmidt 2002
- Including gauge link contribution, prove :  
Collins 2002



**Drell-Yan**

ISI

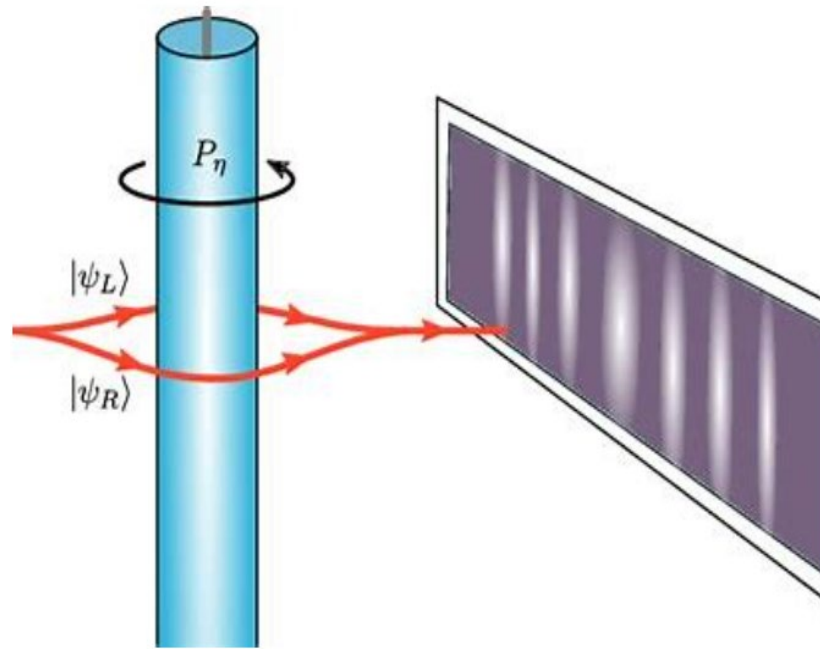


FSI

**DIS**

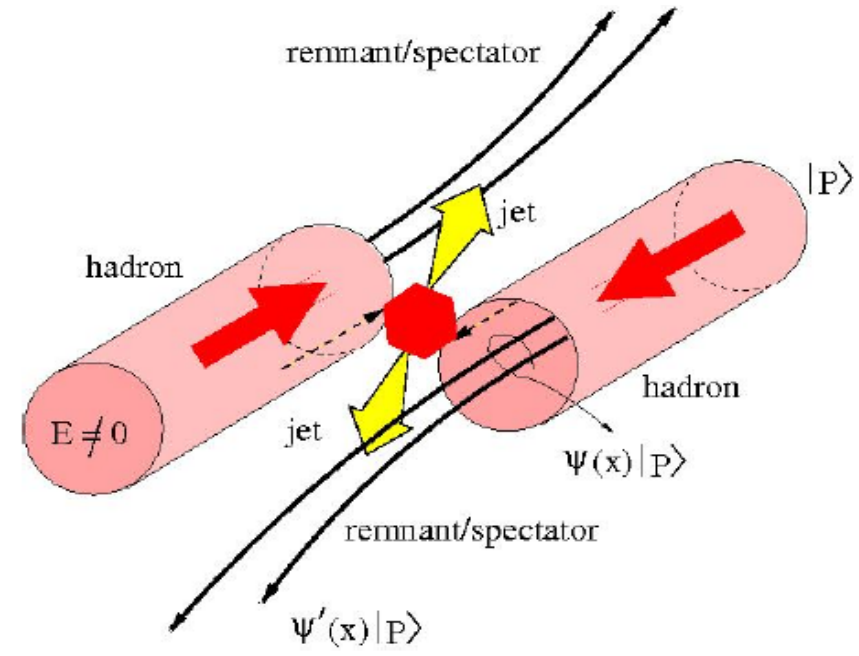
$$\text{Sivers}|_{\text{DY}} = -\text{Sivers}|_{\text{DIS}}$$

# QCD Aharonov-Bohm effect



$$\psi' = e^{ie \int ds \cdot A} \psi$$

Gauge link (Wilson line), pure gauge gluon




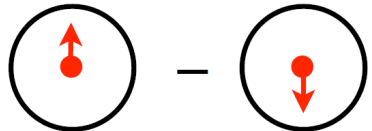
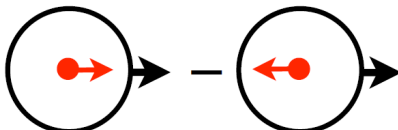
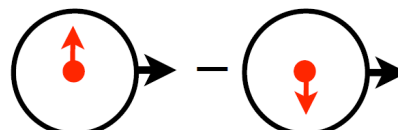
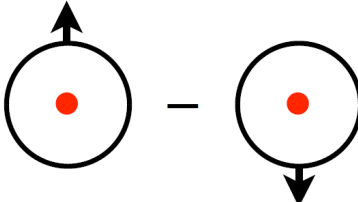
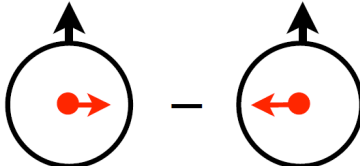
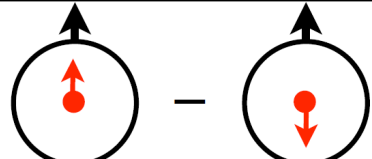
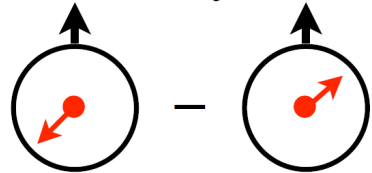
$$\psi_i(x) |P\rangle = e^{-ig \int_x^{x'} ds_\mu A^\mu} \psi_i(x') |P\rangle$$

◆ S and P wave interference

Boris, Liang 1993  
Belitsky, Ji, Yuan, 2004

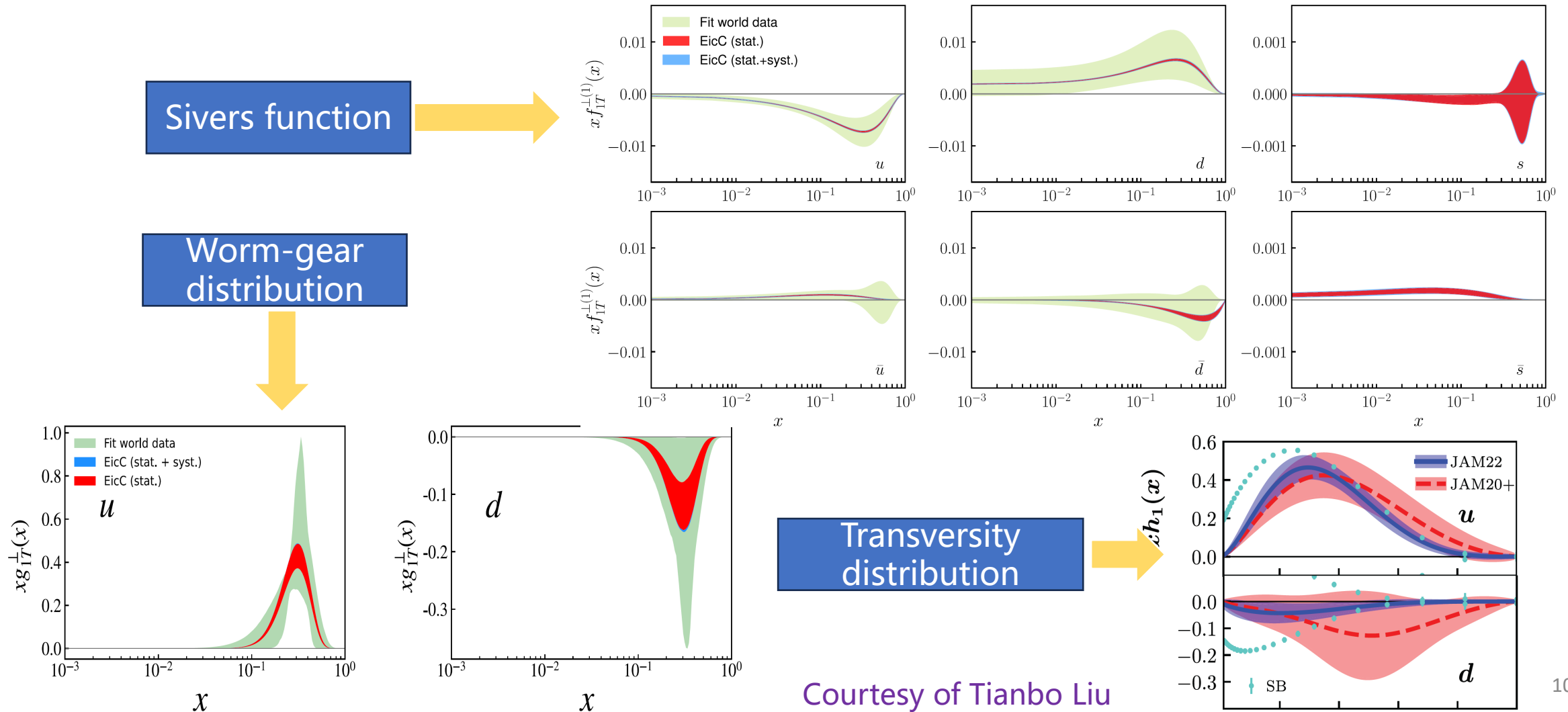


# Zoo of TMDs

		Quark Polarization		
		U	L	T
Nucleon Polarization	U	$f_1$  unpolarized		$h_1^\perp$  Boer-Mulders
	L		$g_{1L}$  helicity	$h_{1L}^\perp$  longi-transversity (worm-gear)
	T	$f_{1T}^\perp$  Sivers	$g_{1T}$  trans-helicity (worm-gear)	$h_1$  transversity  $h_{1T}^\perp$  pretzelosity

# Polarization dependent TMD distributions

- ◆ Each TMD reflects a unique underlying physics and characterizes a facet of proton structure.
- ◆ Many accelerator facilities are dedicated to measuring TMDs (HERA, RHIC, JLAB, COMPASS, **EIC**, **EicC**)

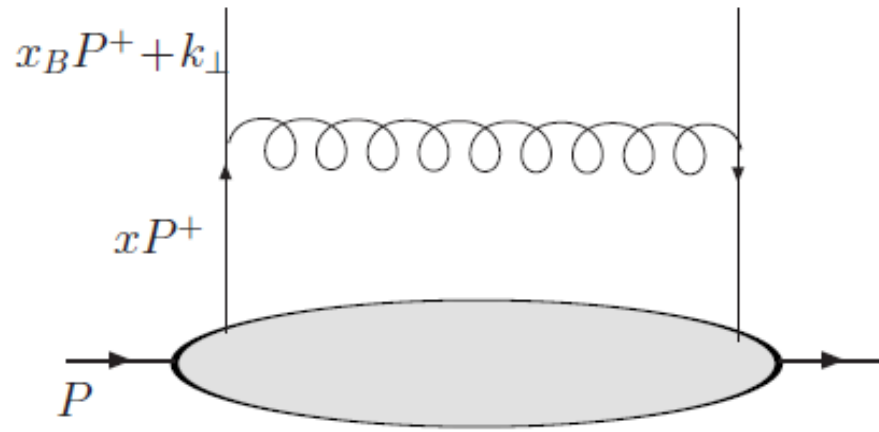


Courtesy of Tianbo Liu

# TMD: a PQCD playground

# TMD dynamics at large $kt$

- ◆ TMD distributions can be calculated within perturbative QCD at large  $kt$ ,



radiated gluon generate  
large transverse momentum,

$$f_1(x_B, k_\perp) = \frac{\alpha_s}{2\pi^2} \frac{1}{\vec{k}_\perp^2} C_F \int \frac{dx}{x} f_1(x) \left[ \frac{1 + \xi^2}{(1 - \xi)_+} + \delta(1 - \xi) \left( \ln \frac{x_B^2 \zeta^2}{\vec{k}_\perp^2} - 1 \right) \right]$$

