

Closed-form Schur indices and free fields

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based on 1903.03623, 2104.12180, work in progress
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Introduction

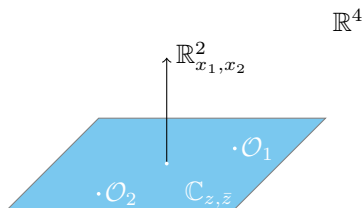
Associated VOA

[Beem, Lemos, Liendo, Peelaers, Rastelli, Rees]

- 4d $\mathcal{N} = 2$ SCFT \mathcal{T} on \mathbb{R}^4
- Superconformal algebra generators

$$P_\mu, K_\mu, D, M, R^I{}_J, Q_\alpha^I, \tilde{Q}^{I\dot{\alpha}}, S_I^\alpha, \tilde{S}^{I\dot{\alpha}} \quad (1)$$

- Pick $\mathbb{R}^2_{x_3, x_4} \equiv \mathbb{C}_{z, \bar{z}}$



Associated VOA

- **Schur operators**: special type of operators on $\mathbb{C}_{z,\bar{z}}$
 - (a) Cohomology classes $\mathcal{O}(z)$ of

$$Q_1 \equiv Q_-^1 + \tilde{Q}_{2\dot{-}}^\dagger, \quad Q_2 \equiv (Q_-^1)^\dagger - \tilde{Q}_{2\dot{-}} \quad (2)$$

- (b) Schur conditions (from cohomology requirement):

$$E - 2R - j_1 - j_2 = 0, \quad r + j_1 - j_2 = 0 \quad (3)$$

- (c) $\mathcal{O}(z)$ depend on z only

- (d) $\mathcal{O}(z)\mathcal{O}'(0)$ OPE coefficients depend on z only

- 4d/2d correspondence: Schur ops. span a

vertex operator algebra $\mathbb{V}[\mathcal{T}]$

Associated VOA

- Encode important info about the 4d SCFTs [Lemos, Liendo][Bonetti, Rastelli][Cordova, Gaiotto, Shao] [Song, Xie, Yan] ...
- Simplest examples:
 - free hypermultiplet: $\beta\gamma$ (symplectic boson) system
 - free vector multiplet: small bc ghost
 - $SU(2)$ with 4 flavors: $\widehat{\mathfrak{so}}(8)_{-2}$
 - $\mathcal{N} = 4$ $SU(2)$ SYM: 2d small $\mathcal{N} = 4$ SCFA
 - T_3 : $(\widehat{\mathfrak{e}}_6)_{-3}$
 - ...
- 4d \mathcal{R} -symmetry current \rightarrow stress tensor T , $c_{2d} = -12c_{4d}$
- 4d \mathfrak{f} flavor-symmetry moment map \rightarrow $\widehat{\mathfrak{f}}$ -current, $k_{2d} = -\frac{1}{2}k_{4d}$

Associated VOA

- Large body of literature on the subject
- identification of associated VOAs, VOA structure and modular differential equations, bounds, indices ...

Class- \mathcal{S} and T_N : [Beem, Peelaers, Rastelli, van Rees][Lemos, Peelaers][Kiyoshige, Nishinaka] ...

Argyres-Douglas: [Song, Xie, Yan] [Xie, Yan, Yau]
[Dedushenko, Wang] [Buican, Nishinaka] [Kozcaz, Shakirov, Yan][Creutzig] ...

MDE, defects: [Cordova, Gaiotto, Shao][Nishinaka, Sasa, Zhu][Beem, Rastelli][YP, Wang, Zheng] ...

Free field realization: [Adamovic][Beem, Meneghelli, Rastelli][Bonetti, Meneghelli, Rastelli] ...

Associated VOA: Schur index

- Schur ops counted by the **Schur index** [Gadde, et.al.],

$$\mathcal{I}[\mathcal{T}] \equiv \text{str}_{\mathbb{V}[\mathcal{T}]} q^{E-R+\frac{c_{4d}}{2}} \mathbf{b}^{\mathbf{f}} = \underbrace{\text{str}_{\mathbb{V}} q^{L_0-\frac{c_{2d}}{24}} \mathbf{b}^{\mathbf{f}}}_{\text{vacuum character of } \mathbb{V}[\mathcal{T}]} \quad (4)$$

where $q \equiv e^{2\pi i\tau}$, \mathbf{b}, \mathbf{f} are flavor fugacities and Cartans

- Schur limit** of the full $\mathcal{N} = 2$ SCFI [Kinney, et.al.]

$$\mathcal{I}(p, q, t) \equiv \text{str} e^{-\beta\tilde{\delta}_1} p^{\frac{\delta_1+}{2}} q^{\frac{E-2j_2-2R-r}{2}} t^{R+r} \mathbf{b}^{\mathbf{f}} \quad (5)$$

$$\xrightarrow{t \rightarrow q} \mathcal{I}(q) = \text{str} e^{-\beta\tilde{\delta}_1} p^{\delta_1+} q^{\frac{E-2j_2+r}{2}} \mathbf{b}^{\mathbf{f}} \quad (6)$$

\Rightarrow independence of p , contrib. only from **Schur operators**

Associated VOA: Schur index

Computing Schur indices (focus on Lagrangian theories):

- Direct counting Schur operators or identifying the VOA [Gadde, Rastelli, Razamat, Yan]: a **series expansion**
- From **2d q -Yang-Mills** partition functions [Gadde, Rastelli, Razamat, Yan]: an **infinite sum over representations**
- From **localization** on $S^3 \times S^1$, or zero-coupling limit (**independence of g_{YM}**) [Gadde, et.al.][YP, Peelaers][Dedushenko, Fluder][Jeong]: a **contour integral**; also compute **Schur correlators** on $S^3 \times S^1$

Multivariate contour integral formula

$$\mathcal{I} = \oint_{|a|=1} \left[\frac{da}{2\pi ia} \right] \mathcal{Z}(a) \quad (7)$$

Goal

- Task: compute the Schur indices **analytically** in closed-form
- Different from previous results on closed-forms [Bourdier, Drukker, Felix]
- S-duality, modular properties, additional solutions to (flavored) modular differential equations [Gaberdiel, Keller][Krauel, Mason][Beem, Rastelli]

Free field realization and characters

Novel free field realization

Free field realization (special cases): VOAs $\mathbb{V}_{\mathcal{N}=4}^G$ associated to 4d $\mathcal{N} = 4$ G -SYM realized with **$bc\beta\gamma$ systems** [Bonetti, Meneghelli, Rastelli]