TMD evolution: resum to all orders  
using the Collins-Soper equation

# kt-odd TMD distributions at large Kt at twsit-3

- Sivers and Boer-Mulders

$$f_{1T}^\perp|_{\text{DY}}(x_B, k_\perp) = \frac{\alpha_s}{\pi} \frac{M^2}{(\vec{k}_\perp^2)^2} \int \frac{dx}{x} \left[ A_{f_{1T}^\perp} + C_F T_F(x, x) \delta(1 - \xi) \left( \ln \frac{x_B^2 \zeta^2}{\vec{k}_\perp^2} - 1 \right) \right]$$

Ji, Qiu, Vogelsang, Yuan

$$h_{1T}^\perp|_{\text{DY}}(x_B, k_\perp) = \frac{\alpha_s}{\pi} \frac{M^2}{(\vec{k}_\perp^2)^2} \int \frac{dx}{x} \left[ A_{h_{1T}^\perp} + C_F T_F^{(\sigma)}(x, x) \delta(1 - \xi) \left( \ln \frac{x_B^2 \zeta^2}{\vec{k}_\perp^2} - 1 \right) \right]$$

ZJ, Yuan, Liang, 2009

- $g_{1T}$  and  $h_{1L}$

$$g_{1T}(x_B, k_\perp) = \frac{\alpha_s}{\pi^2} \frac{M^2}{(k_\perp^2)^2} \int \frac{dx}{x} \left\{ A_{g_{1T}} + C_F \tilde{g}(x) \delta(\xi - 1) \left( \ln \frac{x_B^2 \zeta^2}{k_\perp^2} - 1 \right) \right\}$$

$$h_{1L}(x_B, k_\perp) = \frac{\alpha_s}{\pi^2} \frac{M^2}{(k_\perp^2)^2} \int \frac{dx}{x} \left\{ A_{h_{1L}} + C_F \tilde{h}(x) \delta(\xi - 1) \left( \ln \frac{x_B^2 \zeta^2}{k_\perp^2} - 1 \right) \right\}$$

ZJ, Yuan, Liang, 2009

$$A_{f_{1T}^\perp} = -\frac{1}{2N_c} T_F(x, x) \frac{1 + \xi^2}{(1 - \xi)_+} + \frac{C_A}{2} T_F(x, x_B) \frac{1 + \xi}{(1 - \xi)_+} + \frac{C_A}{2} \tilde{T}_F(x_B, x)$$

$$A_{h_{1T}^\perp} = -\frac{1}{2N_c} T_F^{(\sigma)}(x, x) \frac{2\xi}{(1 - \xi)_+} + \frac{C_A}{2} T_F^{(\sigma)}(x, x_B) \frac{2}{(1 - \xi)_+}$$

$$A_{g_{1T}} = \int dx_1 \left\{ \frac{1}{2N_C} \tilde{g}(x) \frac{1 + \xi^2}{(1 - \xi)_+} \delta(x_1 - x) \right. \\ \left. + \left[ C_F \left( \frac{x_B^2}{x^2} + \frac{x_B}{x_1} - \frac{2x_B^2}{x_1 x} - \frac{x_B}{x} - 1 \right) + \frac{C_A (x_B^2 + x x_1) (2x_B - x - x_1)}{2 (x_B - x_1) (x - x_1) x_1} \right] \tilde{G}_D(x, x_1) \right. \\ \left. + \left[ C_F \left( \frac{x_B^2}{x^2} + \frac{x_B}{x_1} - \frac{x_B}{x} - 1 \right) + \frac{C_A (x_B^2 - x x_1)}{2 (x_1 - x_B) x_1} \right] G_D(x, x_1) \right\}$$

$$A_{h_{1L}} = \int dx_1 \left\{ \frac{1}{2N_C} \tilde{h}(x) \frac{2\xi}{(1 - \xi)_+} \delta(x_1 - x) \right. \\ \left. + \left[ C_F \frac{2(x - x_1 - x_B)}{x_1} + \frac{C_A 2x_B (x_B x + x_B x_1 - x^2 - x_1^2)}{2 (x_B - x_1) (x - x_1) x_1} \right] H_D(x, x_1) \right\}$$

# TMD evolution

Two scales problem(formulated in bt space):

$$\frac{d \ln \tilde{f}(x, b; \zeta, \mu)}{d \ln \sqrt{\zeta}} = \tilde{K}(b; \mu),$$

Collins Soper(CS)  
equation

$$\frac{d \ln \tilde{f}(x, b; \zeta, \mu)}{d \ln \mu} = \gamma_F(g(\mu); \zeta/\mu^2)$$

Renormalization group  
equation

J. Collins, D. Soper , 1982; J. Collins, D. Soper, G. Sterman 1985

Recent developments:

- Joint small x & TMD resummation, **ZJ, 2016, Xiao, Yuan, ZJ 2017, ZJ 2019**

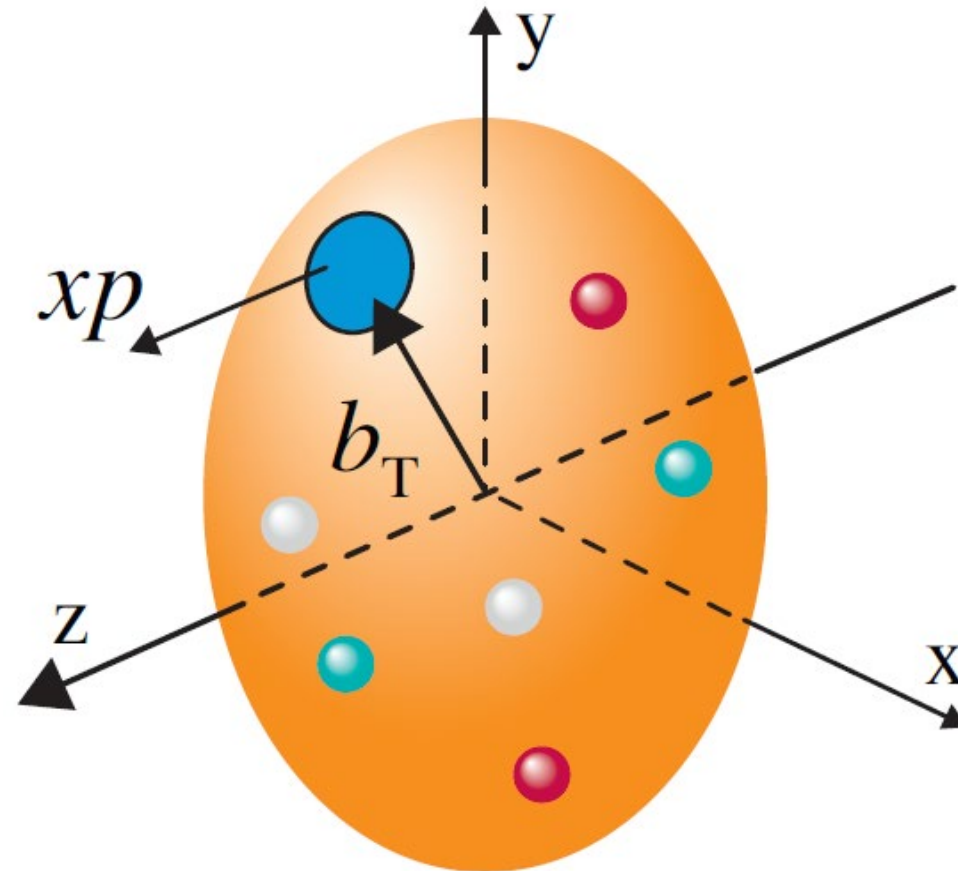
$$xG^{(1)}(x, k_{\perp}, \zeta) = -\frac{2}{\alpha_S} \int \frac{d^2 x_{\perp} d^2 y_{\perp}}{(2\pi)^4} e^{ik_{\perp} \cdot r_{\perp}} \mathcal{H}^{WW}(\alpha_s(Q)) e^{-S_{sud}(Q^2, r_{\perp}^2)} \mathcal{F}_{Y=\ln 1/x}^{WW}(x_{\perp}, y_{\perp})$$

- Joint threshold & TMD resummation **Kang, Lee, Shao, Zhao 2023**

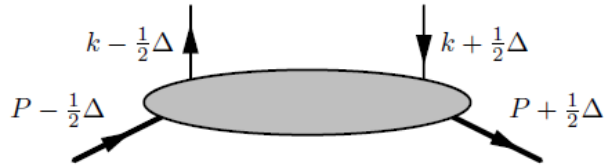
# Spatial imaging of Quarks and Gluons

- Longitudinal momentum distribution + transverse spatial distribution:  $f(x, b_T)$

➤ Remark:  $f(x, b_T)$  and  $f(x, k_T)$  are not related to each other by a Fourier transform



# Generalized Parton Distributions(GPDs)



$$P = \frac{p + p'}{2} \quad \Delta = p' - p$$

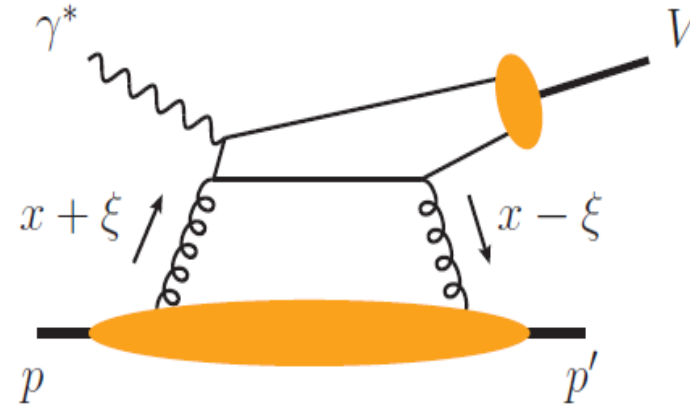
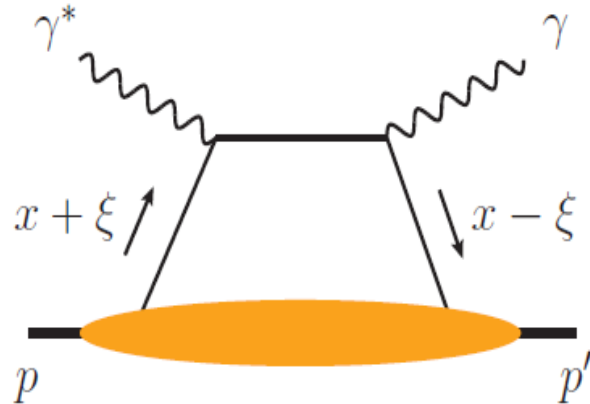
$x, \zeta, t$

D. Muller, 94  
X. D. Ji, 97  
A. V. Radushkin, 97

$$\int \frac{d\lambda}{2\pi} e^{ix(Pz)} n_{-\alpha} n_{-\beta} \langle p' | G^{\alpha\mu} \left(-\frac{z}{2}\right) G_{\mu}^{\beta} \left(\frac{z}{2}\right) | p \rangle \Big|_{z=\lambda n} = \frac{1}{2} \left[ H^{\delta} \bar{u}(p') \not{n} u(p) + E^{\delta} \bar{u}(p') \frac{i\sigma^{\alpha\beta} n_{-\alpha} \Delta_{\beta}}{2m_N} u(p) \right]$$

➤ Transverse spatial distribution  $\mathcal{H}^q(x, \vec{b}_T^2) = \int \frac{d^2 \vec{\Delta}_T}{(2\pi)^2} e^{-i\vec{\Delta}_T \cdot \vec{b}_T} H^q(x, 0, -\vec{\Delta}_T^2)$  Soper 77 & Burkardt 2000

DVCS





# 5D imaging of proton

# Parton Wigner distributions

In quantum mechanics:

$$\widehat{W}^{[\Gamma]}(\vec{b}_\perp, \vec{k}_\perp, x) \equiv \frac{1}{2} \int \frac{dz^- d^2 z_\perp}{(2\pi)^3} e^{i(xp^+ z^- - \vec{k}_\perp \cdot \vec{z}_\perp)} \bar{\psi}(\vec{b}_\perp - \frac{z}{2}) \Gamma \mathcal{W} \psi(\vec{b}_\perp + \frac{z}{2}) \Big|_{z^+=0}$$

Operator definition:

$$\rho^{[\Gamma]}(\vec{b}_\perp, \vec{k}_\perp, x, \vec{S}) \equiv \int \frac{d^2 \Delta_\perp}{(2\pi)^2} \langle p^+, \frac{\vec{\Delta}_\perp}{2}, \vec{S} | \widehat{W}^{[\Gamma]}(\vec{b}_\perp, \vec{k}_\perp, x) | p^+, -\frac{\vec{\Delta}_\perp}{2}, \vec{S} \rangle.$$