- **rank G ($\leq \dim G$)** copies of $bc\beta\gamma$ systems
- Weights h and $u(1)$ charges m ($i = 1, \dots, \text{rank } G$)

	h	m
(b_i, c_i)	$(\frac{d_i+1}{2}, \frac{1-d_i}{2})$	$(\frac{d_i-1}{2}, \frac{1-d_i}{2})$
(β_i, γ_i)	$(\frac{d_i}{2}, 1 - \frac{d_i}{2})$	$(\frac{d_i}{2}, -\frac{d_i}{2})$

d_i : degs of fund. invariants/Casimirs

- $\mathbb{V}_{\mathcal{N}=4}^G \leq \mathbb{V}_{bc\beta\gamma}^G$

Novel free field realization

- Example: $G = SU(2)$, $d_1 = 2$ [Bonetti, Meneghelli, Rastelli][Adamovic]

	h	m
(b, c)	$(\frac{3}{2}, -\frac{1}{2})$	$(\frac{1}{2}, -\frac{1}{2})$
(β, γ)	$(1, 0)$	$(1, -1)$

- VOA = 2d $\mathcal{N} = 4$ small SCFA $\mathbb{V}_{\mathcal{N}=4}^{SU(2)} \leq \mathbb{V}_{bc\beta\gamma}$

$$J^+ = \beta, \quad G^+ = b, \quad T = -\frac{3}{2}b\partial c - \frac{1}{2}\partial bc - \beta\partial\gamma, \dots$$

- As a subalgebra, $\mathbb{V}_{\mathcal{N}=4}^{SU(2)} = \ker S$

$$S = \oint dz (be^{-\frac{1}{2}(x+\phi)})(z), \quad (8)$$

with $\beta = e^{x+\phi}$, $\gamma = \partial\chi e^{-x-\phi}$.

Residues as free field characters

- $\mathcal{N} = 4$ SYMs with simple gauge groups G , the Schur indices

$$\mathcal{I} \sim \oint \prod_i^r \frac{da_i}{2\pi i a_i} \frac{\eta(\tau)^{3r}}{\vartheta_4(\mathbf{b})^r} \underbrace{\prod_{\alpha} \frac{\vartheta_1(\alpha(\mathbf{a}))}{\vartheta_4(\alpha(\mathbf{a}) + \mathbf{b})}}_{\mathcal{Z}(a)} \quad (9)$$

- Simply-laced gauge group: all poles of $\mathcal{Z}(a)$ share **identical residues as analytic functions** (up to numerical factors)
Others: **finitely many different** residues as analytic functions
- Consider only the **simplest** poles of $\mathcal{Z}(a)$

$$e^{2\pi i \alpha_i(\mathbf{a})} = b q^{\frac{1}{2}}, i = 1, \dots, r. \quad (10)$$

Residues as free field characters

- The residue:

$$\operatorname{Res}_{e^{2\pi i\alpha_i(a)} \rightarrow bq^{\frac{1}{2}}} \left(\prod_i \frac{1}{a_i} \right) \mathcal{Z}(a) \quad (11)$$

- Massive cancellation between numerator and denominator
 - $\prod_{\alpha} \rightarrow \prod_{H \geq 0}$: $H(\alpha) \equiv \sum_{i=1}^r m_i$, $\alpha = \sum_{i=1}^r m_i \alpha_i$
 - **Almost complete** cancellation between H and $H+1$
 - **Incomplete cancellation** when $\#(H+1) < \#(H)$:

The residue

$$= q^{\frac{\dim \mathfrak{g}}{8}} \prod_{\substack{H \geq 0 \\ \#(H+1) < \#(H)}} \frac{(b^H q^{\frac{1}{2} + \frac{H+1}{2}}; q)(b^{-H} q^{\frac{1}{2} - \frac{H+1}{2}}; q)}{(b^{H+1} q^{\frac{H+1}{2}}; q)(b^{-H-1} q^{1 - \frac{H+1}{2}}; q)} \quad (12)$$

Residues as free field characters

- $\#(H + 1) < \#(H) \iff H + 1 = d_i$;
 d_i are the **degrees of the fund. invariants** of \mathfrak{g}
[Kostant][Collingwood, McGovern]:
- Residue [Peelaers][YP, Wang, Zheng]

$$\begin{aligned}\text{Res} &= q^{\frac{\dim \mathfrak{g}}{8}} \prod_{i=1}^r \frac{(b^{d_i-1} q^{\frac{d_i+1}{2}}; q)(b^{-d_i+1} q^{\frac{1-d_i}{2}}; q)}{(b^{d_i} q^{\frac{d_i}{2}}; q)(b^{-d_i} q^{1-\frac{d_i}{2}}; q)} \\ &= \text{ch}(\mathbb{V}_{bc\beta\gamma}^G) \\ &= \text{str}_{\mathbb{V}_{bc\beta\gamma}^G} q^{L_0 - \frac{c_2 d}{24}} b^f .\end{aligned}$$

Some immediate implications

- $\mathbb{V}_{\mathcal{N}=4}^G \leq \mathbb{V}_{bc\beta\gamma}^G \Rightarrow$ Res must automatically satisfy all the flavored modular differential equations from the special nulls
[Beem, Rastelli][Beem, Peelaers]
- Consider the projection $P : \mathbb{V}_{bc\beta\gamma}^G \rightarrow \mathbb{V}_{\mathcal{N}=4}^G$, we conjecture existence of \mathcal{P} :

$$\begin{aligned}\mathcal{I} &= \text{str}_{\mathbb{V}_{\mathcal{N}=4}^G} q^{L_0 - \frac{c_{2d}}{24}} b^f = \text{str}_{\mathbb{V}_{bc\beta\gamma}^G} P q^{L_0 - \frac{c_{2d}}{24}} b^f \\ &\equiv \mathcal{P} \underbrace{\text{str}_{\mathbb{V}_{bc\beta\gamma}^G} q^{L_0 - \frac{c_{2d}}{24}} b^f}_{\text{Res of } \mathcal{Z}(a)}\end{aligned}$$

\Rightarrow Question: $\mathcal{N} = 4$ Schur indices completely determined by the residues of the one-loop $\mathcal{Z}(a)$?

Closed-form Schur indices

- Some convention: normal v.s. fraktur font

$$z = e^{2\pi iz}, \quad y = e^{2\pi iy}, \quad a = e^{2\pi ia}, \quad b = e^{2\pi ib}$$

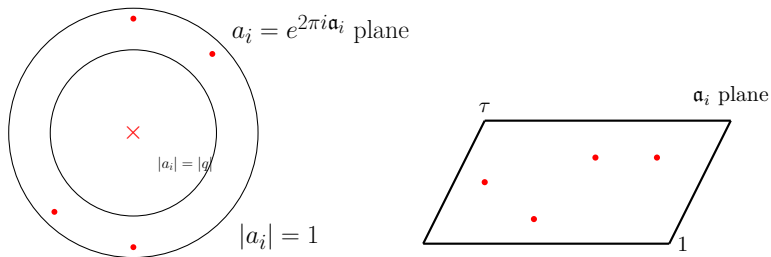
except

$$q = e^{2\pi i\tau} .$$

Ellipticity

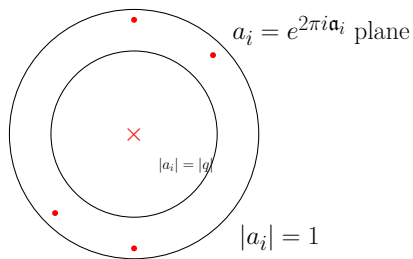
- One-loop $\mathcal{Z}(a_i \equiv e^{2\pi i a_i})$ is elliptic w.r.t. **each** a_i [Razamat]

$$\mathcal{Z}(a_i, \dots) = \mathcal{Z}(a_i + 1, \dots) = \mathcal{Z}(a_i + \tau, \dots), \forall i.$$



- Ellipticity: highly constraining
- Task: compute multivariate contour integrals of \mathcal{Z} by collecting residues

Ellipticity



Problem:

- non-isolated singularity at the origin: **no residue**
- All residues outside **cancel**: **no naive “Higgs branch localization”**

Elliptic functions

- Crucial family of (almost) elliptic functions:

$\sigma(\mathfrak{a} \tau)$	$\zeta(\mathfrak{a} \tau)$	$\wp(\mathfrak{a} \tau)$	$\frac{\partial^n}{\partial \mathfrak{a}^n} \wp(\mathfrak{a} \tau)$
almost elliptic	almost elliptic	elliptic	elliptic
$\sim \log \mathfrak{a}$	$\sim \mathfrak{a}^{-1}$	$\sim \mathfrak{a}^{-2}$	$\sim \mathfrak{a}^{-n-2}$

e.g.,

$$\zeta(\mathfrak{z}) \equiv \frac{1}{\mathfrak{z}} + \sum'_{\substack{(m,n) \in \mathbb{Z}^2 \\ (m,n) \neq (0,0)}} \left[\frac{1}{\mathfrak{z} - m - n\tau} + \frac{1}{m + n\tau} + \frac{\mathfrak{z}}{(m + n\tau)^2} \right]$$

From left to right: take derivative

- Build any elliptic function**

Elliptic functions

- Example: elliptic $f(\mathbf{a})$ with only **simple poles** \mathbf{a}_i in the **fund. parallelogram**

$$f(\mathbf{a}) = \underbrace{C_f(\tau)}_{\mathbf{a}\text{-const.}} + \frac{1}{2\pi i} \sum_i \overbrace{\left[\underbrace{\operatorname{Res}_{a \rightarrow a_i} \frac{1}{a} f(a)}_{R_i} \right]}^{\text{same pole/res struc. as } f} \underbrace{\zeta(\mathbf{a} - \mathbf{a}_i)}_{\text{unit residue at } a_i}. \quad (13)$$

Note: under $\mathbf{a} \rightarrow \mathbf{a} + \tau$, all ζ 's shift by identical constant
 \Rightarrow the RHS is invariant due to $\sum_i \operatorname{res}_i = 0$.

- Elliptic functions with higher order poles: include $\partial_{\mathbf{a}}^n \wp(\mathbf{a} - \mathbf{a}_i)$

- Translation to Jacobi-theta

$$\zeta(\mathfrak{z}) = \frac{\vartheta_1'(\mathfrak{z})}{\vartheta_1(\mathfrak{z})} - 4\pi^2 \mathfrak{z} E_2(\tau) . \quad (14)$$

- Can be Fourier expanded, $0 < \lambda < 1$

$$\zeta(\mathfrak{z}) = -4\pi^2 \mathfrak{z} E_2(\tau) - \pi i + \pi \sum_n' \frac{q^{-\frac{n}{2}}}{\sin n\pi\tau} e^{2\pi i n \mathfrak{z}}, \quad \mathfrak{z} \in \mathbb{R}$$

$$\zeta(\mathfrak{z}) = -4\pi^2 \mathfrak{z} E_2(\tau) + \pi i + \pi \sum_n' \frac{q^{+\frac{n}{2}}}{\sin n\pi\tau} e^{2\pi i n \mathfrak{z}}, \quad \mathfrak{z} \in \mathbb{R} - \lambda\tau$$

Eisenstein Series

- Twisted Eisenstein series $E_k \left[\begin{smallmatrix} \phi \\ \theta \end{smallmatrix} \right]$: quasi-Jacobi/modular forms
- Relatively simple shift properties,

$$E_k \left[\begin{smallmatrix} \pm 1 \\ zq^{\frac{n}{2}} \end{smallmatrix} \right] = \sum_{\ell=0}^k \binom{n}{2}^{\ell} \frac{1}{\ell!} E_{k-\ell} \left[\begin{smallmatrix} (-1)^n (\pm 1) \\ z \end{smallmatrix} \right]. \quad (15)$$

- Constant terms ($\mathcal{S}_{2n} \equiv \left[\frac{y}{2 \sinh \frac{y}{2}} \right]_{2n}$)

$$E_{2n+1} \left[\begin{smallmatrix} \pm 1 \\ z \end{smallmatrix} \right] \sim 0, \quad E_1 \left[\begin{smallmatrix} +1 \\ z \end{smallmatrix} \right] \sim -\frac{1}{2}, \quad (16)$$

$$E_{2n} \left[\begin{smallmatrix} +1 \\ z \end{smallmatrix} \right] \sim -\frac{B_{2n}}{(2n)!}, \quad E_{2n} \left[\begin{smallmatrix} -1 \\ z \end{smallmatrix} \right] \sim -\mathcal{S}_{2n} \quad (17)$$

- Translation to Jacobi theta functions, e.g.