A. Belitisky, X. D. Ji and F. Yuan, 2003

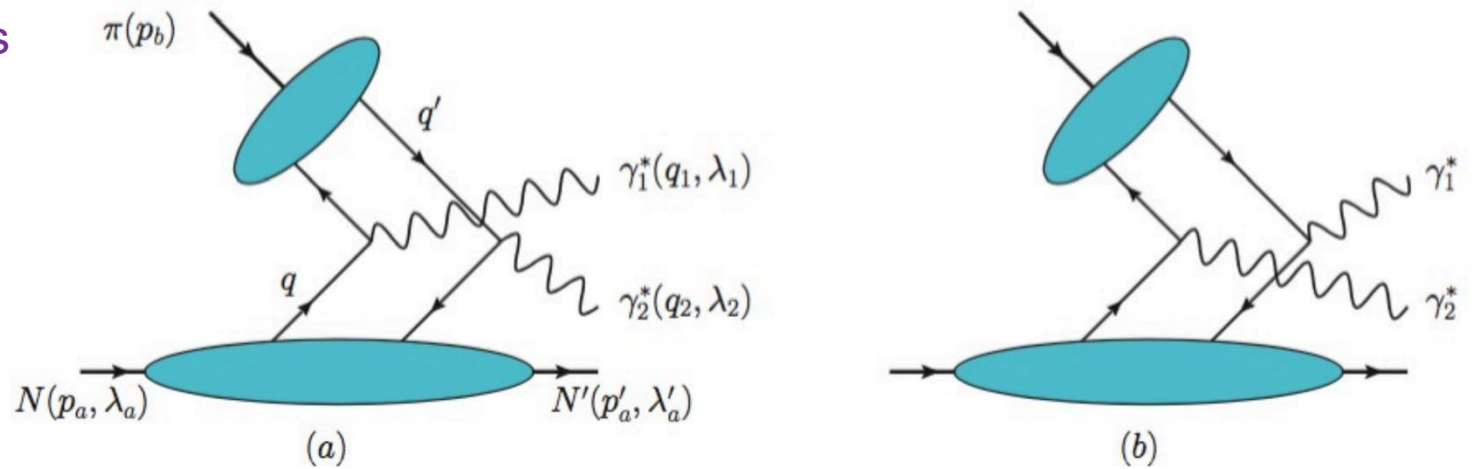
Motivations of studying parton Wigner distributions:

- tomography picture of nucleon
- encode information on parton OAM

Are they measurable?

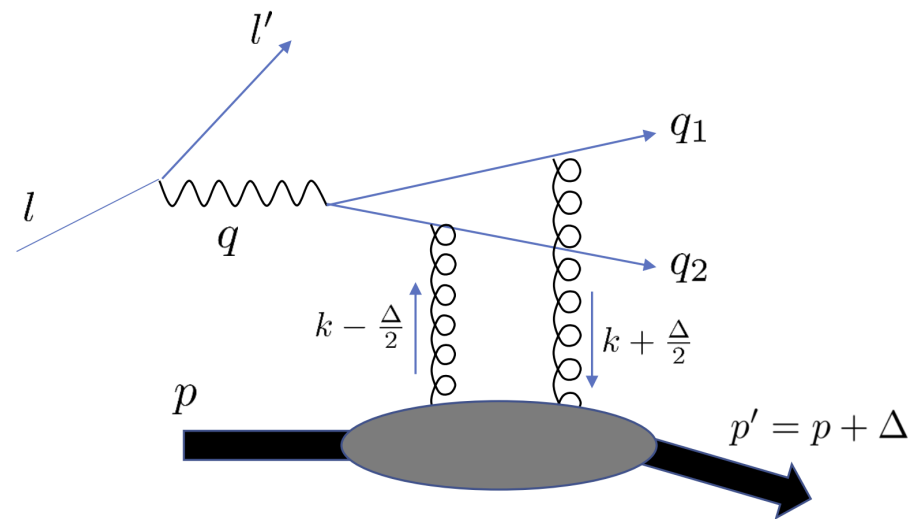
# Exclusive double Drell-Yan process

Quark case: exclusive double DY proces

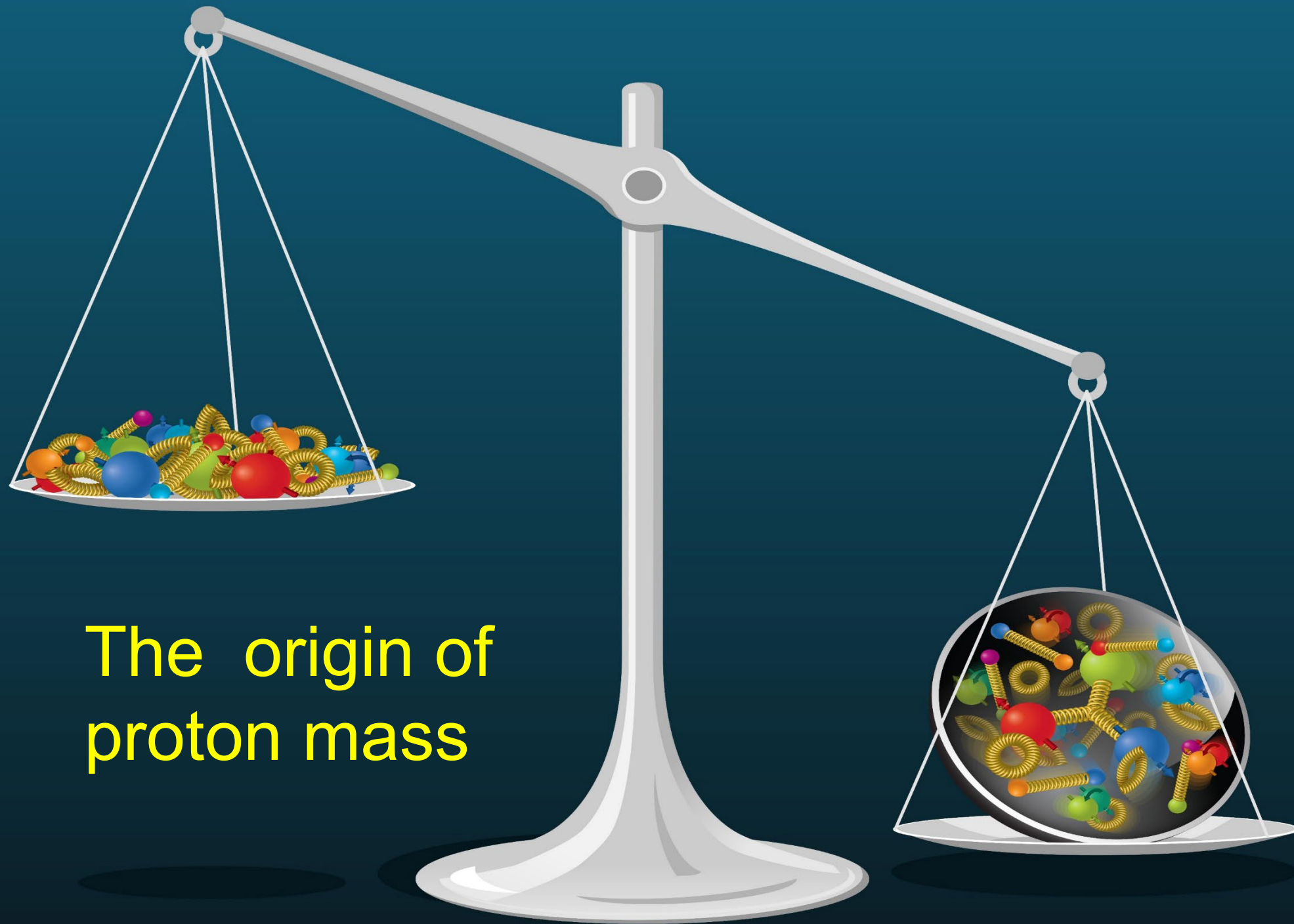


Bhattacharya, Metz, ZJ 2017

Glucion case: diffractive di-jet production

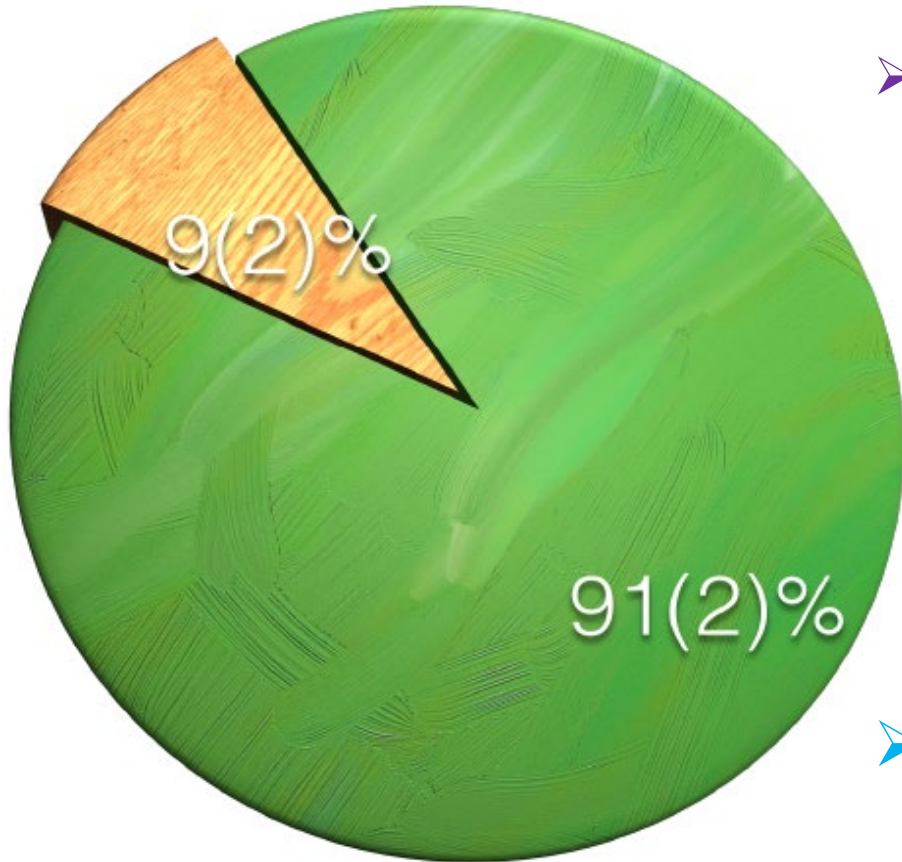


Hatta-Nakagawa-Yuan-Zhao-Xiao, 2017



The origin of  
proton mass

# Proton mass budget



Lattice result

- Mass from Quark and gluon kinetic energy accessible via PDF

$$\int_0^1 dx xq(x) \quad \int_0^1 dx xg(x)$$

◆ In the massless limit:  $m_q=0$ :

- Quark&Gluon kinetic energy make up  $\frac{3}{4}$  proton mass.
- Trace anomaly contributes to another  $\frac{1}{4}$  proton mass.