$$E_k \begin{bmatrix} \pm 1 \\ z \end{bmatrix} = - \underbrace{\left[e^{-\frac{y}{2\pi i} \mathcal{D}_3 - P_2(y)} \right]_k}_{\text{coeff of } y^k \text{ in } y\text{-Taylor}} \vartheta_{1/4}(\mathfrak{z}) \quad (18)$$

where

$$\mathcal{D}_3^n \vartheta_i(\mathfrak{z}) \equiv \frac{\vartheta_i^{(n)}(\mathfrak{z})}{\vartheta_i(\mathfrak{z})} . \quad (19)$$

⇒ **Modular properties** under, e.g.

$$\mathfrak{z} \rightarrow \frac{\mathfrak{z}}{\tau}, \quad \tau \rightarrow -\frac{1}{\tau} . \quad (20)$$

- Can be **Fourier expanded**

$$E_{2n} \begin{bmatrix} +1 \\ z \end{bmatrix} = \sum_{m=0}^n c_{2n}(2m) \sum_{\ell}' \frac{1}{\sin^{2m} \ell \pi \tau} e^{2\pi i \ell z}$$
$$E_{2n+1} \begin{bmatrix} -1 \\ z \end{bmatrix} = \sum_{m=0}^n c_{2n+1}(2m+1) \sum_{\ell}' \frac{1}{\sin^{2m+1} \ell \pi \tau} e^{2\pi i \ell z}$$

- Difference equations of E provide recursion relations for c 's

$$2ic_{2n+1}(2m+1) = \sum_{\ell=0}^{n-m} \frac{1}{2^{2\ell}(2\ell+1)!} c_{2n-2\ell}(2m), \quad m \in \mathbb{N},$$

$$2ic_{2n+2}(2m+2) = \sum_{\ell=0}^{n-m} \frac{1}{2^{2\ell}(2\ell+1)!} c_{2n+1-2\ell}(2m+1), \quad m \in \mathbb{N},$$

Eisenstein Series

- Conversely, Fourier series $\sum'_{\ell} \frac{1}{\sin^k \ell\pi\tau} e^{2\pi i\ell z} \sim$ combinations of twisted Eisenstein series.

Integrating Elliptic functions

- Integrating an elliptic function $f(a)$

$$\oint f(a) \frac{da}{2\pi ia} = C_f + \frac{1}{2\pi i} \sum_i R_i \oint \zeta(a - a_i) \frac{da}{2\pi ia}. \quad (21)$$

(a) The ζ integral is doable (ζ is a **total derivative/Fourier**)

(b) C_f can be replaced by f and R_i

- Final result (a_0 is an **arbitrary** reference value): sum over poles in the **fundamental parallelogram**

$$\oint_{|a|=1} f(a) \frac{da}{2\pi ia} = f(a_0) + \sum_{\text{real/img. } a_i} R_i E_1 \left[\begin{array}{c} -1 \\ \frac{a_i}{a_0} q^{\pm \frac{1}{2}} \end{array} \right],$$

real/imaginary poles: $\text{Im } a_i = 0$ or $\text{Im } a_i > 0$.

Example: $\mathcal{N} = 4$ $SU(2)$ theory

- $\mathcal{T} : \mathcal{N} = 4$ $SU(2)$ SYM, $\mathbb{V}[\mathcal{T}] = 2d$ small $\mathcal{N} = 4$ SCFA
- The Schur index (two imaginary poles, common residue)

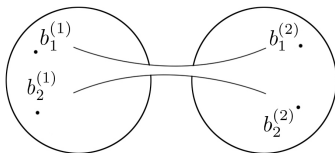
$$\begin{aligned}\mathcal{I}_{\mathcal{N}=4 \text{ } SU(2)}(b) &= \frac{1}{2} \oint \frac{da}{2\pi ia} \frac{\vartheta_1(\pm 2\mathbf{a})\eta(\tau)^3}{\vartheta_4(\pm 2\mathbf{a} + \mathbf{b})\vartheta_4(\mathbf{b})} \\ &= E_1 \left[\begin{matrix} -1 \\ b \end{matrix} \right] \underbrace{\frac{i\vartheta_4(\mathbf{b})}{\vartheta_1(2\mathbf{b})}}_{\text{ch}_{\mathbb{V}_{bc\beta\gamma}}^{A_1}} = \frac{1}{2\pi} \frac{\vartheta_4'(\mathbf{b})}{\vartheta_1(2\mathbf{b})}. \end{aligned} \quad (22)$$

- Genus-one with one puncture

$$\mathcal{I}_{1,1}(b) = \frac{1}{2\pi} \frac{\vartheta_4'(\mathbf{b})}{\vartheta_1(2\mathbf{b})} \frac{\eta(\tau)}{\vartheta_4(\mathbf{b})}. \quad (23)$$

Example: $SU(2)$ SQCD

- $SU(2)$ gauge theory with four fundamental hypers: the associated VOA is $\widehat{\mathfrak{so}}(8)_{-2}$



- Schur index $\mathcal{I}_{0,4}(b)$

$$= -\frac{1}{2} \oint \frac{da}{2\pi ia} \vartheta_1(\pm 2\mathbf{a})^2 \prod_{j=1}^4 \frac{\eta(\tau)}{\vartheta_4(\pm \mathbf{a} + \mathbf{m}_j)} \quad (24)$$

Example: $SU(2)$ SQCD

- 8 imaginary poles, 4 different residues: $R_j \equiv \operatorname{Res}_{a \rightarrow m_j q^{\frac{1}{2}}} \text{integrand}$

$$\mathcal{I}_{0,4} = \sum_{j=1}^4 E_1 \begin{bmatrix} -1 \\ m_j \end{bmatrix} \frac{i\vartheta_1(2\mathbf{m}_j)}{\eta(\tau)} \prod_{\ell \neq j} \frac{\eta(\tau)}{\vartheta_1(\mathbf{m}_j + \mathbf{m}_\ell)} \frac{\eta(\tau)}{\vartheta_1(\mathbf{m}_j - \mathbf{m}_\ell)} .$$

- m 's recombines to fugacities of the four punctures

$$m_1 = b_1^{(1)} b_2^{(1)}, \quad m_2 = \frac{b_1^{(1)}}{b_2^{(1)}}, \quad m_3 = b_1^{(2)} b_2^{(2)}, \quad m_4 = \frac{b_1^{(2)}}{b_2^{(2)}} .$$

Manifest permutation invariance among $b_a^{(i)}$ is **lost**

- We will derive alternative more elegant expression

General integral formula

- Higher ranks:

$$\oint \cdots \frac{da_2}{2\pi ia_2} \frac{da_1}{2\pi ia_1} \underbrace{\mathcal{Z}(a_1, \dots, a_n)}_{\text{individually elliptic}} \quad (25)$$

- Problem:** ellipticity is lost as function of $\mathfrak{a}_{2,\dots,n}$

$$\oint \cdots \frac{da_2}{2\pi ia_2} \underbrace{\oint \frac{da_1}{2\pi ia_1} \mathcal{Z}(a_1, \dots, a_n)}_{\text{non-elliptic in } \mathfrak{a}_{2,3,\dots}} \quad (26)$$

\mathfrak{a}_1 -integral contains Eisenstein series in $\mathfrak{a}_{2,3,\dots}$

General integral formula

- **New task:** compute

$$\mathcal{I}_k^\pm[f] \equiv \oint \frac{da}{2\pi ia} E_k \left[\begin{matrix} \pm 1 \\ ab \end{matrix} \right] \underbrace{f(a)}_{\text{elliptic}}. \quad (27)$$

- Tool: Fourier series

$$E_k \left[\begin{matrix} \pm 1 \\ a \end{matrix} \right] \leftrightarrow \sum_{\ell=0}^k C_k(\ell) \sum'_n \frac{1}{\sin^\ell n\pi\tau} e^{2\pi i n a} \quad (28)$$

General integral formula

- **General formula for $\mathcal{I}_k^\pm[f]$** as finite sum of residues \times Eisenstein

$$\begin{aligned}\mathcal{I}_k^-[f] &= \oint_{|a|=1} \frac{da}{2\pi ia} f(a) E_k \begin{bmatrix} -1 \\ ab \end{bmatrix} \\ &= -\mathcal{S}_{2k} \left(f(a_0) + \sum_{\text{poles } a_i} R_i E_1 \begin{bmatrix} -1 \\ a_i b q^{\pm \frac{1}{2}} \end{bmatrix} \right) \\ &\quad - \sum_{\text{poles } a_i} R_i \sum_{\ell=0}^{k-1} \mathcal{S}_{2\ell} E_{k-\ell+1} \begin{bmatrix} 1 \\ a_i b q^{\pm \frac{1}{2}} \end{bmatrix},\end{aligned}\tag{29}$$

where $\frac{1}{2} \frac{y}{\sinh \frac{y}{2}} = \sum_{\ell \geq 0} \mathcal{S}_{2\ell} y^{2\ell}$.

Higher-rank computable examples

- Compact formula for all A_1 -theories of class- \mathcal{S}
- $SU(N)$ with $2N$ flavors (computable, compact formula not available yet)
- $\mathcal{N} = 4$ $G = SU(3), SU(4), SO(4), SO(5)$ SYM
- $\mathcal{N} = 4$ $SU(N)$ unflavored indices (conjectural compact formula)
- Schematic structure:

$$\mathcal{I} = \sum_{\text{poles}} (\text{res}) E_* \begin{bmatrix} \pm 1 \\ \text{pole info} \end{bmatrix} \cdots E_* \begin{bmatrix} \pm 1 \\ \text{pole info} \end{bmatrix} . \quad (30)$$

Examples: A_1 theories of class- \mathcal{S}

A quick review: 4d $\mathcal{N} = 2$ SCFT of class- \mathcal{S} [Gaiotto]

- Starting point: 6d (0,2) SCFT of type $\mathfrak{g} \in \text{ADE}$
- Put on $\mathbb{R}^{3,1} \times \Sigma_{g,n}$: genus g , n punctures (co-dim two defects in 6d, labeled by “some discrete data”)
- Compactify $\Sigma_{g,n} \Rightarrow$ 4d $\mathcal{N} = 2$ SCFT $\mathcal{T}_{g,n}[\mathfrak{g}, \text{discrete data}]$
- The discrete data at each puncture: implies a flavor symmetry subgroup in 4d
- Complex structure moduli of $\Sigma_{g,n}$: gauge couplings
- Pants-decompositions of **one** $\Sigma_{g,n}$: different gauge theory descriptions, S-duality

Examples: A_1 theories of class- \mathcal{S}

A quick review: 4d $\mathcal{N} = 2$ SCFT of class- \mathcal{S}

- Simplest examples: $\mathfrak{g} = \mathfrak{su}(2)$
- “discrete data”: trivial
- Punctured Riemann surface $\Sigma_{g,n} \leftrightarrow \mathcal{T}_{g,n}$
- Examples
 - (1) $\mathcal{T}_{g=0,n=3}$: “trinion theory” = 4 free hypers
 - (2) $\mathcal{T}_{g=0,n=4}$: $SU(2)$ theory with 4 fundamental hypers
 - (3) $\mathcal{T}_{g=0,n=5}$: $SU(2) \times SU(2)$ theory with hypers in $(\mathbf{2}, \mathbf{1})$, $(\mathbf{2}, \mathbf{2})$, $(\mathbf{1}, \mathbf{2})$
 - (3) $\mathcal{T}_{g=1,n=1}$: $\mathcal{N} = 2$ $SU(2)$ theory + one free hyper

Examples: A_1 theories of class- \mathcal{S}

$\mathcal{I}_{2,0}(b)$

- First frame $\mathcal{I}_{2,0}(\mathbf{b})$

$$\begin{aligned} & \frac{1}{2} \oint \frac{da}{2\pi ia} \vartheta_1(2\mathbf{a}) \vartheta_1(-2\mathbf{a}) \mathcal{I}_{1,1}(\mathbf{a}, \mathbf{b}) \mathcal{I}_{1,1}(\mathbf{a}, -\mathbf{b}) \\ &= \frac{i\vartheta_1(\mathbf{b})^2}{\eta(\tau)\vartheta_1(2\mathbf{b})} \left(E_3 \begin{bmatrix} +1 \\ b \end{bmatrix} + E_1 \begin{bmatrix} +1 \\ b \end{bmatrix} E_2 \begin{bmatrix} +1 \\ b \end{bmatrix} - E_2(\tau) E_1 \begin{bmatrix} +1 \\ b \end{bmatrix} \right. \\ & \quad \left. + E_2(\tau) E_1 \begin{bmatrix} -1 \\ b \end{bmatrix} + \frac{1}{12} E_1 \begin{bmatrix} -1 \\ b \end{bmatrix} \right) \\ & \quad + \frac{\eta(\tau)^2}{2} \left(E_2 + \frac{1}{12} \right) \frac{\vartheta_4(0)^2}{\vartheta_4(\mathbf{b})^2} . \end{aligned}$$