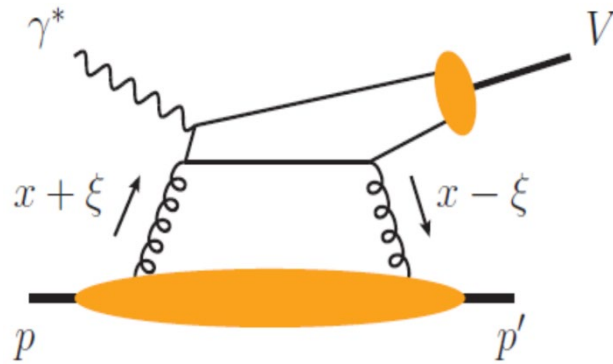
# How to measure trace anomaly

➤ Twist-4 operator:

$$\langle P' | F^{\mu\nu} F_{\mu\nu} | P \rangle$$

● Threshold J/psi production

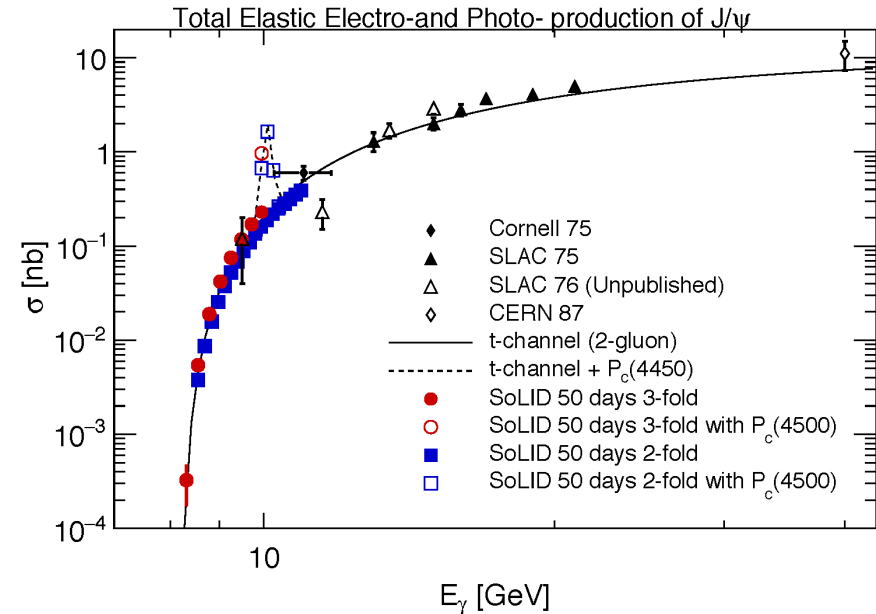
Kharzeev, Satz, Syamtomov, 1998



● Extractions: Xu-Xie-Wang-Chen, 2020  
Wang-Bu-Zeng, 2022

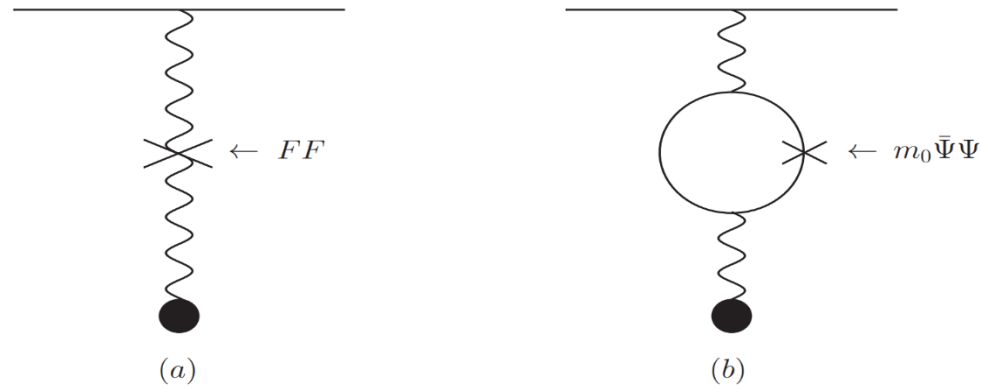
● Intense debates:

Hatta, Ji, Ma, Sun, Tong, Yuan.....



# Perturbative calculation of trace anomaly

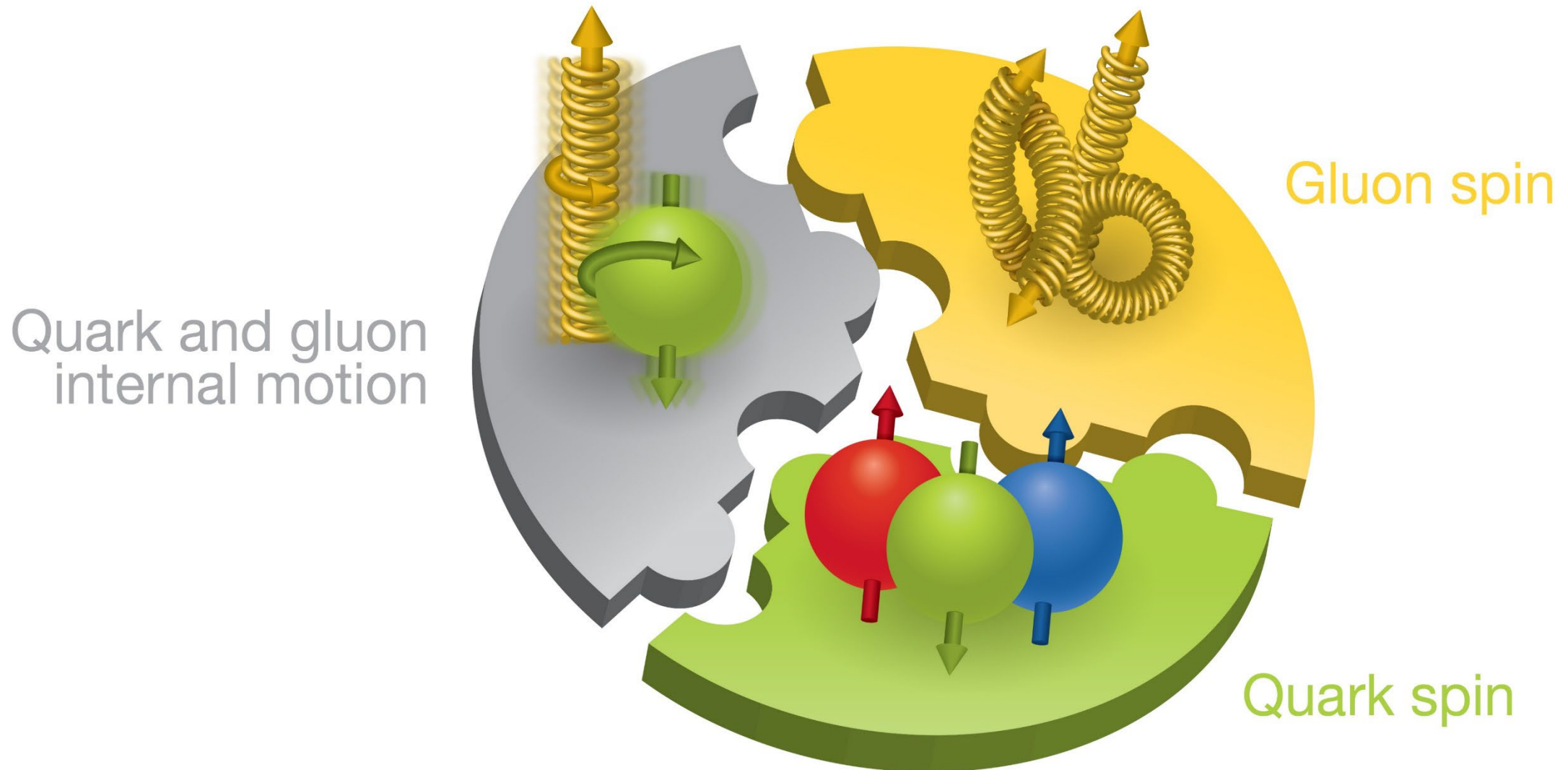
- Trace anomaly contribution to hydrogen atom mass



$$\delta\alpha_{em}^2 \int d^3y \int \frac{d^3q}{(2\pi)^3} e^{i\vec{q}\cdot\vec{y}} \int_0^1 da \frac{a^2(1-a)^2}{m^2} \varphi_0^\dagger(y) \varphi_0(y) = \frac{-4\alpha_{em}^2}{15m^2} \varphi_0^\dagger(0) \varphi_0(0)$$

◆ Related to the **Lamb shift**. Sun-Sun-ZJ, 2020

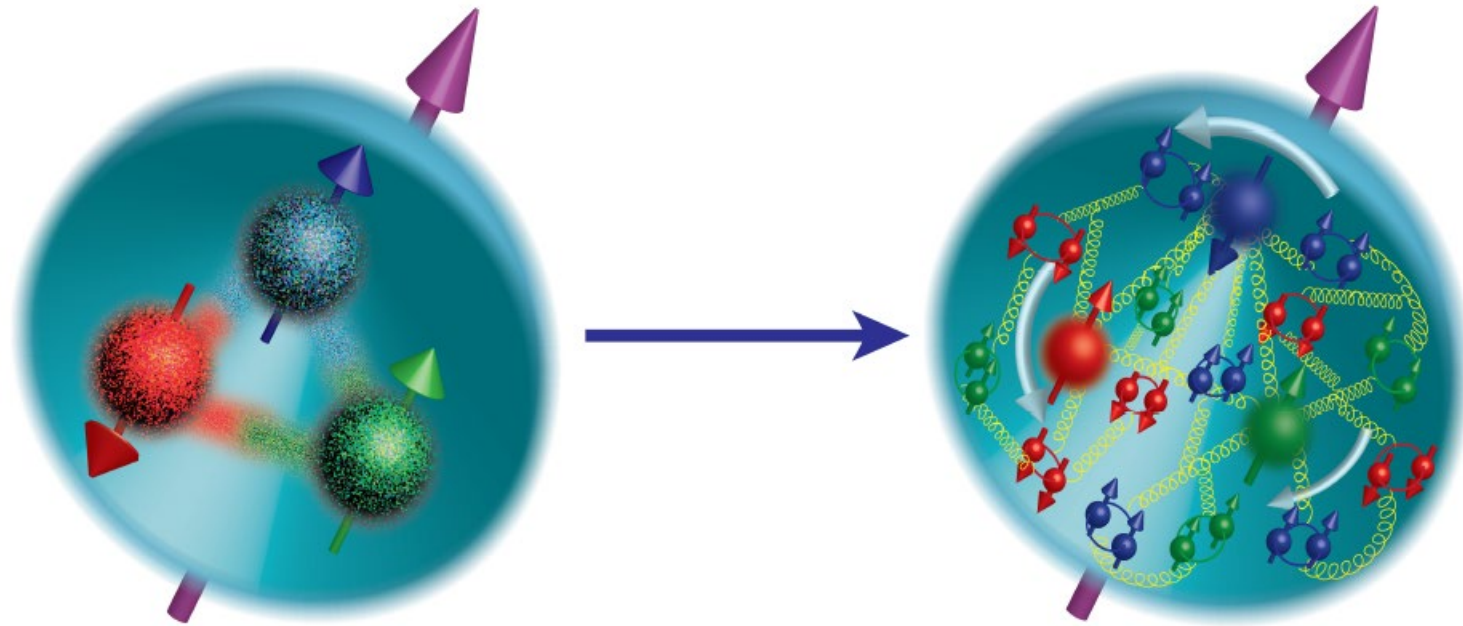
# Proton spin decomposition





# Proton spin sum rule

$$J = \frac{1}{2}\Delta\Sigma(Q^2) + L_q(Q^2) + \Delta G(Q^2) + L_g(Q^2) = \frac{1}{2}$$



# Parton orbital angular momentum

➤ The total angular momentum is related to the GPD:

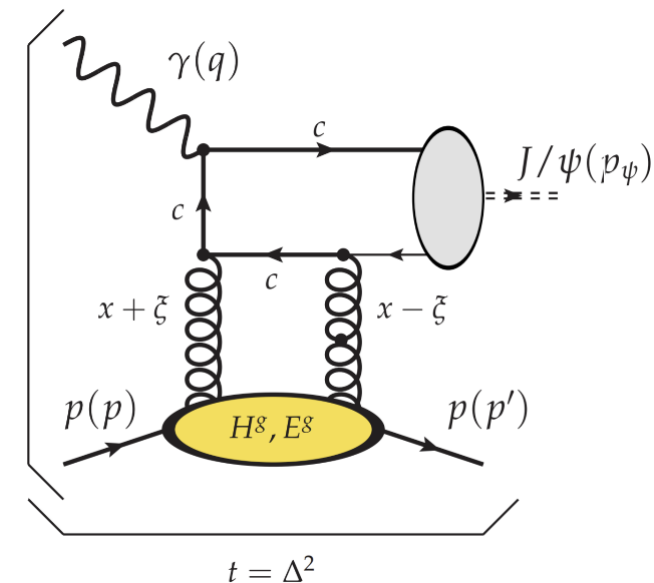
$$J_q = \lim_{t \rightarrow 0} \frac{1}{2} \int_0^1 dx x [H_q(x, t, \xi) + E_q(x, t, \xi)]$$