Examples: A_1 theories of class- \mathcal{S}

$\mathcal{I}_{2,0}(b)$

- Second frame $\mathcal{I}'_{2,0}(\mathbf{b})$

$$\begin{aligned} & \frac{1}{8} \oint \prod_{i=1}^3 \left[\frac{da_i}{2\pi i a_i} \vartheta_1(2\mathbf{a}_i) \vartheta_1(-2\mathbf{a}_i) \right] \prod_{\pm\pm\pm} \frac{\eta(\tau)}{\vartheta_4(\pm\mathbf{a}_1 \pm \mathbf{a}_2 \pm \mathbf{a}_3 + \mathbf{b})} \\ &= \frac{i\vartheta_1(2\mathbf{b})^2}{\eta(\tau)\vartheta_1(4\mathbf{b})} \left(E_3 \begin{bmatrix} +1 \\ b^2 \end{bmatrix} + E_1 \begin{bmatrix} +1 \\ b^2 \end{bmatrix} E_2 \begin{bmatrix} +1 \\ b^2 \end{bmatrix} + \frac{1}{12} E_1 \begin{bmatrix} +1 \\ b^2 \end{bmatrix} \right). \end{aligned}$$

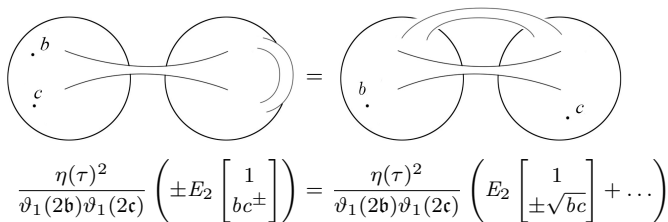
- S-duality $\mathcal{I}_{2,0}(2\mathbf{b}) = \mathcal{I}'_{2,0}(\mathbf{b})$ thanks to “duplication formula”

$$E_1 \begin{bmatrix} +1 \\ z \end{bmatrix} - E_1 \begin{bmatrix} -1 \\ z \end{bmatrix} = \frac{\eta(\tau)^3}{2i} \frac{\vartheta_1(2\mathfrak{z})\vartheta_4(0)^2}{\vartheta_1(\mathfrak{z})^2\vartheta_4(\mathfrak{z})^2}.$$

Examples: A_1 theories of class- \mathcal{S}

$\mathcal{I}_{1,2}(b, c)$ [Gadde, et.al.]

- Two duality frames


$$\frac{\eta(\tau)^2}{\vartheta_1(2b)\vartheta_1(2c)} \left(\pm E_2 \begin{bmatrix} 1 \\ bc^\pm \end{bmatrix} \right) = \frac{\eta(\tau)^2}{\vartheta_1(2b)\vartheta_1(2c)} \left(E_2 \begin{bmatrix} 1 \\ \pm\sqrt{bc} \end{bmatrix} + \dots \right)$$

- S-duality: due to identities

$$\sum_{\pm} E_k \begin{bmatrix} \phi \\ \pm z \end{bmatrix} (\tau) = 2E_k \begin{bmatrix} \phi \\ z^2 \end{bmatrix} (2\tau), \dots \quad (31)$$

Examples: A_1 theories of class- \mathcal{S}

$$\mathcal{I}_{1,2}(b) = -\frac{1}{2} \frac{\eta(\tau)^2}{\prod_{i=1}^2 \vartheta_1(2\mathbf{b}_i)} \sum_{\alpha_1, \alpha_2 = \pm 1} \alpha_1 \alpha_2 E_2 \left[\begin{matrix} +1 \\ \prod_{i=1}^2 b_i^{\alpha_i} \end{matrix} \right].$$

- Gauging in trinion $\mathcal{T}_{0,3}$ to increase puncture numbers n
- Gluing two punctures to increase genus g
- Observation 1 (for adding $\mathcal{T}_{0,3}$)

$$\begin{aligned} & \operatorname{Res}_{a=b^\beta c^\gamma q^{\frac{1}{2}}} \frac{1}{a} \frac{\eta(\tau)^n}{\vartheta_1(2\mathbf{a}) \prod_{i=1}^{n-1} \vartheta_1(2\mathbf{b}_i)} \mathcal{I}_{\text{VM}}(\mathbf{a}) \mathcal{I}_{0,3}(\mathbf{a}, \mathbf{b}_n, \mathbf{b}_{n+1}) \\ &= \beta \gamma \frac{-i\eta(\tau)^{n+1}}{2 \prod_{i=1}^{n+1} \vartheta_1(2\mathbf{b}_i)} \end{aligned}$$

Examples: A_1 theories of class- \mathcal{S}

- Observation 2 (for increasing g)

$$\frac{\eta(\tau)^n}{\vartheta_1(2\mathbf{a})\vartheta_1(-2\mathbf{a})\prod_{i=1}^{n-2}\vartheta_1(2\mathbf{b}_i)}\frac{1}{2}\vartheta_1(2\mathbf{a})^2 \quad (32)$$

independent of \mathbf{a}

- Observation 3 (partial proof in [Gadde, Rasstelli, Razamat, Yan])

$$\mathcal{I}_{0,3}(b) = \frac{1}{2i} \frac{\eta(\tau)}{\prod_{i=1}^3 \vartheta_1(2\mathbf{b}_i)} \sum_{\alpha_i = \pm} \left(\prod_{i=1}^3 \alpha_i \right) E_1 \left[\begin{array}{c} -1 \\ \prod_{i=1}^3 b_i^{\alpha_i} \end{array} \right].$$

Examples: A_1 theories of class- \mathcal{S}

- Finally result for all A_1 indices at any (g, n)

$$\mathcal{I}_{g,n}(b) = \frac{i^n}{2} \frac{\eta(\tau)^{n+2g-2}}{\prod_{i=1}^n \vartheta_1(2b_i)} \sum_{\alpha=\pm} \left(\prod_{i=1}^n \alpha_i \right) \sum_{k=1}^{n+2g-2} \lambda_k^{(n+2g-2)} E_k \left[\frac{(-1)^n}{\prod_{i=1}^n b_i^{\alpha_i}} \right]$$

$$\mathcal{I}_{g,n=0} = \frac{1}{2} \eta(\tau)^{2g-2} \sum_{k=1}^{g-1} \lambda_{2k}^{(2g-2)} \left(E_{2k} + \frac{B_{2k}}{(2k)!} \right)$$

- λ 's are rational numbers: recursion relations

$$\lambda_0^{(\text{even})} = \lambda_{\text{even}}^{(\text{odd})} = \lambda_{\text{odd}}^{(\text{even})} = 0, \quad \lambda_2^{(2)} = 1,$$

$$\lambda_{2m+1}^{(2k+1)} = \sum_{\ell=m}^k \lambda_{2\ell}^{(2k)} \mathcal{S}_{2(\ell-m)}, \quad \lambda_{2m+2}^{(2k+2)} = \sum_{\ell=m}^k \lambda_{2\ell+1}^{(2k+1)} \mathcal{S}_{2(\ell-m)},$$

$$\lambda_1^{(2k+1)} = \sum_{\ell=1}^k \lambda_{2\ell}^{2k} \left(\frac{B_{2\ell}}{(2\ell)!} - \mathcal{S}_{2\ell} \right).$$

Examples: A_1 theories of class- \mathcal{S}

- Manifest permutation invariance among all flavor fugacities b_i
- Unflavoring limit of $\mathcal{I}_{0,4}(b)$:

$$\mathcal{I}_{0,4}(b \rightarrow 1) = 3 \frac{q \partial_q E_4(\tau)}{\eta(\tau)^{10}}$$

directly recovers Arakawa and Kawasetsu's unflavored character of $\widehat{\mathfrak{so}}(8)_{-2}$

Examples: $\mathcal{N} = 4$ theories

- Flavored index with lower ranks ($SU(2, 3, 4)$, $SO(4)$, $SO(5)$) can be easily computed, e.g.

$$\mathcal{I}_{\mathcal{N}=4 \text{ } SU(3)} = -\frac{1}{8} \frac{\vartheta_4(\mathbf{b})}{\underbrace{\vartheta_4(3\mathbf{b})}_{\text{ch}_{\mathbb{V}_{bc\beta\gamma}^{A_2}}}} \left(-\frac{1}{3} + 4E_1 \begin{bmatrix} -1 \\ b \end{bmatrix}^2 - 4E_2 \begin{bmatrix} +1 \\ b^2 \end{bmatrix} \right),$$

- Compact formula for general $SU(N)$ flavor indices out of reach at the moment

Examples: $\mathcal{N} = 4$ theories

- Conjectural unflavored indices for $SU(N)$,

$$\mathcal{I}_{\mathcal{N}=4 \text{ } SU(2N+1)} = (-1)^N \tilde{\lambda}_2^{(2N+3)} + (-1)^N \sum_{k=1}^N \frac{\tilde{\lambda}_{2k+2}^{(2N+3)}(2)}{2k} \tilde{\mathbb{E}}_{2k},$$

$$\mathcal{I}_{\mathcal{N}=4 \text{ } SU(2N)} = (-1)^N \sum_{k=1}^N \frac{(-1)^k \tilde{\lambda}_{2k+1}^{(2N+2)}(2)}{(2k)!} \left(\frac{1}{2\pi}\right)^{2k-1} \frac{\vartheta_4^{(2k)}(0)}{\vartheta_1'(0)}.$$

where

$$\tilde{\mathbb{E}}_{2k} = \sum_{\substack{\{n_p\} \\ \sum_{p \geq 1} 2pn_p = 2k}} \prod_{p \geq 1} \frac{1}{n_p!} \left(-\frac{1}{2p} E_{2p}\right)^{n_p} \quad (33)$$

Examples: $\mathcal{N} = 4$ theories

- The $\tilde{\lambda}$'s are related to those in $\mathcal{I}_{g,n}$

$$\tilde{\lambda}_{\ell}^{(n)}(K) \equiv \sum_{\ell'=\max(\ell,1)}^n \left(\frac{K}{2}\right)^{\ell'-\ell} \frac{1}{(\ell'-\ell)!} \lambda_{\ell'}^{(n)}. \quad (34)$$

- More precisely, $\tilde{\lambda}$ appears in residues of $\mathcal{I}_{g,n}$

Example: non-Lagrangian

For example,

$$\begin{aligned}\mathcal{I}_{\widehat{D}_4[SU(3)]} &= q\mathcal{I}_{\mathcal{N}=4 SU(3)}(b=1, q^2) \\ &= \frac{1}{24} + \frac{1}{2}E_2(2\tau) .\end{aligned}\tag{36}$$

and (defining $\widehat{\vartheta}_i(z) \equiv \vartheta_i(z, 4\tau)$)

$$\begin{aligned}\mathcal{I}_{\widehat{E}_7[SU(3)]} &= q^{-1}\mathcal{I}_{\mathcal{N}=4 SU(3)}(b=q, q^4) \\ &= \frac{1}{12\pi} \frac{\widehat{\vartheta}_4(\tau)}{\widehat{\vartheta}_1(\tau)} \left[-\frac{\widehat{\vartheta}'_4(0)}{\widehat{\vartheta}_4(0)} - \frac{\widehat{\vartheta}'_4(\tau)}{\widehat{\vartheta}_4(\tau)} - \frac{i}{\pi} \frac{\widehat{\vartheta}'_4(0)}{\widehat{\vartheta}_4(0)} \frac{\widehat{\vartheta}'_4(\tau)}{\widehat{\vartheta}_4(\tau)} \right. \\ &\quad \left. - \frac{i}{\pi} \frac{\widehat{\vartheta}'_4(\tau)^2}{\widehat{\vartheta}_4(\tau)^2} - \frac{i}{2\pi} \frac{\widehat{\vartheta}''_4(0)}{\widehat{\vartheta}_4(0)} + \frac{i}{2\pi} \frac{\widehat{\vartheta}''_4(\tau)}{\widehat{\vartheta}_4(\tau)} \right] .\end{aligned}\tag{37}$$

Modular properties: $\mathcal{N} = 4$ $SU(2)$ theory

- S-transform

$$\mathcal{I} = \frac{1}{2\pi} \frac{\vartheta'_4(\mathbf{b})}{\vartheta_1(2\mathbf{b})} \xrightarrow{STS} \mathcal{I}_{\log} \equiv \frac{1}{2\pi i} (\log q - 2\pi i) \mathcal{I} + (\log b) \text{ch}_{\mathbb{V}_{bc\beta\gamma}}$$

- $\text{ch}_M = \text{ch}_{\mathbb{V}_{bc\beta\gamma}} - \mathcal{I}$: character of the only non-vacuum irreducible module M from category- \mathcal{O} (Adamovic)
- Three solutions to all the flavored modular differential equations [Beem, Rastelli][Beem, Peelaers]

$$\mathcal{I}, \quad \text{ch}_{\mathbb{V}_{bc\beta\gamma}}, \quad \mathcal{I}_{\log} .$$

$\mathcal{I}, \mathcal{I}_{\log}$ have smooth unflavoring limit.