Ji, 1997

◆ SSA in exclusive process

$$A_N^\gamma = \frac{\frac{1}{2m_N} (1 + \xi) |\Delta_T| \sin(\phi_\Delta) \Im(\mathcal{H}^g \mathcal{E}^{g*})}{(1 - \xi^2) |\mathcal{H}^g|^2 + \frac{\xi^4}{1 - \xi^2} |\mathcal{E}^g|^2 - 2\xi^2 \Re(\mathcal{H}^g \mathcal{E}^{g*})}$$

Koempel, Kroll, Metz, ZJ, 2012



# Small x asymptotic behavior of gluon OAM

- Never can reach  $x=0$  at any experiment, how to extrapolate down to  $x=0$

- Small x evolution equation for  $Eg(x)$

$$\partial_Y \mathcal{E}(k_\perp) = \frac{\bar{\alpha}_s}{\pi} \int \frac{d^2 k'_\perp}{(k_\perp - k'_\perp)^2} \left[ \mathcal{E}(k'_\perp) - \frac{k_\perp^2}{2k'_\perp{}^2} \mathcal{E}(k_\perp) \right] - 4\pi^2 \alpha_s^2 \overline{\mathcal{F}}_{1,1}(k_\perp) \mathcal{E}(k_\perp)$$

Hatta, ZJ, 2022

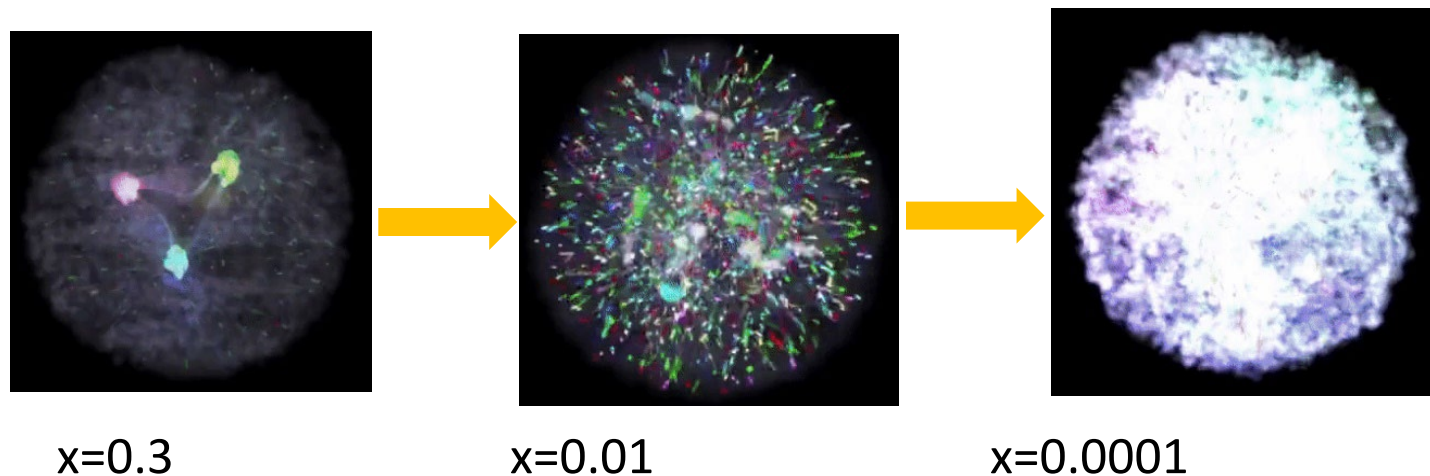
Conclusion:  $Eg(x)$  rises as rapidly as the normal unpolarized gluon distribution!

$$x^{-0.3}$$



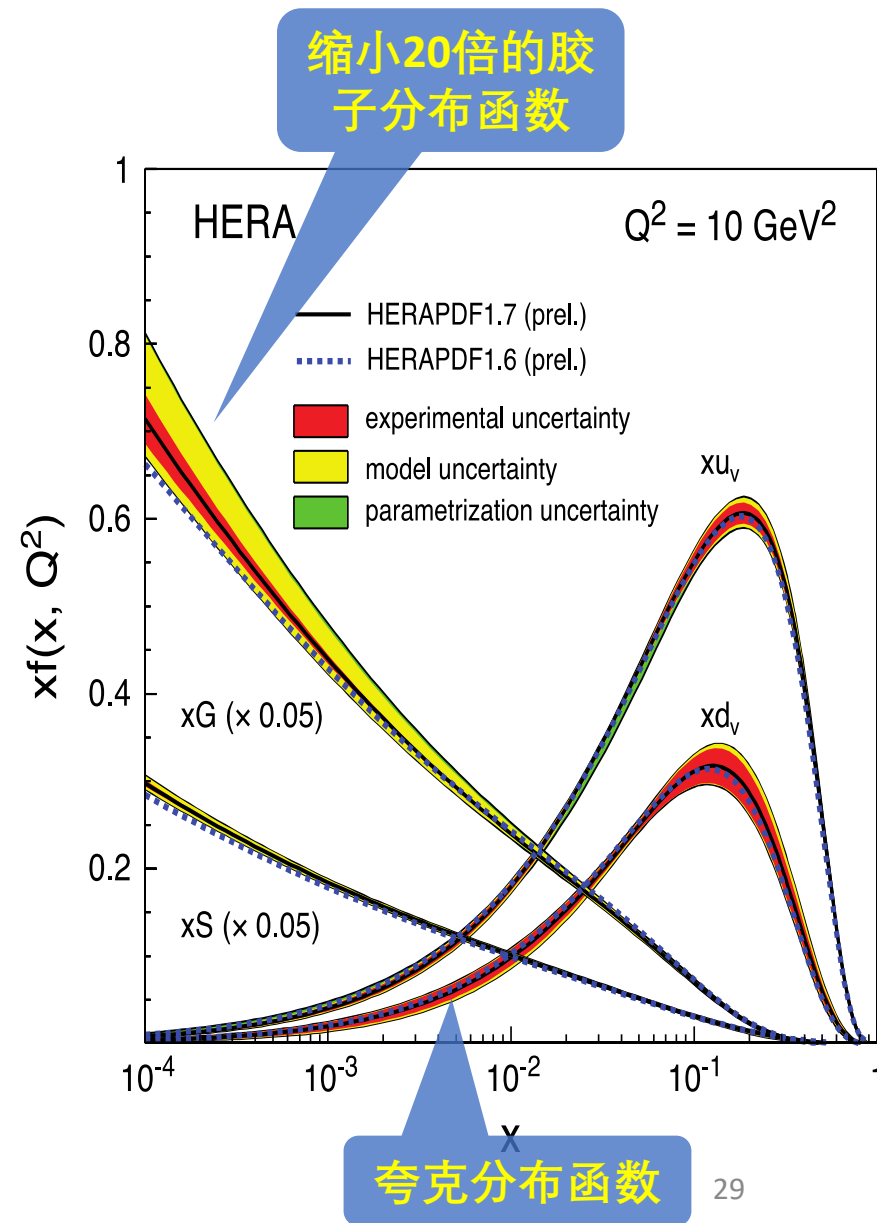
# Small x physics

# 色玻璃凝聚态 (Color glass condensate)



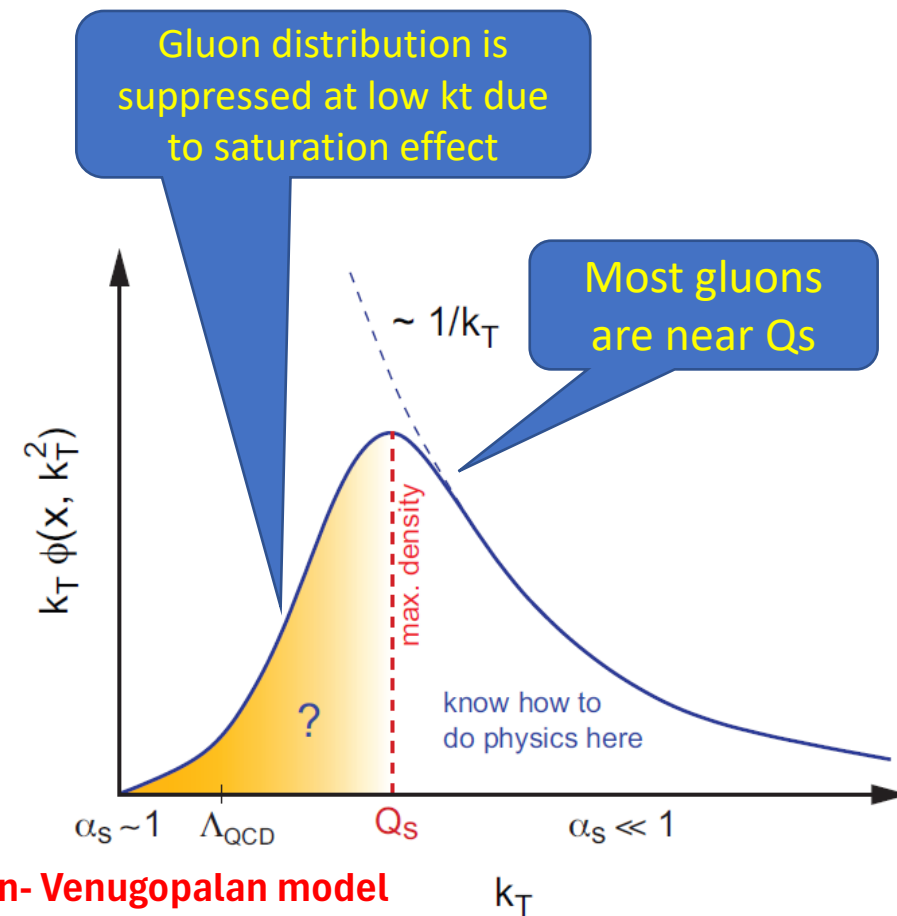
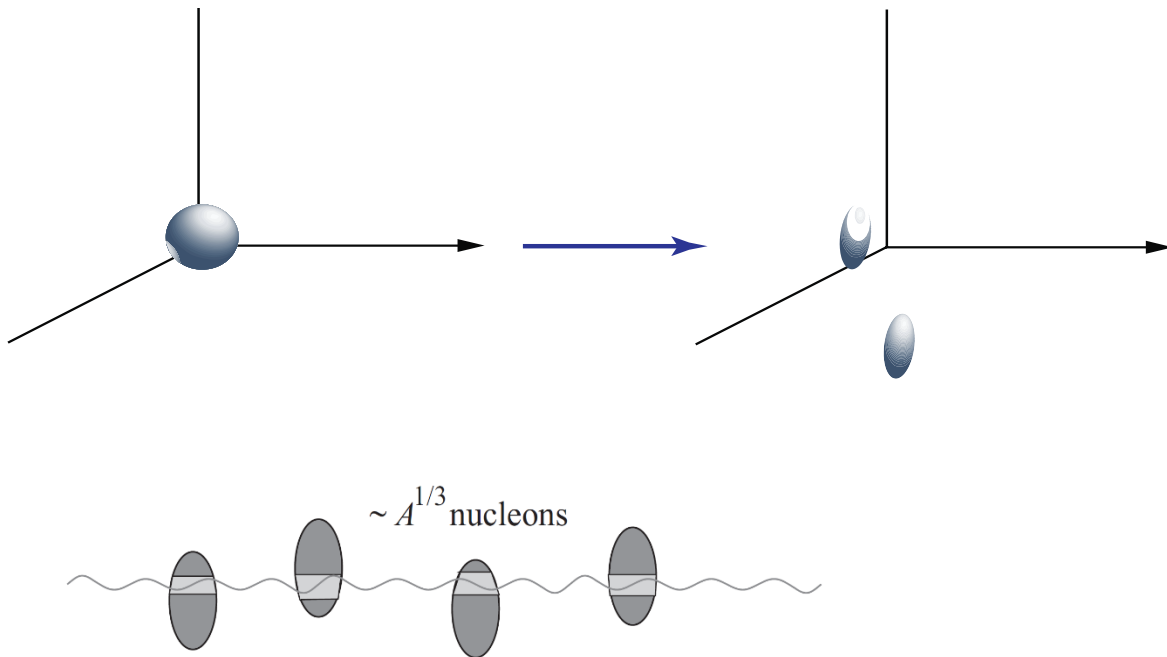
$$P_{gg}(x) \sim \frac{1}{x} \text{ for } x \rightarrow 0$$

- 极高密度胶子物质，新的物质形态
- 微扰QCD在小x区有更强的预言力
- ◆ LHC、RHIC的重要物理研究内容，EIC的核心科学目标



# Saturation scale

□ To reach high gluon density: very small  $x$  & large nucleus



**McLerran-Venugopalan model**

◆ Small  $x$  gluons (with long wave length) from different nucleons overlap with each other!



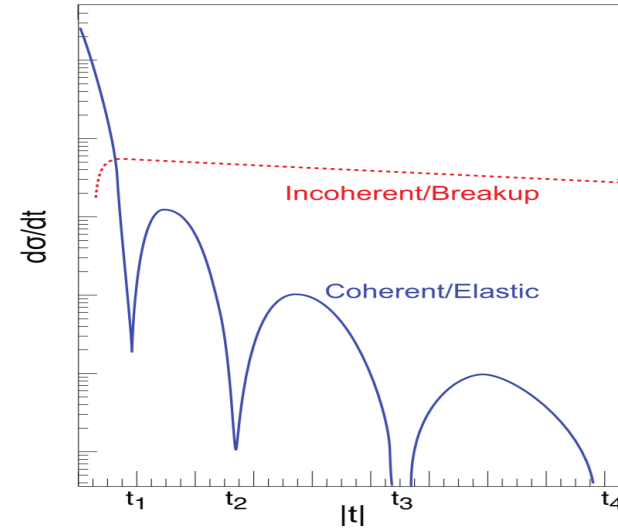
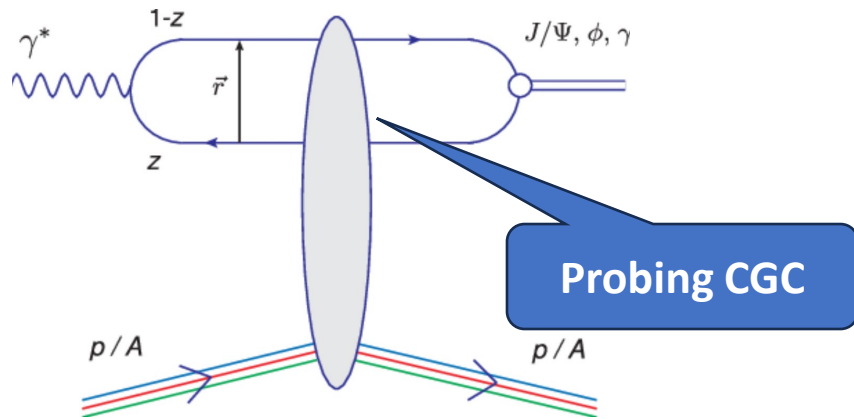
$$Q_s^2(x) \sim \left(\frac{A}{x}\right)^{1/3}$$

rcBK

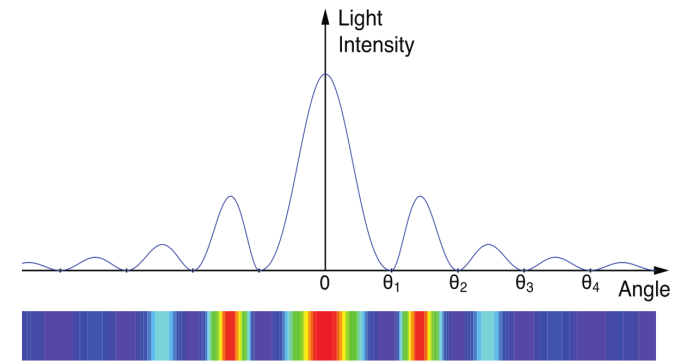
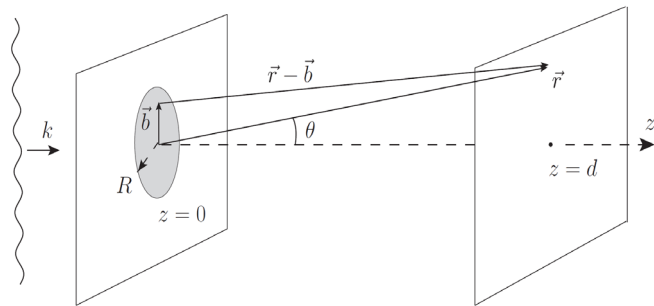
**Balitsky, 1996; Kovchegov, 1997**

# The probe of saturation effect at EIC I

## ◆ Diffractive vector meson production:



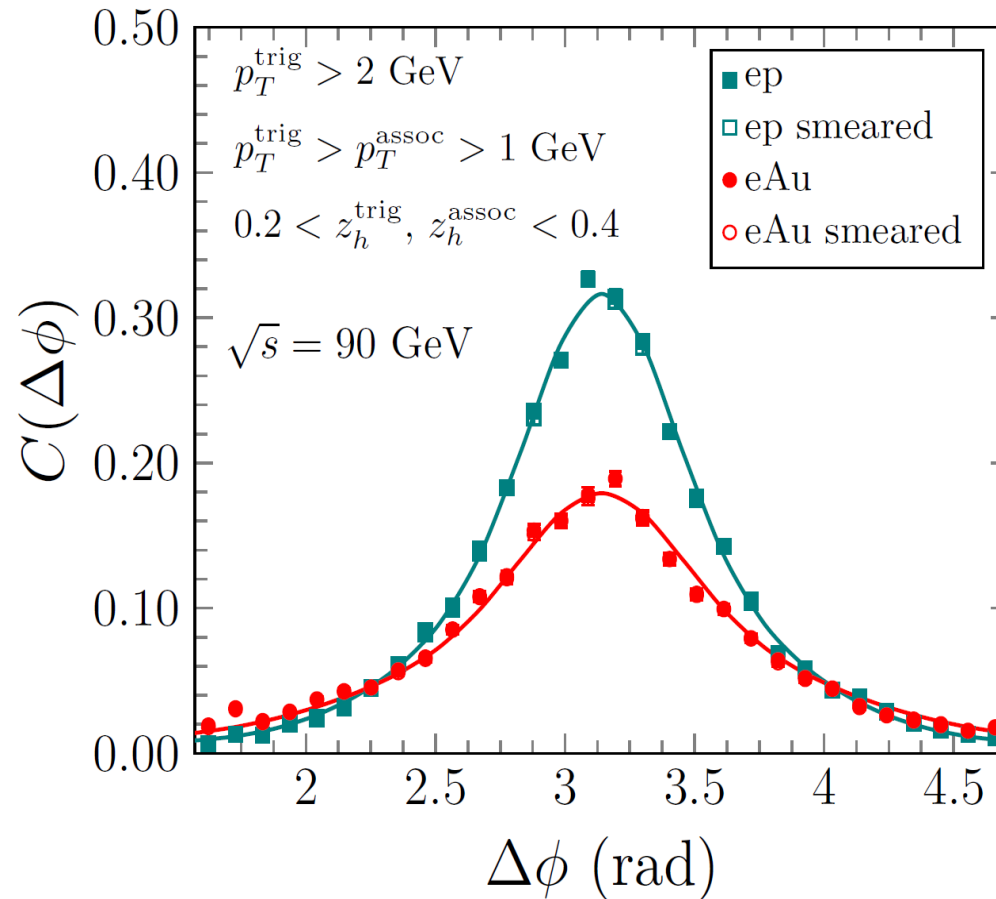
## ◆ Optical analogy :



➤ Reconstruct the size  $R$  of the obstacle and the optical “blackness” of the obstacle from the diffractive pattern.

# The probe of saturation effect at EIC II

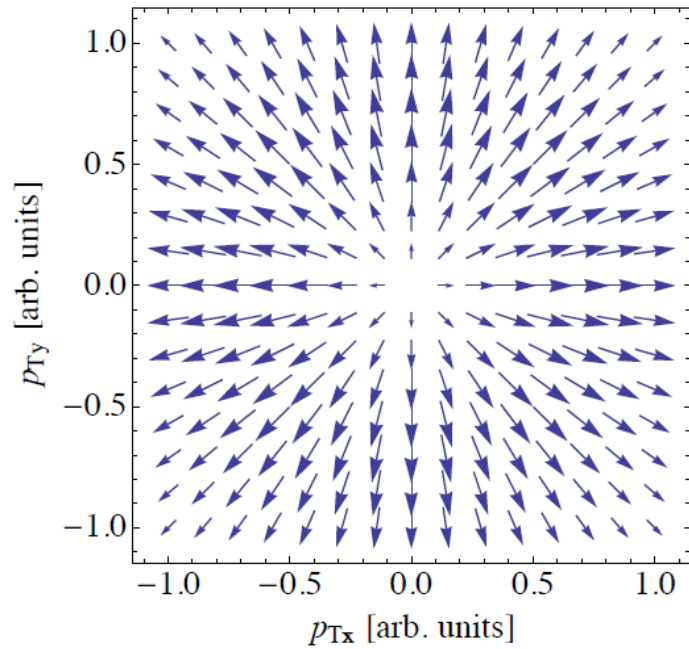
- Semi-inclusive di-jet production in eA collisions