Modular properties: $SU(2)$ with four flavors

- The index

$$\mathcal{I}_{0,4} = \frac{1}{2} \frac{\eta(\tau)^2}{\prod_{i=1}^4 \vartheta_1(2\mathbf{b}_i)} \sum_{\alpha_i = \pm} \left(\prod_{i=1}^4 \alpha_i \right) E_2 \left[\begin{array}{c} +1 \\ \prod_{i=1}^4 b_i^{\alpha_i} \end{array} \right].$$

- Under S -transformation,

$$\begin{aligned} & e^{-\frac{4\pi i}{\tau} \sum_i \mathbf{b}_i^2} \mathcal{I}_{0,4} \left(-\frac{1}{\tau} \right) \\ &= \frac{\log q}{2\pi} \mathcal{I}_{0,4}(\tau) \\ &+ \frac{\eta(\tau)^2}{4\pi \prod_{i=1}^4 \vartheta_1(2\mathbf{b}_i)} \sum_{\alpha_i} \left(\prod_i \alpha_i \right) \log \left(\prod_i b_i^{\alpha_i} \right) E_1 \left[\begin{array}{c} 1 \\ \prod_i b_i^{\alpha_i} \end{array} \right]. \end{aligned}$$

Modular properties: $SU(2)$ with four flavors

- Reorganized into

$$e^{-\frac{4\pi i}{\tau} \sum_i b_i^2} \mathcal{I}_{0,4} \left(-\frac{1}{\tau} \right) = \frac{\log q}{2\pi} \mathcal{I}_{0,4}(\tau) + \frac{1}{\pi} \sum_{i=1}^4 (\log m_j) R_j$$

$$e^{+\frac{4\pi i}{\tau} \sum_i b_i^2} R_j \left(-\frac{1}{\tau} \right) = i R_j(\tau)$$

- R_j : characters of four non-vacuum modules of $\widehat{\mathfrak{so}}(8)_{-2}$ [Arakawa]; highest weight

$$\lambda = w(\omega_1 + \omega_2 + \omega_3) - \rho, \quad w = 1, s_{1,3,4} . \quad (38)$$

conformal weight $h = -1$.

Modular properties: $SU(2)$ with four flavors

- Consistency check: R_j satisfy all the required flavored modular differential equations [Peelaers]

Surface Defect from Higgsing

- Focus on A_1 theories
- poles $b_i = q^{\frac{k}{2}}$ of $\mathcal{I}_{g,n+1}$
- $k = 1$: recovers

$$\text{Res}_{b \rightarrow q^{\frac{1}{2}}} \frac{2\eta(\tau)^2}{b} \mathcal{I}_{g,n+1}(b) = \mathcal{I}_{g,n} . \quad (39)$$

- $k > 1$, residue (using shift properties of E_i 's)

$$\sim \frac{\eta(\tau)^{n+2g-2}}{\prod_{i=1}^n \vartheta_1(2b_i)} \sum_{\alpha_i} \left(\prod_{i=1}^n \alpha_i \right)^{n+1+2g-2} \sum_{\ell=1} \tilde{\lambda}_\ell^{n+1+2g-2}(k) E_\ell \left[\begin{matrix} (-1)^{n+k+1} \\ b_1^{\alpha_1} \dots b_n^{\alpha_n} \end{matrix} \right]$$

\sim difference operator on $\mathcal{I}_{g,n}$ [Gaiotto, Rastelli, Razamat][Alday, et.al.][Bullimore, et.al.]

Surface Defect from Higgsing

- $\tilde{\lambda}$'s are rational numbers

$$\tilde{\lambda}_\ell^{(n)}(K) \equiv \sum_{\ell'=\max(\ell,1)}^n \lambda_{\ell'}^{(n)} \left(\frac{K}{2}\right)^{\ell'-\ell} \frac{1}{(\ell'-\ell)!} . \quad (40)$$

already appeared in $\mathcal{N} = 4$ unflavored indices ($K = 2$)

- Example ($k = \text{even}$)

$$\begin{aligned} \text{Res}_{b \rightarrow q^{\frac{k}{2}}} \frac{\eta(\tau)^2}{b} \mathcal{I}_{g,5}(b) &= \frac{k}{2} \frac{\eta(\tau)^2}{\prod_{i=1}^4 \vartheta_1(2\mathbf{b}_i)} \sum_{\alpha_i} \left(\prod_{i=1}^4 \alpha_i \right) E_2 \left[\begin{matrix} -1 \\ \prod_{i=1}^4 b_i^{\alpha_i} \end{matrix} \right] . \\ &= \frac{kq^{-\frac{1}{2}}}{2} \sum_{\pm} b_4^{\mp 2} \mathcal{I}_{0,4}(b_4 q^{\pm \frac{1}{2}}) \end{aligned} \quad (41)$$

Outlook

- Identify the projection $P : \mathbb{V}_{bc\beta\gamma}^G \rightarrow \mathbb{V}_{\mathcal{N}=4}^G$ (generalize to $\mathcal{N} = 3$?), clarify the VOA interpretation of the computation method
- BRST-reduction in two steps? ($\dim G$ copies of $\beta\gamma bc$ $\xrightarrow{\text{"Higgsing"}} \text{rank } G \text{ copies of } \beta\gamma bc \xrightarrow{\ker} \mathcal{N} = 4 \text{ VOA}$)
- The **residues** for other $\mathcal{N} = 2$ Lagrangian theories: **new free field realization? Are they module characters of the associated VOA? Modular properties?**
- For $\mathcal{N} = 4$ theories with **non-ADE** gauge group: physical meaning of the **other residues**? Additional free field realization?

Outlook

- Closed-form for correlators with local/non-local ops
E.g., coupling to $\mathbb{C}P^1$ model inserts $a^2 + a^{-2}$ factor, Wilson loop inserts polynomials of a 's: recompute all the Fourier integrals
- Additional integral formula for more general Schur indices
E.g., Gauging A_N -theories requires integrals of the form

$$\oint \frac{dz}{2\pi iz} f(z) E_{k_1} \begin{bmatrix} \pm 1 \\ za_1 \end{bmatrix} E_{k_2} \begin{bmatrix} \pm 1 \\ za_2 \end{bmatrix} \dots \quad (42)$$

- Elliptic genera computation? (relation between JK and unit circle)
- Macdonald/Hall-Littlewood index: work with non-elliptic functions

Thank you!