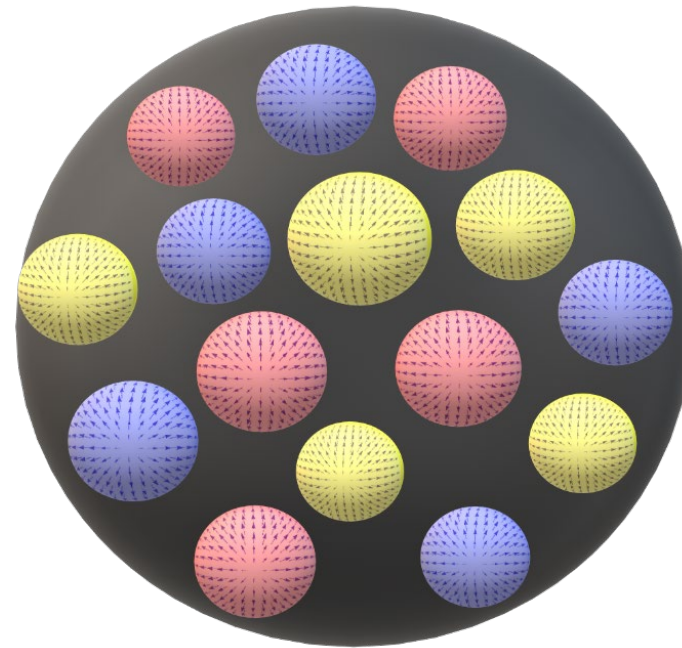


# Linearly polarized gluons at small x

Transverse momentum space

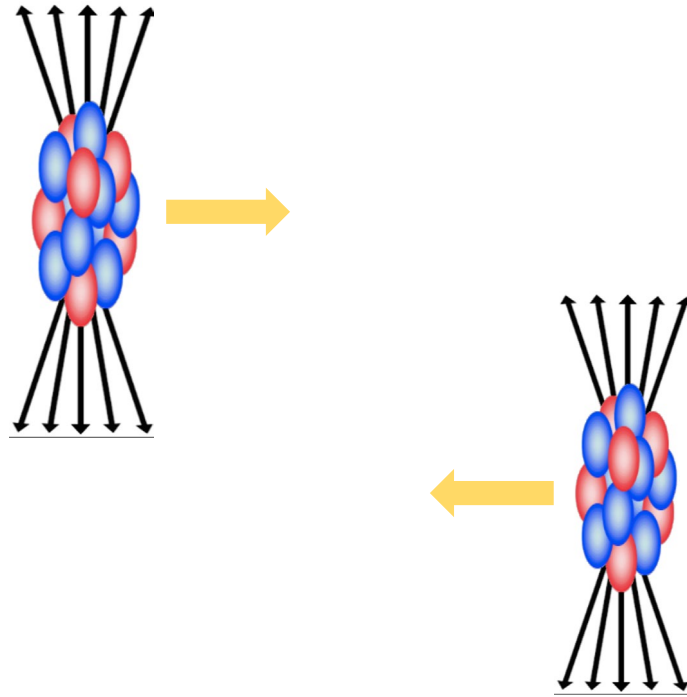


Transverse coordinate space

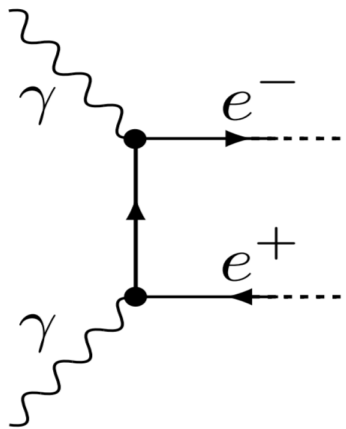


A. Metz, ZJ; 2011

# Ultra-peripheral heavy collisions(UPCs) : a portal to small x physics



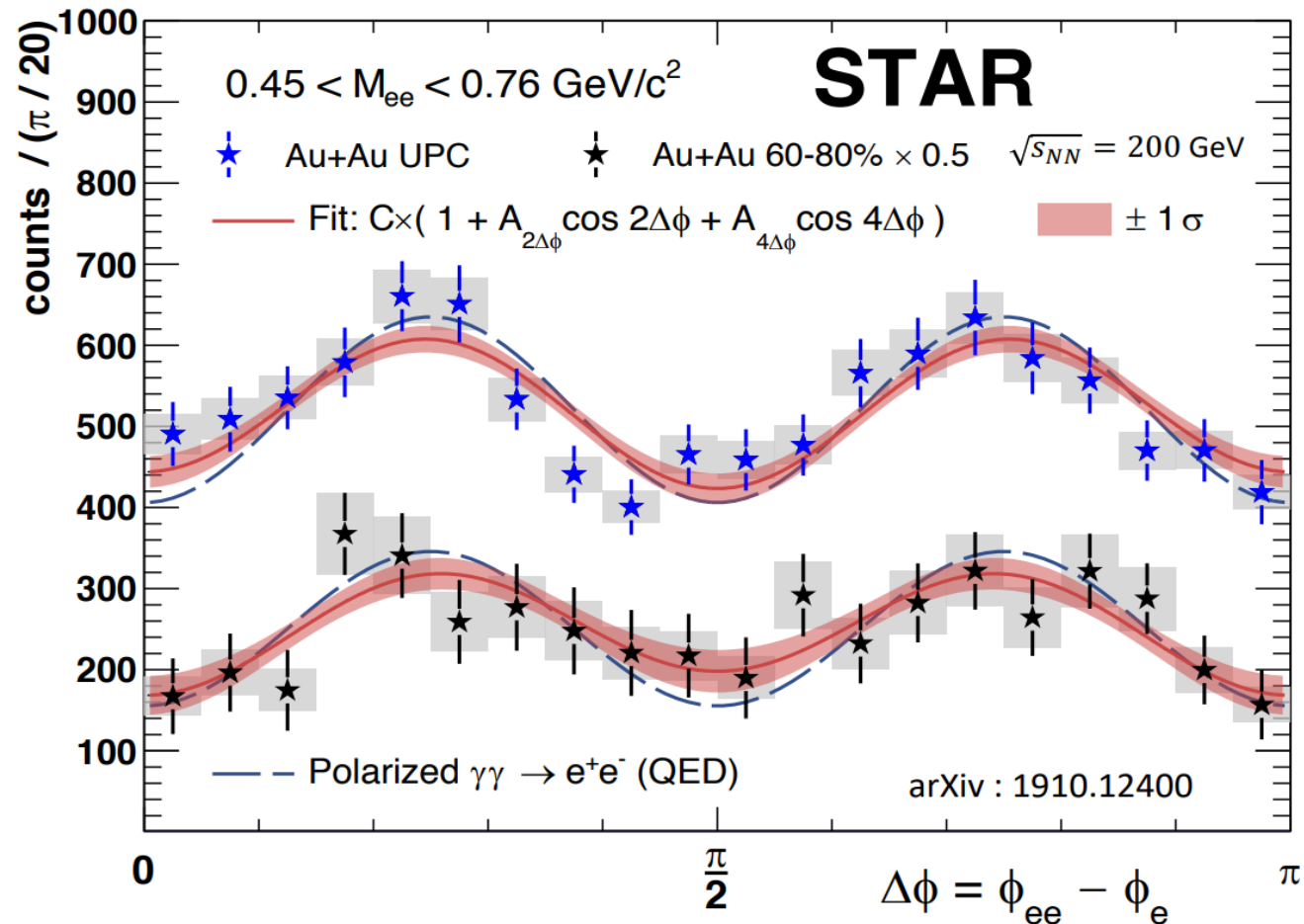
# Verified by STAR experiment



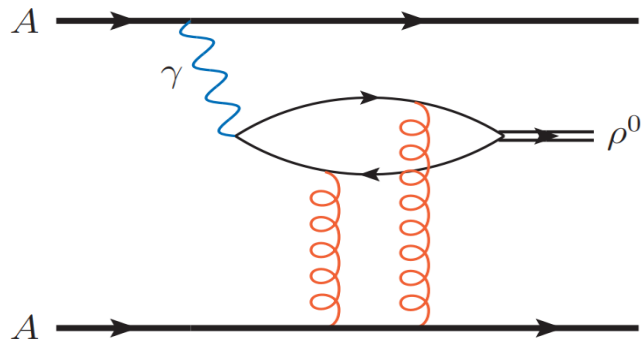
	Measured	QED calculation
Tagged UPC	<b>16.8% ± 2.5%</b>	<b>16.5%</b>
60%-80%	27% ± 6%	34.5%

Li-JZ-Zhou, 2020

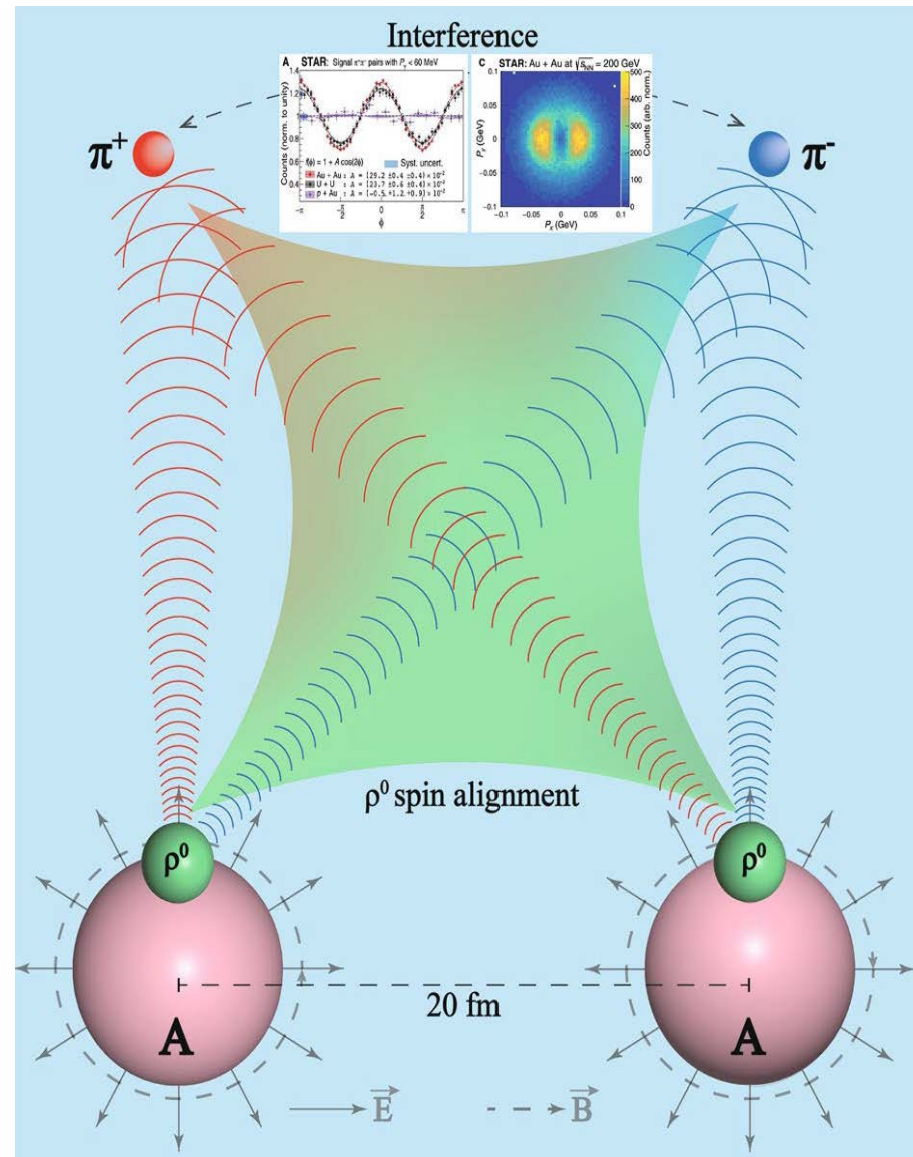
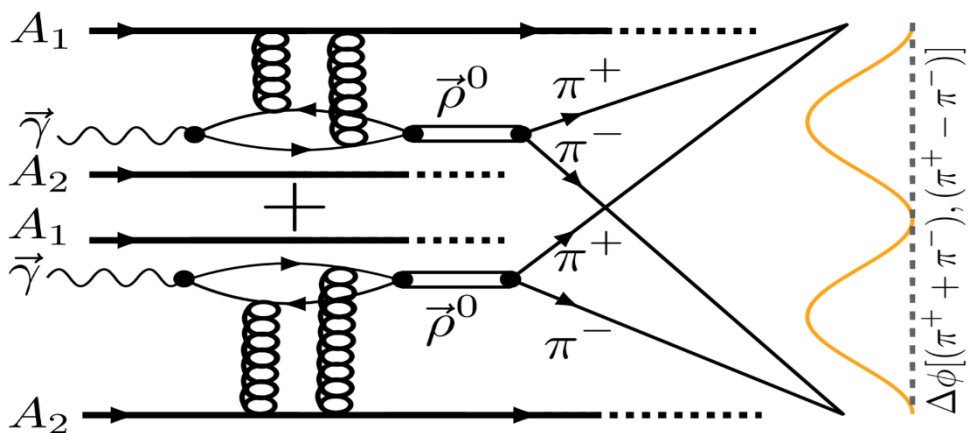
STAR collaboration, PRL, 2021



➤ Diffractive vector meson production



➤ double-slit experiment in UPCs



Taken from Prof. Ma's review paper

# Joint $\tilde{b}_\perp$ & $q_\perp$ dependent cross section III

➤ Full cross section:  $k_\perp + \Delta_\perp = k'_\perp + \Delta'_\perp$

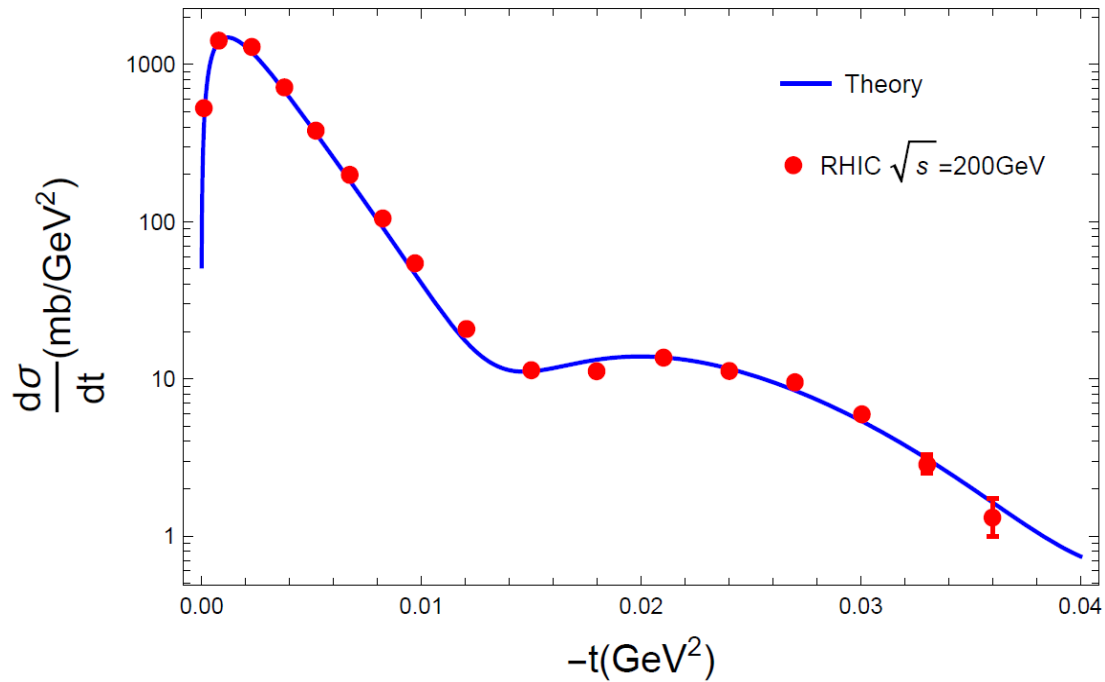
$$\begin{aligned}
 \frac{d\sigma}{d^2q_\perp dY d^2\tilde{b}_\perp} &= \frac{1}{(2\pi)^4} \int d^2\Delta_\perp d^2k_\perp d^2k'_\perp \delta^2(k_\perp + \Delta_\perp - q_\perp) (\epsilon_\perp^{V*} \cdot \hat{k}_\perp) (\epsilon_\perp^V \cdot \hat{k}'_\perp) \left\{ \int d^2b_\perp \right. \\
 &\times e^{i\tilde{b}_\perp \cdot (k'_\perp - k_\perp)} [T_A(b_\perp) \mathcal{A}_{in}(Y, \Delta_\perp) \mathcal{A}_{in}^*(Y, \Delta'_\perp) \mathcal{F}(Y, k_\perp) \mathcal{F}(Y, k'_\perp) + (A \leftrightarrow B)] \\
 &+ \left[ e^{i\tilde{b}_\perp \cdot (k'_\perp - k_\perp)} \mathcal{A}_{co}(Y, \Delta_\perp) \mathcal{A}_{co}^*(Y, \Delta'_\perp) \mathcal{F}(Y, k_\perp) \mathcal{F}(Y, k'_\perp) \right] \\
 &+ \left[ e^{i\tilde{b}_\perp \cdot (\Delta'_\perp - \Delta_\perp)} \mathcal{A}_{co}(-Y, \Delta_\perp) \mathcal{A}_{co}^*(-Y, \Delta'_\perp) \mathcal{F}(-Y, k_\perp) \mathcal{F}(-Y, k'_\perp) \right] \\
 &+ \left[ e^{i\tilde{b}_\perp \cdot (\Delta'_\perp - k_\perp)} \mathcal{A}_{co}(Y, \Delta_\perp) \mathcal{A}_{co}^*(-Y, \Delta'_\perp) \mathcal{F}(Y, k_\perp) \mathcal{F}(-Y, k'_\perp) \right] \\
 &+ \left. \left[ e^{i\tilde{b}_\perp \cdot (k'_\perp - \Delta_\perp)} \mathcal{A}_{co}(-Y, \Delta_\perp) \mathcal{A}_{co}^*(Y, \Delta'_\perp) \mathcal{F}(-Y, k_\perp) \mathcal{F}(Y, k'_\perp) \right] \right\}, \quad (2.14)
 \end{aligned}$$

H.X. Xing, Z. Zhang, ZJ, Y.J. Zhou, 2020

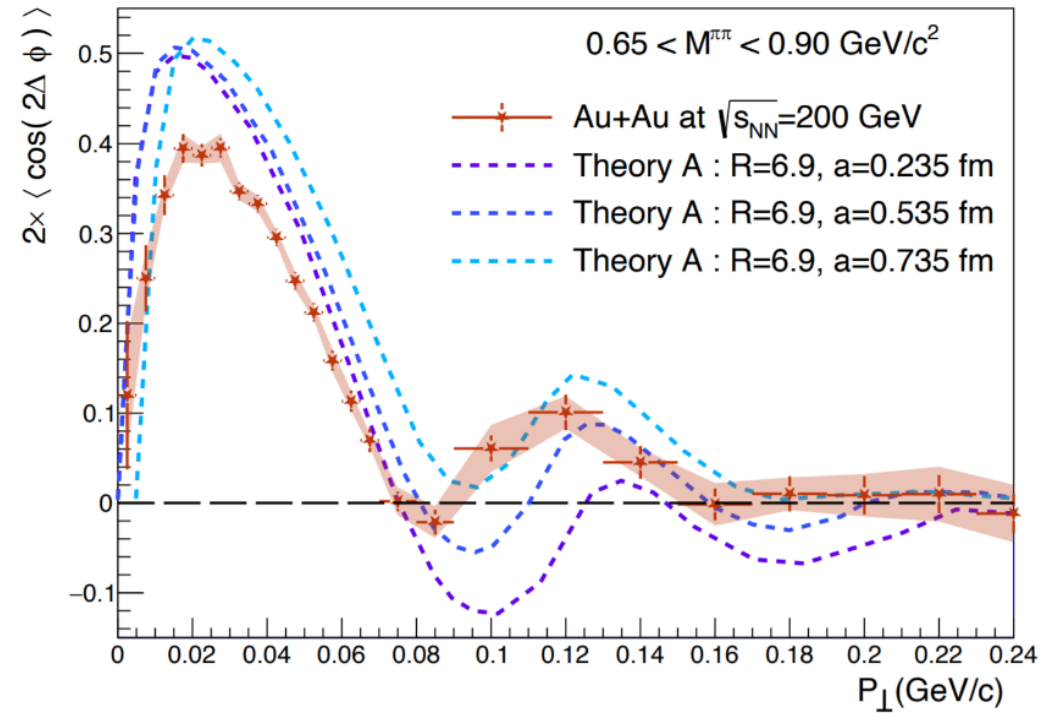
➤ EM potential:  $\mathcal{F}(Y, k_\perp) = \frac{Z\sqrt{\alpha_e}}{\pi} |k_\perp| \frac{F(k_\perp^2 + x^2 M_p^2)}{(k_\perp^2 + x^2 M_p^2)}$

# $\rho^0$ production in UPCs

Azimuthal averaged cross section



Cos2 $\phi$  azimuthal asymmetry



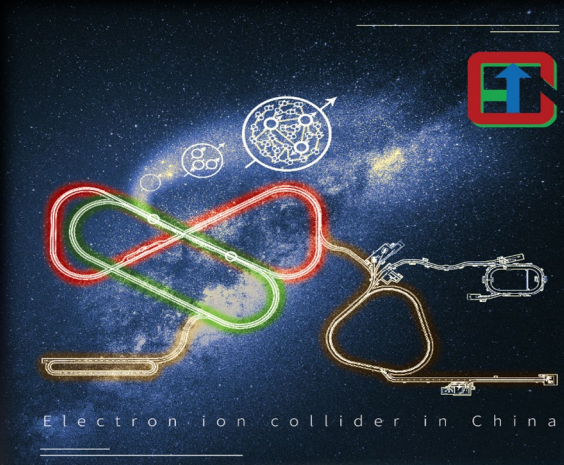
Xing, Zhang, ZJ, Zhou 2020, Zha, Brandenburg, Ruan, Tang, 2021

# The Scope of EIC/EicC physics

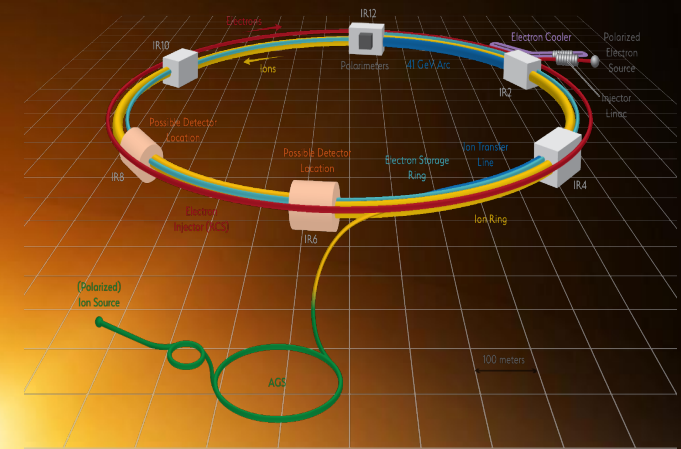
- 3D imaging of proton
  - Origin of proton mass and spin
  - Small x physics
- 
- The global properties of proton:  
proton radius, EM form factors, axial/tensor charge
  - Fragmentation processes
  - Double parton distributions
  - Jet physics
  - The lattice study, Quasi-PDFs, form factors...
  - Exotic hadronic states
  - Short range correlations
  - Beyond standard model physics: axion, dark photon.
  - .....

# The dawn of EIC era

EicC(17GeV), sea quark region



EIC(140GeV), gluonic matter



*Thank you for your attention!*



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SHANDONG UNIVERSITY, QINGDAO



Thank you for your attention!

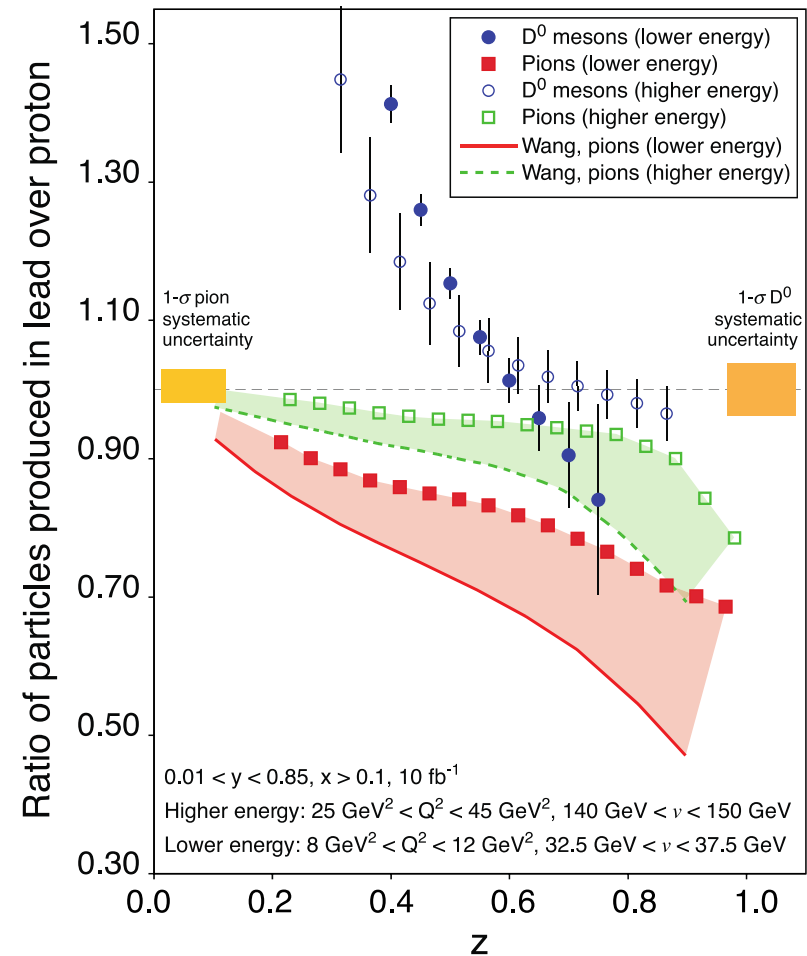
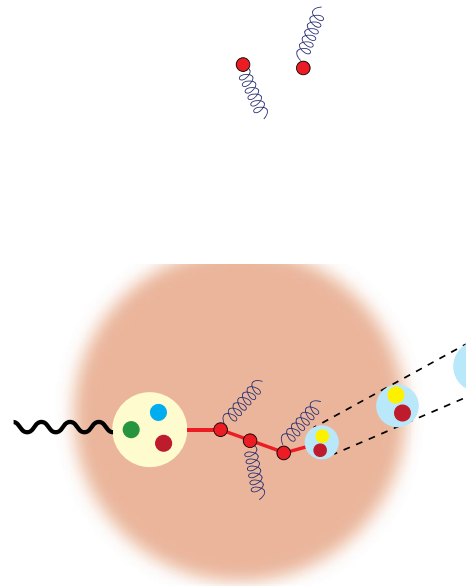


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# Energy Loss in Cold Nuclear Matter

- By studying quark propagation in cold nuclear matter we can learn important information about hadronization and may even measure  $q_{had}$  in the cold nuclear medium:



# Typical gluon “size”

Number of gluons (gluon TMD) times the phase space

